# G-CARE: A Framework for Performance Benchmarking of Cardinality Estimation Techniques for Subgraph Matching

Yeonsu Park<sup>†</sup>

Seongyun Ko<sup>†</sup>

Sourav S Bhowmick<sup>‡</sup>

Kyoungmin Kim<sup>†</sup>

Kijae Hong<sup>†</sup>

Wook-Shin Han<sup>†§</sup>

POSTECH, Korea $^{\dagger}$ , NTU, Singapore $^{\ddagger}$ 

{yspark, syko, kmkim, kjhong, wshan}@dblab.postech.ac.kr<sup>†</sup>, assourav@ntu.edu.sg<sup>‡</sup>

## ABSTRACT

Despite the crucial role of cardinality estimation in query optimization, there has been no systematic and in-depth study of the existing cardinality estimation techniques for subgraph matching queries. In this paper, for the first time, we present a comprehensive study of the existing cardinality estimation techniques for subgraph matching queries, scaling far beyond the original experiments. We first introduce a novel framework called G-CARE that enables us to realize all existing techniques on top of it and that provides insights on their performance. By using G-CARE, we then reimplement representative cardinality estimation techniques for graph databases as well as relational databases. We next evaluate these techniques w.r.t accuracy on RDF and non-RDF graphs from different domains with subgraph matching queries of various topologies so far considered. Surprisingly, our results reveal that all existing techniques have serious problems in accuracy for various scenarios and datasets. Intriguingly, a simple sampling method based on an online aggregation technique designed for *relational* data, consistently outperforms all existing techniques.

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## **1 INTRODUCTION**

Subgraph matching based on subgraph isomorphism or graph homomorphism is an important class of query primitive for querying data graphs. Given a query graph Q and a data graph G, subgraph matching finds all (isomorphic or homomorphic) embeddings of Q in G. A recent survey revealed that subgraph matching is among the top-5 most popular graph computation problem among researchers and practitioners [34]. It is also a fundamental building block of several graph query languages such as SPARQL [17], *Cypher*, and more recently G-CORE [4].

Estimating the cardinality (*i.e.*, the number of embeddings) of subgraph matching queries is paramount to determine the accurate execution cost of a query plan [28] since the cardinality of (intermediate) results and input graphs are used as inputs to the query optimizer cost models. In fact, cost-based query optimizers are used by major graph database systems including Neo4j, Oracle PGX, Amazon Neptune, Virtuoso, RDF-3X, and Apache Jena.

Cardinality estimation has been studied extensively in the context of relational databases for several decades and has been deployed widely in commercial DBMS. However, a similar effort for graph data is relatively scant. The majority of these efforts are studied in the context of RDF data. For instance, Neumann *et al.* proposed the RDF-3X system, which has a histogram-based component for cardinality estimation [31]. Subsequently, they extended this technique by exploiting the statistical information of CHARACTERIS-TICSETS [30] (characterizes *subjects* as a set of properties) to estimate cardinality. Chen *et al.* [9] proposed a random walk-based method called IMPR to estimate the cardinality of graphlets, which can be utilized to estimate the cardinality

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<sup>§</sup>Corresponding author

of a subgraph matching query. Most recently, Stefanoni *et al.* proposed SUMRDF [38], which exploits graph summarization to address the cardinality estimation problem.

Despite a decade of research on cardinality estimation for subgraph matching queries, we do not have a thorough understanding of how good existing techniques really are. Some limitations observed in experiments of the existing literature are as follows: 1) Since they are not described under a common framework, it is hard to compare each technique. 2) The reported comparisons of existing literature are incomprehensive. Thus, it is unclear whether later works outperform earlier work. 3) Although topologies, sizes, and cardinalities of queries are addressed as important query features [21, 26], existing literature overlooks in experiments. Except for IMPR, the other two techniques do not consider query topologies in depth. CHARACTERISTICSETS reports experimental results using only star-shaped queries. SUMRDF classifies queries into only four topology groups (linear, star, joined star, complex). In addition, none of them analyze the results of experiments for each range of cardinalities of queries.

Motivated by these problems, we propose a new guideline to evaluate the performance of cardinality estimation techniques for graph databases. In this paper, we present: (a) A common framework for implementing all existing techniques. (b) Thorough performance evaluation of these techniques using real-world and synthetic datasets, focusing on major query features that are relevant to the cardinality estimation problem. Specifically, this paper aims to address the following open-ended questions that are not adequately addressed in the existing literature:

- How accurate are existing cardinality estimation techniques for subgraph matching queries across different realworld and synthetic graph datasets?
- How do these techniques perform for queries with different size and topology (*e.g.*, chain, cycle, flower, etc.)?
- How well do these techniques perform across a wide range of cardinality of queries?
- How scalable are these techniques?

We present a comprehensive study on the cardinality estimation problem for subgraph matching queries. We present G-CARE (Graph <u>CAR</u>dinality <u>E</u>stimation), the *first framework to benchmark cardinality estimation techniques* for subgraph matching queries. Our framework will facilitate benchmarking techniques studied in this paper against future cardinality estimation techniques for subgraph matching queries.

