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# **Using Automaton Abstraction in Synthesis of Distributed Supervisors**

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# Outline

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- Review of Automaton Abstraction
- Concepts of Supervisors and Relevant Properties
- Synthesis of Distributed Supervisors
- Example
- Conclusions

# The Standardized Automata

- Suppose  $G = (X, \Sigma, \xi, x_0, X_m)$ . Bring in a new event symbol  $\tau$ .
  - $\tau$  will be treated as uncontrollable and unobservable.
- An automaton  $G = (X, \Sigma \cup \{\tau\}, \xi, x_0, X_m)$  is *standardized* if
  - $x_0 \notin X_m$
  - $(\forall x \in X) \xi(x, \tau) \neq \emptyset \Leftrightarrow x = x_0$
  - $(\forall \sigma \in \Sigma) \xi(x_0, \sigma) = \emptyset$
  - $(\forall x \in X)(\forall \sigma \in \Sigma \cup \{\tau\}) x_0 \notin \xi(x, \sigma)$
- Let  $\phi(\Sigma)$  be the collection of all standardized automata over  $\Sigma$ .

# Marking Awareness

- $G \in \phi(\Sigma)$  is *marking aware* with respect to  $\Sigma' \subseteq \Sigma$ , if

$$(\forall x \in X - X_m)(\forall s \in \Sigma^*) \xi(x, s) \cap X_m \neq \emptyset \Rightarrow P(s) \neq \varepsilon$$

where  $P: \Sigma^* \rightarrow \Sigma'^*$  is the natural projection.

# Main Result

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- **Theorem:** Given  $\Sigma$  and  $\Sigma' \subseteq \Sigma$ , let  $G \in \phi(\Sigma)$  and  $S \in \phi(\Sigma')$ . Then
  - $B((G/\approx_{\Sigma'}) \times S) = \emptyset \Rightarrow B(G \times S) = \emptyset$
  - $G$  is marking aware w.r.t.  $\Sigma' \Rightarrow [B((G/\approx_{\Sigma'}) \times S) = \emptyset \Leftrightarrow B(G \times S) = \emptyset]$

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# Basic Concepts

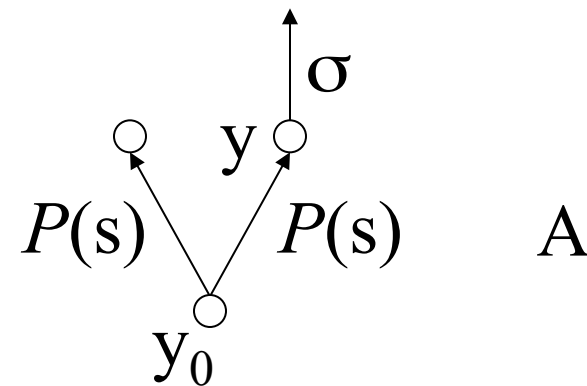
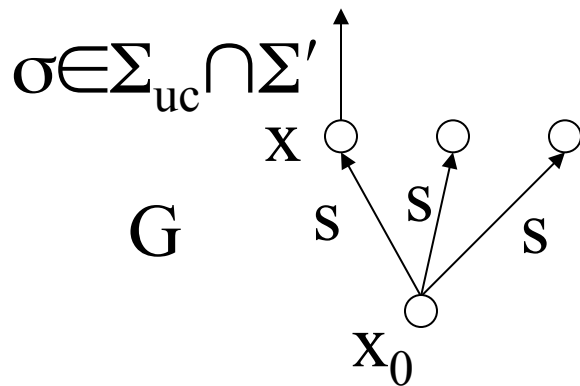
- Given a nondeterministic automaton  $G = (X, \Sigma, \xi, x_0, X_m)$ , let
  - $L(G) := \{s \in \Sigma^* \mid \xi(x_0, s) \neq \emptyset\}$  : the closed behavior
  - $N(G) := \{s \in \Sigma^* \mid \xi(x_0, s) \cap X_m \neq \emptyset\}$  : the nonblocking set
  - $B(G) := \{s \in \Sigma^* \mid (\exists x \in \xi(x_0, s)) (\forall s' \in \Sigma^*) \xi(x, s') \cap X_m = \emptyset\}$  : the blocking set
  - $(\forall x \in X) E_G(x) := \{\sigma \in \Sigma \mid \xi(x, \sigma) \neq \emptyset\}$  : the enabling set

# State Controllability

- Definition 1**

Given  $G = (X, \Sigma, \xi, x_0, X_m)$  and  $\Sigma' \subseteq \Sigma$ , let  $A = (Y, \Sigma', \eta, y_0, Y_m)$  and  $P: \Sigma^* \rightarrow \Sigma'^*$  be the natural projection.  $A$  is called *state-controllable* with respect to  $G$ , if

$$(\forall s \in L(G \times A)) (\forall x \in \xi(x_0, s)) (\forall y \in \eta(y_0, P(s))) E_G(x) \cap \Sigma_{uc} \cap \Sigma' \subseteq E_A(y)$$



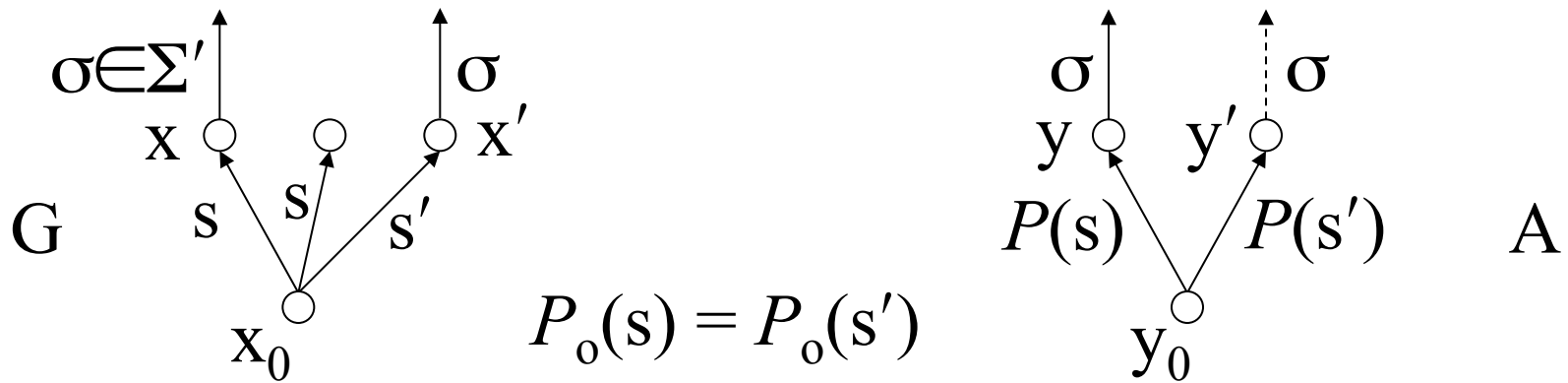


# State Observability

## • Definition 2

Given  $G = (X, \Sigma, \xi, x_0, X_m)$  and  $\Sigma' \subseteq \Sigma$ , let  $A = (Y, \Sigma', \eta, y_0, Y_m)$ . We say  $A$  is *state-observable* with respect to  $(G, P_o)$  if for any  $s, s' \in L(G \times A)$  with  $P_o(s) = P_o(s')$ ,

$$(\forall (x, y) \in \xi \times \eta((x_0, y_0), s)) (\forall (x', y') \in \xi \times \eta((x_0, y_0), s')) E_{G \times A}(x, y) \cap E_G(x') \cap \Sigma' \subseteq E_A(y')$$

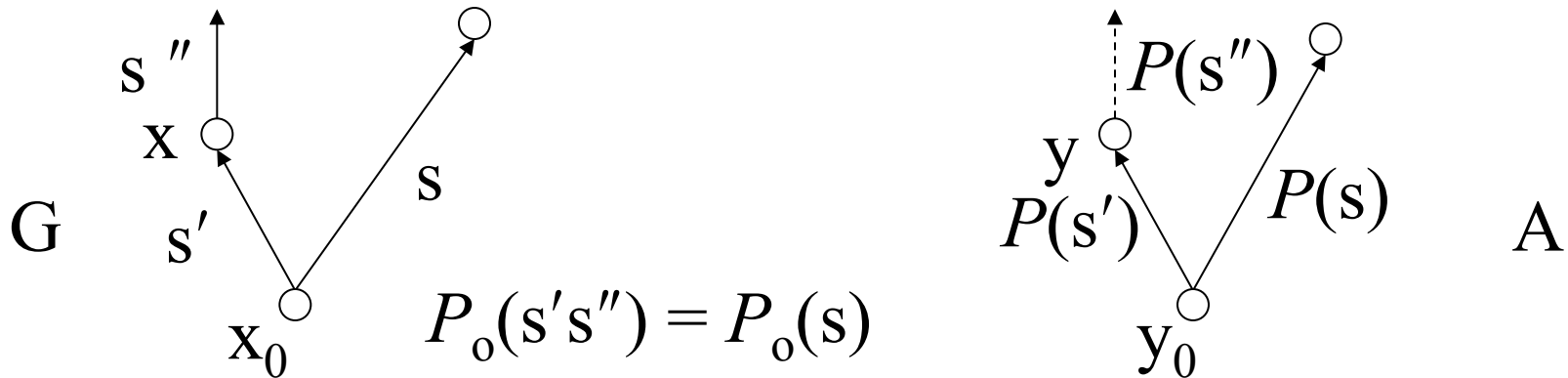


# State Normality

- Definition 3**

Given  $G = (X, \Sigma, \xi, x_0, X_m)$  and  $\Sigma' \subseteq \Sigma$ , let  $A = (Y, \Sigma', \eta, y_0, Y_m)$  and  $P: \Sigma^* \rightarrow \Sigma'^*$  be the natural projection. We say  $A$  is *state-normal* with respect to  $(G, P_0)$  if for any  $s \in L(G \times A)$  and  $s' \in P_0^{-1}(P_0(s))$ ,

$$(\forall (x, y) \in \xi \times \eta((x_0, y_0), s')) (\forall s'' \in \Sigma^*) P_0(s's'') = P_0(s) \wedge \xi(x, s'') \neq \emptyset \Rightarrow \eta(y, P(s'')) \neq \emptyset$$



# Nonblocking Supervisor

## • Definition 4

Given  $G \in \phi(\Sigma)$  and  $H \in \phi(\Delta)$  with  $\Delta \subseteq \Sigma' \subseteq \Sigma$ , an automaton  $S \in \phi(\Sigma')$  is a *nonblocking supervisor* of  $G$  under  $H$ , if  $S$  is deterministic and the following conditions hold:

- $N(G \times S) \subseteq N(G \times H)$
- $B(G \times S) = \emptyset$
- $S$  is state-controllable with respect to  $G$
- $S$  is state-observable with respect to  $G$  and  $P_o$

# Supremal Nonblocking State-Normal Supervisor

- Let
$$\mathcal{CN}(G,H):=\{S\in\phi(\Sigma)\mid S \text{ is a NSN supervisor of } G \text{ w.r.t. } H \wedge L(S)\subseteq L(G)\}$$
where NSN denotes “Nonblocking State-Normal”
- We can show that  $\mathcal{CN}(G,H)$  contains a unique element  $S^*$  such that

$$(\forall S\in\mathcal{CN}(G,H)) N(S) \subseteq N(S^*)$$

We call  $S^*$  the *supremal* NSN supervisor of  $G$  under  $H$

- $S^*$  is computable with the complexity of  $O(\|G\|\times\|H\|e^{\|G\|\times\|H\|})$

# Main Results

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- Let  $G \in \phi(\Sigma)$  and a deterministic specification  $H \in \phi(\Delta)$  with  $\Delta \subseteq \Sigma' \subseteq \Sigma$ .

## Theorem 1

$S \in \phi(\Sigma')$  is a nonblocking supervisor of  $G/\approx_{\Sigma'}$  with respect to  $H$



$S$  is a nonblocking supervisor of  $G$  with respect to  $H$

## Main Results (cont.)

- Let  $G \in \phi(\Sigma)$  and a deterministic specification  $H \in \phi(\Delta)$  with  $\Delta \subseteq \Sigma' \subseteq \Sigma$ .
- Suppose  $G$  is marking aware w.r.t.  $\Sigma'$  and  $\Sigma_0 \subseteq \Sigma'$ .

### Theorem 2

$S \in \phi(\Sigma')$  is a nonblocking supervisor of  $G/\approx_{\Sigma'}$  with respect to  $H$



$S$  is a nonblocking supervisor of  $G$  with respect to  $H$

# Outline

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- Review of Automaton Abstraction
- Concepts of Supervisors and Relevant Properties
- **Synthesis of Distributed Supervisors**
- Example
- Conclusions

# Concept of Distributed System

- A *distributed system* with respect to given alphabets  $\{\Sigma_i | i \in I\}$  is a collection of nondeterministic finite-state automata

$$\mathcal{G} := \{G_i = (X_i, \Sigma_i, \xi_i, x_{i,0}, X_{i,m}) \in \Phi(\Sigma_i) | i \in I\}$$

where  $\Sigma_i = \Sigma_{i,c} \cup \Sigma_{i,uc} = \Sigma_{i,o} \cup \Sigma_{i,uo}$ . The *compositional behavior* of  $\mathcal{G}$  is specified by  $\times_{i \in I} G_i$ .

- We assume that,  $(\forall i, j \in I) i \neq j \Rightarrow \Sigma_{i,c} \cap \Sigma_{j,uc} = \emptyset \wedge \Sigma_{i,o} \cap \Sigma_{j,uo} = \emptyset$



# Nonblocking Distributed Supervisor

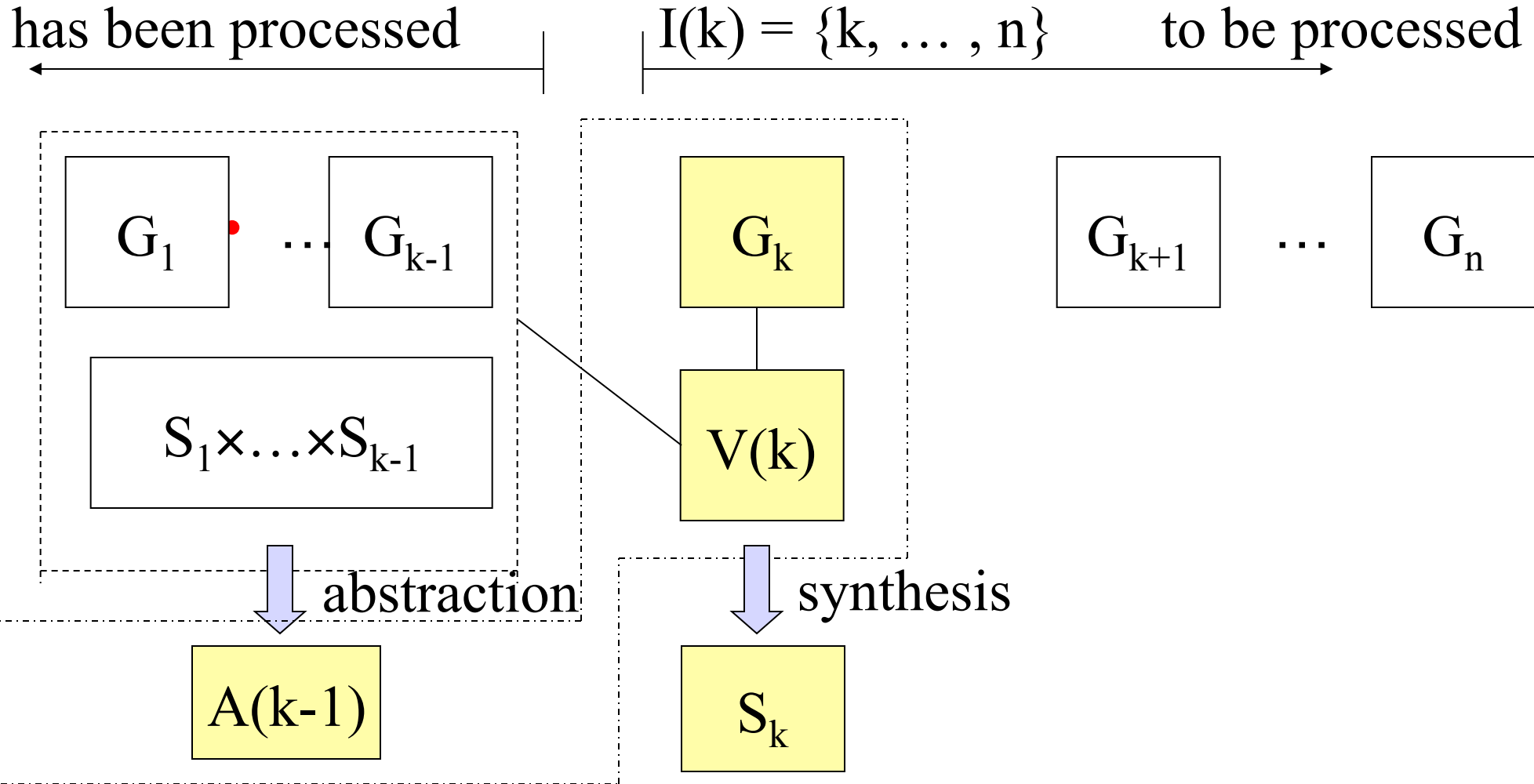
Given a distributed system  $\mathcal{G} = \{G_i \in \phi(\Sigma_i) \mid i \in I\}$  and deterministic specifications  $\mathcal{H} = \{H_j \in \phi(\Delta_j) \mid j \in J\} \mid \Delta_j \subseteq \bigcup_{i \in I} \Sigma_i \wedge j \in J\}$ , synthesize a set of deterministic automata  $\mathcal{S} = \{S_k \in \phi(\Gamma_k) \mid \Gamma_k \subseteq \bigcup_{i \in I} \Sigma_i \wedge k \in K\}$  such that the following conditions hold,

- $N((\times_{i \in I} G_i) \times (\times_{k \in K} S_k)) \subseteq N((\times_{i \in I} G_i) \times (\times_{j \in J} H_j))$
- $B((\times_{i \in I} G_i) \times (\times_{k \in K} S_k)) = \emptyset$
- $\times_{k \in K} S_k$  is state-controllable w.r.t.  $\times_{i \in I} G_i$
- $\times_{k \in K} S_k$  is state-observable w.r.t.  $\times_{i \in I} G_i$  and  $P_o: (\bigcup_{i \in I} \Sigma_i)^* \rightarrow (\bigcup_{i \in I} \Sigma_{i,o})^*$

# An Aggregative Synthesis Approach (ASP)

- Inputs: standardized  $\mathcal{G} = \{G_i \in \phi(\Sigma_i) \mid i \in I\}$ ,  $\mathcal{H} = \{H_j \in \phi(\Delta_j) \mid j \in J\} \mid \Delta_j \subseteq \bigcup_{i \in I} \Sigma_i \wedge j \in J\}$
- Initially set  $W_1 := G_1$ ,  $J_1 := \{j \in J \mid \Delta_j \subseteq \Sigma_1\}$ ,  $Q_1 := J_1$  and  $T_1 := \Sigma_1$
- For  $k=1, \dots, n$ ,
  - If  $J_k \neq \emptyset$ , let  $V_k := \times_{j \in J_k} H_j$ . Otherwise, set  $V_k$  as a recognizer of  $\Sigma_i^*$ .
  - Synthesize the supremal NSN supervisor  $S_k$  of  $W_k$  under  $V_k$ .
  - Terminate when  $S_k$  is empty or  $k=n$ . Otherwise, do the following.
  - Set  $I_{k+1} := \{i \in I \mid k+1 \leq i \leq n\}$ ,  $\Sigma_{I_{k+1}} := \bigcup_{i \in I_{k+1}} \Sigma_i$  and  $\Theta_{k+1} := \bigcup_{j \in J - Q_k} \Delta_j$ .
  - Choose  $\Sigma_{A_k} \subseteq T_k$  with  $(\Sigma_{I_{k+1}} \cup \Theta_{k+1}) \cap T_k \subseteq \Sigma_{A_k}$ . Let  $A_k := (W_k \times S_k) / \approx_{\Sigma_{A_k}}$ .
  - $W_{k+1} := A_k \times G_{k+1}$ ,  $Q_{k+1} := \{j \in J \mid \Delta_j \subseteq \bigcup_{i=1}^{k+1} \Sigma_i\}$ .
  - $J_{k+1} := Q_{k+1} - Q_k$ ,  $T_{k+1} := \Sigma_{A_k} \cup \Sigma_{k+1}$ .
- When terminate upon  $k$ , output  $S = \{S_1, S_2, \dots, S_k\}$ .

# Aggregative Synthesis



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- **Theorem**

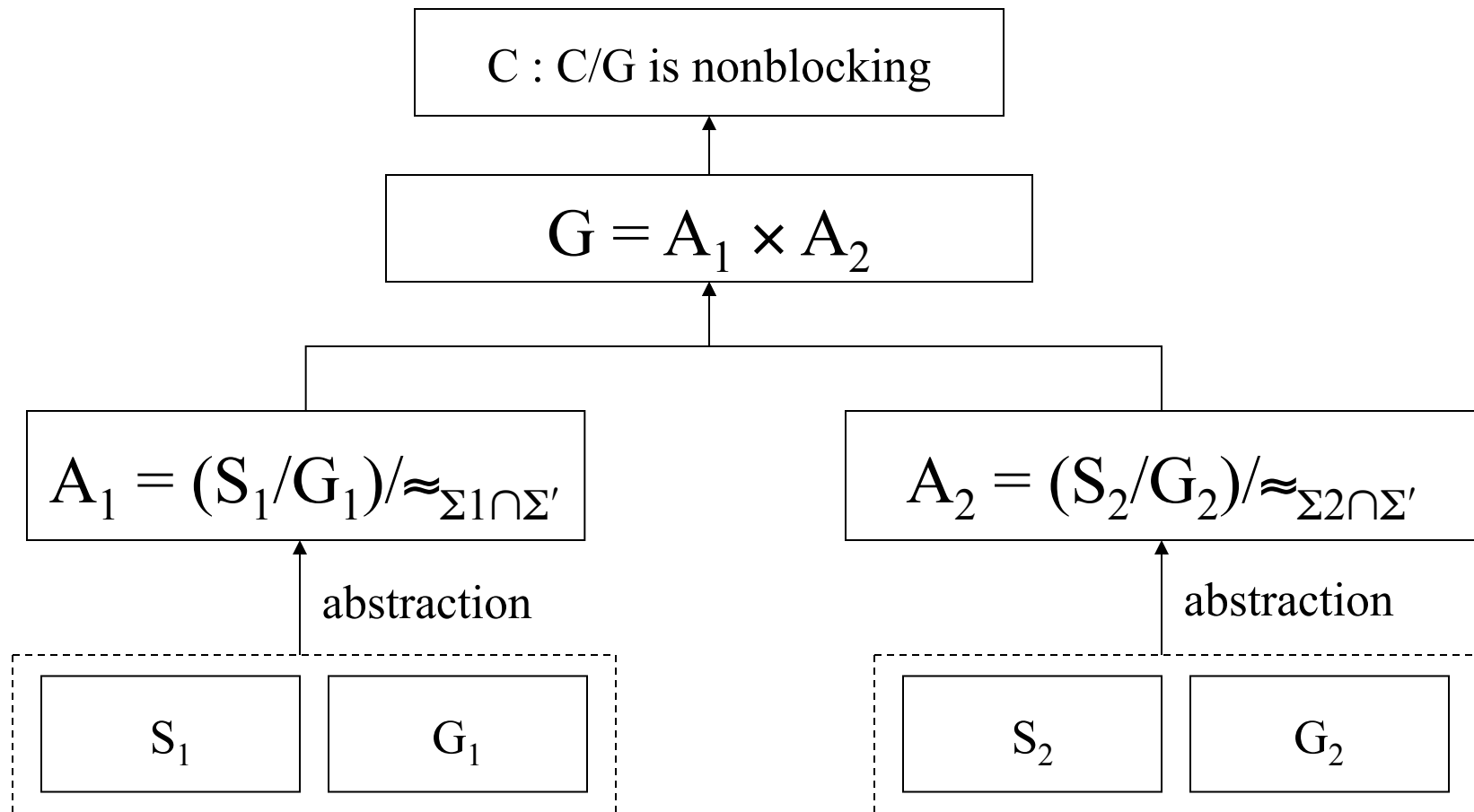
The ASP always terminates, and if every  $S_k$  ( $k=1,2,\dots,n$ ) is nonempty, then  $\{S_k \mid k=1,2,\dots,n\}$  a nonblocking distributed supervisor of  $G$  under  $\mathcal{H}$ .

# Main Difficulty for Aggregative Synthesis

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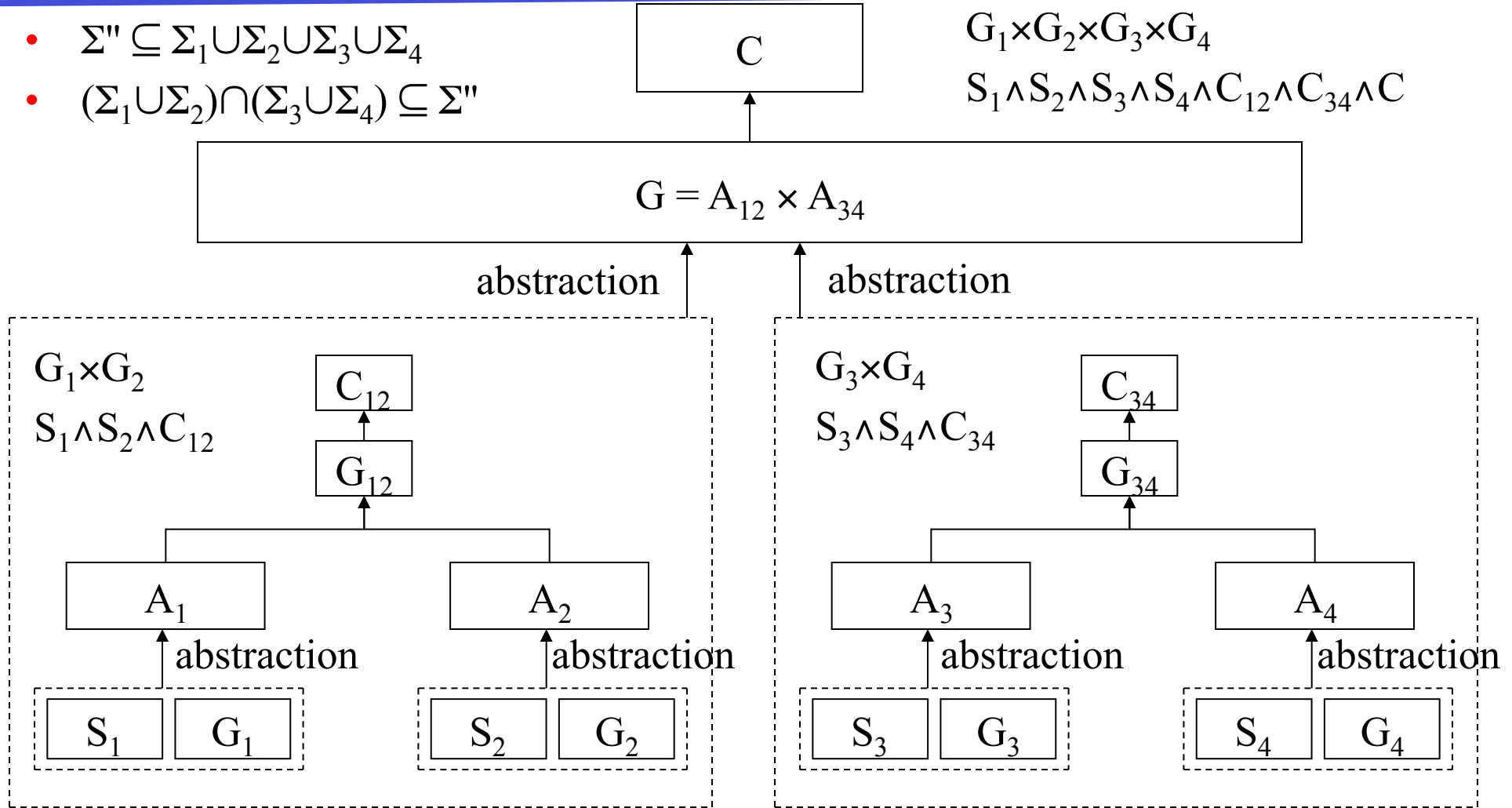
- How to order components so that it yields a solution?

# Parallel Synthesis – Coordinated Distributed Control



# Multi-Level Coordinators

- $\Sigma'' \subseteq \Sigma_1 \cup \Sigma_2 \cup \Sigma_3 \cup \Sigma_4$
- $(\Sigma_1 \cup \Sigma_2) \cap (\Sigma_3 \cup \Sigma_4) \subseteq \Sigma''$



# Main Difficulty for Coordinated Control

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- How to define those coordinator alphabets?

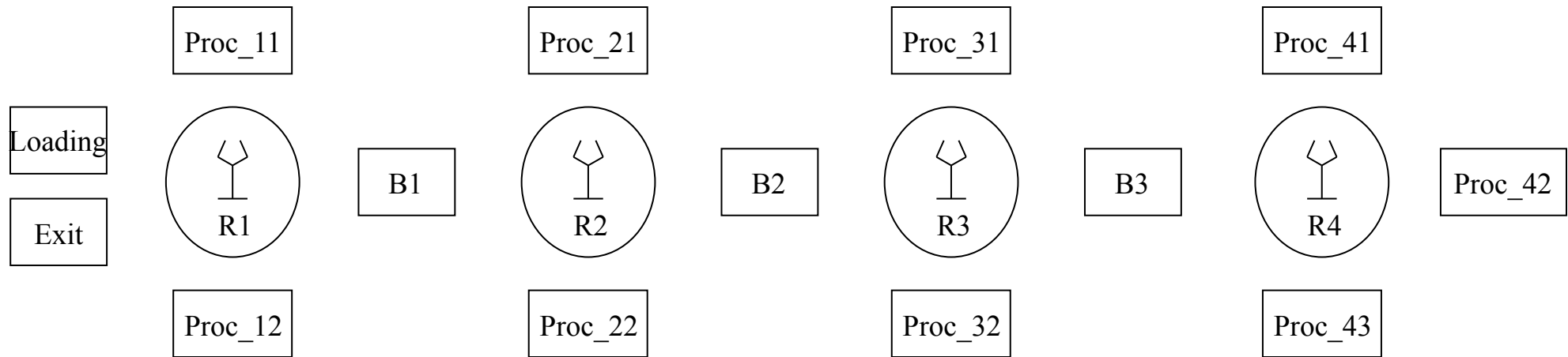
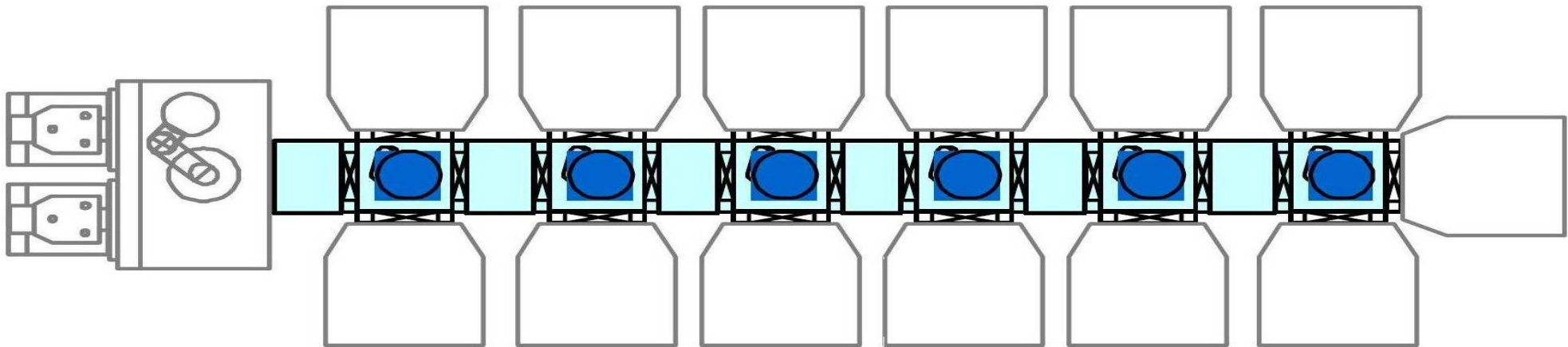


# Outline

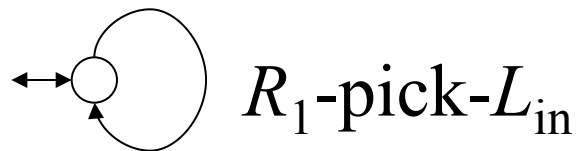
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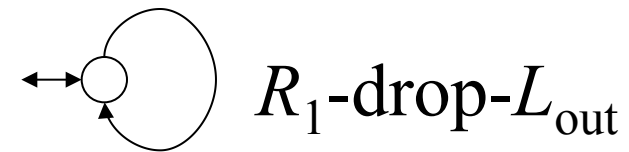
# Cluster Tools



# Component Models – Load and Exit Locks

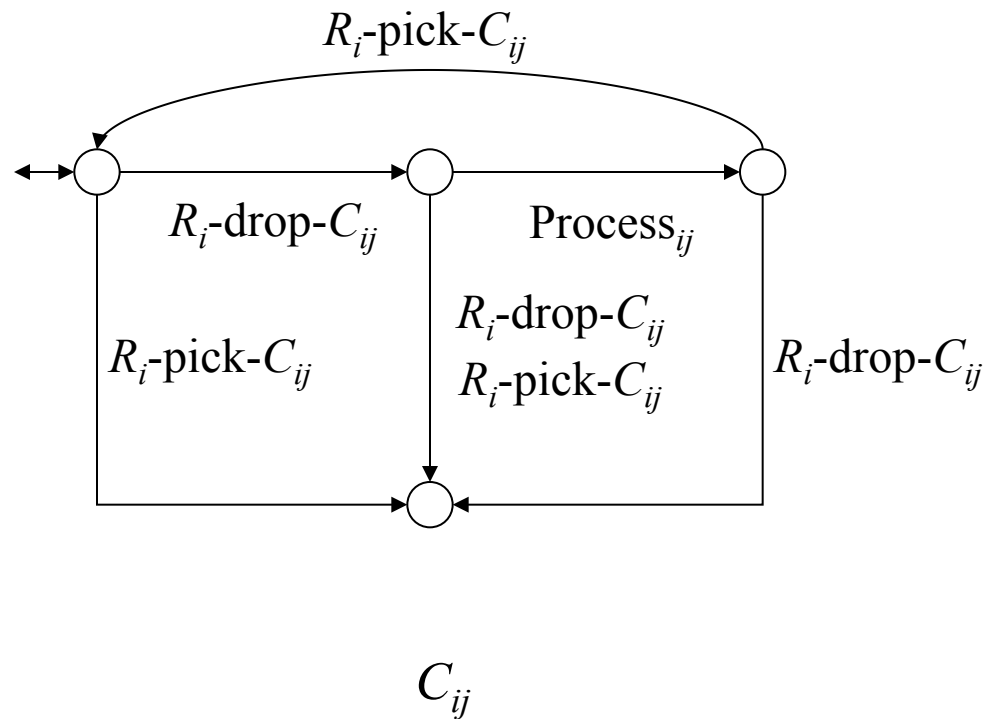


Entering Load Lock  $L_{in}$

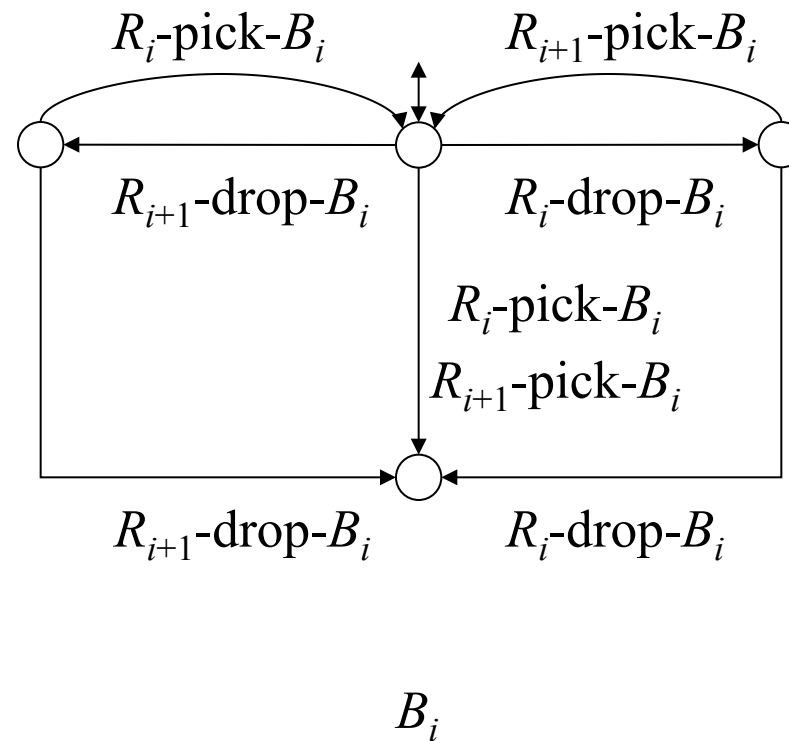


Exit Load Lock  $L_{out}$

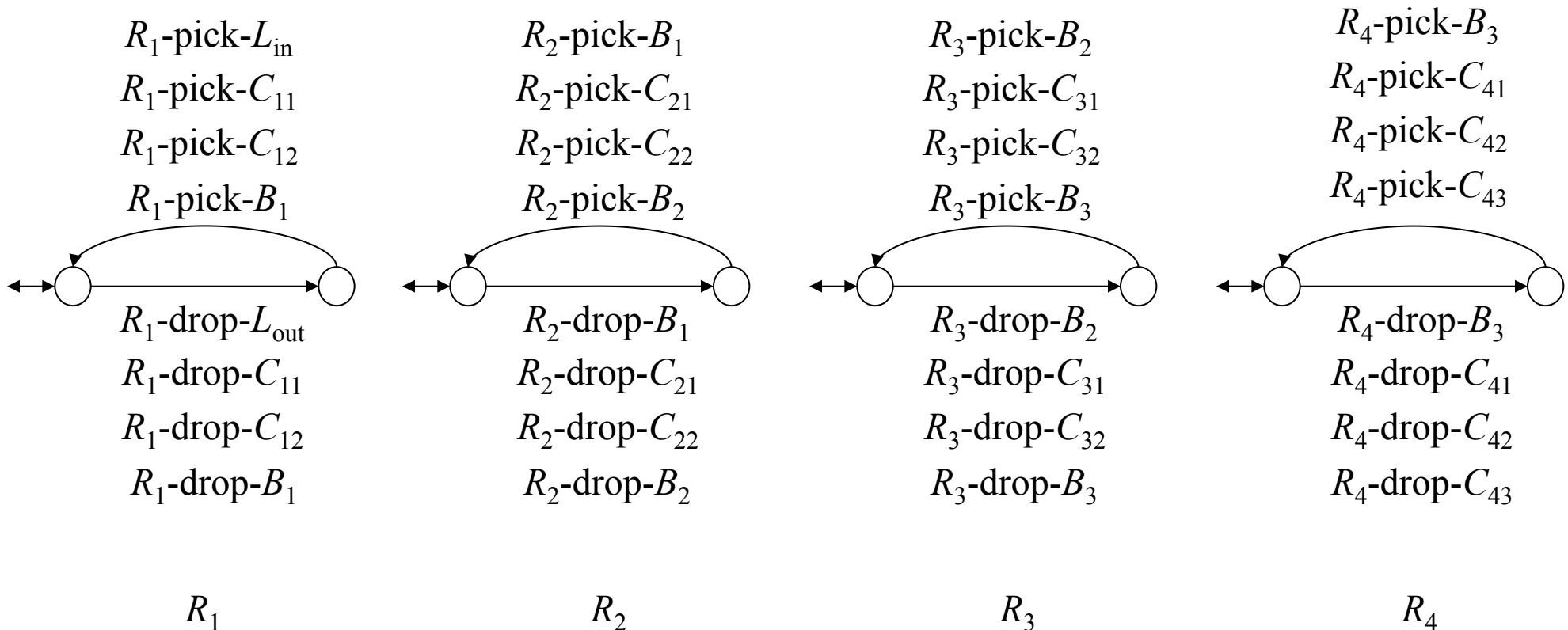
# Component Models – Chambers



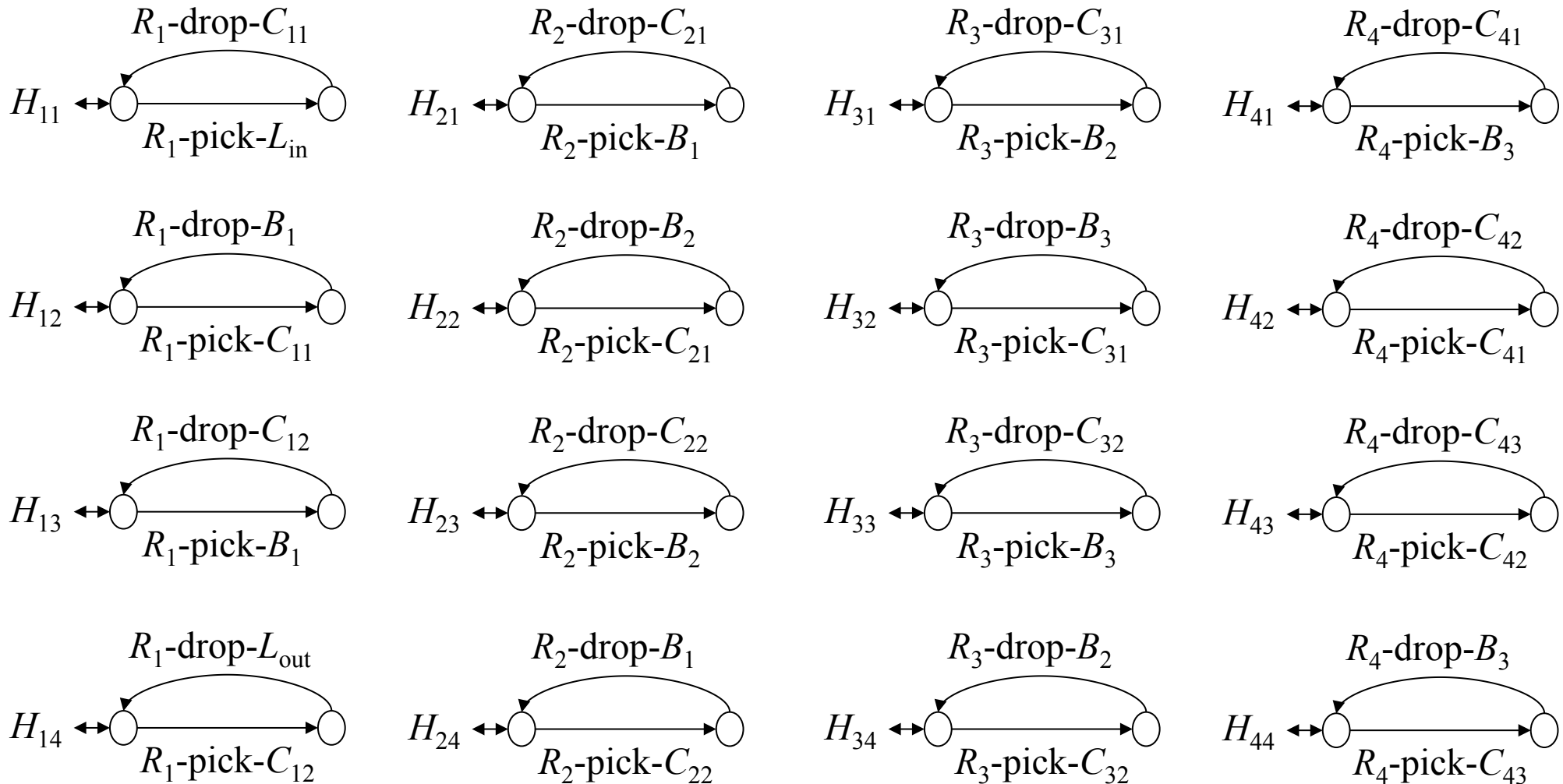
# Component Models – Buffers



# Component Models – Robots



# Specifications



# Create Standardized Automata

- Let

- $G_1 := \mu(C_{41}) \times \mu(C_{42}) \times \mu(C_{43}) \times \mu(R_4) \times \mu(B_3)$

- $G_2 := \mu(C_{31}) \times \mu(C_{32}) \times \mu(R_3) \times \mu(B_2)$

- $G_3 := \mu(C_{21}) \times \mu(C_{22}) \times \mu(R_2) \times \mu(B_1)$

- $G_4 := \mu(C_{11}) \times \mu(C_{12}) \times \mu(R_1) \times \mu(L_{in}) \times \mu(L_{out})$

and

- $H_1 := \mu(H_{41}) \times \mu(H_{42}) \times \mu(H_{43}) \times \mu(H_{44})$

- $H_2 := \mu(H_{31}) \times \mu(H_{32}) \times \mu(H_{33}) \times \mu(H_{34})$

- $H_3 := \mu(H_{21}) \times \mu(H_{22}) \times \mu(H_{23}) \times \mu(H_{24})$

- $H_4 := \mu(H_{11}) \times \mu(H_{12}) \times \mu(H_{13}) \times \mu(H_{14})$



# Aggregative Synthesis

- Synthesize the supremal nonblocking state-normal supervisor  $S_1$  of  $G_1$  under  $H_1$ .
  - Use `make_supervisor('G1.cfg', 'H1.cfg', 'S1.cfg')` :: S1 (112, 222)
- Perform abstraction
  - Use `make_sequential_abstraction('G1.cfg, S1.cfg', 'R3-pick-B3, R3-drop-B3, R3-pick-B3, R4-drop-B3', 'A1.cfg')` :: A1 (15, 24)

# Aggregative Synthesis (cont.)

- Form a new plant model
  - Use `make_product('G2.cfg, A1.cfg', 'W2.cfg')` :: `W2` (985, 4053)
- Synthesize the supremal nonblocking state-normal supervisor  $S_2$  of  $W_2$  under  $H_2$ .
  - Use `make_supervisor('W2.cfg', 'H2.cfg', 'S2.cfg')` :: `S1` (140, 288)
- Perform abstraction
  - Use `make_sequential_abstraction('W2.cfg, S2.cfg', 'R2-pick-B2, R2-drop-B2, R3-pick-B2, R3-drop-B2', 'A2.cfg')` :: `A2` (15, 24)

# Aggregative Synthesis (cont.)

- Form a new plant model
  - Use `make_product('G3.cfg, A2.cfg', 'W3.cfg')` ::  $W_3$  (985, 4053)
- Synthesize the supremal nonblocking state-normal supervisor  $S_3$  of  $W_3$  under  $H_3$ .
  - Use `make_supervisor('W3.cfg', 'H3.cfg', 'S3.cfg')` ::  $S_1$  (140, 288)
- Perform abstraction
  - Use `make_sequential_abstraction('W3.cfg, S3.cfg', 'R1-pick-B1, R1-drop-B1, R2-pick-B1, R2-drop-B1', 'A3.cfg')` ::  $A_3$  (15, 24)

# Aggregative Synthesis (cont.)

- Form a new plant model
  - Use `make_product('G4.cfg, A3.cfg', 'W4.cfg')` :: `W4` (253, 913)
- Synthesize the supremal nonblocking state-normal supervisor  $S_4$  of  $W_4$  under  $H_4$ .
  - Use `make_supervisor('W4.cfg', 'H4.cfg', 'S4.cfg')` :: `S4` (68, 126)
- Perform nonconflict check
  - Use `make_nonconflicting_check('G1.cfg, G2.cfg, G3.cfg, G4.cfg, S1.cfg, S2.cfg, S3.cfg, S4.cfg')` :: `ok`

# Homework

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- Compute a coordinated distributed supervisor.
  - You can decide the number and the locations of your coordinators.

# Conclusions

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- Advantages
  - The abstraction technique is less restrictive than using observers
  - It can reduce space complexity as long as a system is loosely coupled
  - The synthesis approach has a limited degree of reusability when a system's architecture is changed
- Disadvantages
  - The abstraction technique may bring in extra restriction on supervisors
  - The aggregative approach requires a “good” ordering of components
  - The coordinated control needs good choices of coordinator alphabets