Since the topological constraints of a subgraph matching query can be expressed using SQL join conditions, we observe that cardinality estimation techniques for relational queries essentially solve the same problem. This motivates us to expand our study to explore whether the cardinality estimation problem for subgraph matching queries can be addressed by existing techniques not designed specifically for graph-structured data. To this end, we select two state-of-the-art cardinality estimation techniques for relational queries [41, 44] supporting arbitrary join queries and one basic technique as a baseline. Furthermore, we consider a promising online aggregation technique called WANDER-JOIN [23] for join cardinality estimation. With simple modifications to WANDERJOIN (i.e., introducing a sampling ratio and using COUNT aggregation), we can use it in our study.

Although there have been a large number of works on benchmarks or experimental studies for graph data [3, 5, 13, 15, 20, 21, 24, 32, 33, 42], none of them has focused on the cardinality estimation problem. [21] conducted experimental studies on subgraph isomorphism algorithms. [15, 33, 36] presented a benchmark for RDF query processing. [5, 13] provided a benchmark for query processing over social graphs. [24] proposed a benchmark for graph processing with various classes of operations and different tests. [32, 42] conducted experimental studies on graph partitioning strategies. [3] performed an experimental study on distributed graph analytics systems. [20] conducted an experimental study on graph indexing techniques. To the best of our knowledge, we present the first work dedicated to the cardinality estimation problem for subgraph matching.

Our study on seven representative cardinality estimation techniques unveils intriguing and unexpected findings. Although several techniques in the context of relational databases are not convincingly superior to existing cardinality estimation techniques for subgraph matching queries, surprisingly, WANDERJOIN [23], a technique designed for online aggregation consistently outperforms the other state-of-theart techniques across a wide variety of measures! That is, a technique not designed to address the cardinality estimation problem for graph data is, in fact, superior to those designed specifically for graph data. In addition, several results of our study contradict the results reported in the original papers.

The rest of the paper is organized as follows. Section 2 introduces the background information necessary for understanding this paper. We implement the state-of-the-art techniques for cardinality estimation for subgraph matching queries in the common framework G-CARE in Section 3 and highlight their salient features. In Section 4, we expand our research scope by implementing cardinality estimation techniques designed for relational data in G-CARE. Section 5 presents the experimental setup and datasets for the evaluation of the cardinality estimation techniques. We conduct an exhaustive evaluation of these techniques in Section 6. In Section 7, we conclude this paper.

## 2 BACKGROUND

We denote a directed labeled graph as  $G = (V_G, E_G, L_G)$ , where  $V_G$  is a set of vertices,  $E_G$  is a set of directed edges,  $L_G$  is a mapping function of *G* for labels of vertices or edges. That is,  $L_G(v)$  and  $L_G(u, v)$  are the labels of vertex  $v \in V_G$ and edge  $(u, v) \in E_G$ , respectively. We support various types of graph datasets in G-CARE, such as directed labeled graphs, undirected graphs, unlabeled graphs, and Resource Description Framework (RDF). We represent all types of graphs as directed labeled graphs. To this end, for undirected graphs, we represent each undirected edge as two directed edges. For unlabeled graphs, all edges in a data graph are considered to have label zero. Since RDF data consists of a list of triples (subject, predicate, object), we can express it as a directed labeled graph by mapping from subjects and objects to vertices, and mapping *predicates* to edges with corresponding labels. We denote  $\mathcal{L}_G$  to be a list of labels in a graph *G*. The *size* of a graph G is defined as  $|G| = |E_G|$ . The *indegree*,  $deg_{in}(v)$ , and outdegree,  $deg_{out}(v)$  of v in G is  $|\{v'|(v', v) \in E_G\}|$  and  $|\{v'|(v, v') \in E_G\}|$ , respectively.

Given a query graph  $Q = (V_Q, E_Q, L_Q)$ , and a data graph  $G = (V_G, E_G, L_G)$ , a graph homomorphism is a function  $M_G : V_Q \rightarrow V_G$ , such that,  $\forall u \in V_Q, L_Q(u) \subseteq L_G(M(u))$  and  $\forall (u_i, u_j) \in E_Q$ ,  $(M(u_i), M(u_j)) \in E_G$  and  $L(u_i, u_j) = L_G(M(u_i), M(u_j))$ . A graph  $G = (V_G, E_G)$  is a subgraph of another graph  $G' = (V'_G, E'_G)$  if there exists a graph homomorphism from G to G', denoted by  $G \subseteq G'$ . We may simply say that G' contains G. If a query vertex u is unlabeled,  $L_Q(u) = \emptyset$ , where u can match any data vertices.

If Q is graph homomorphic to G, we call M an *embed*ding of Q. Note that there may exist multiple embeddings of Q in G, and we use  $\mathcal{E} = \{M_G^1(Q), M_G^2, \dots, M_G^n\}$  to denote the set of embeddings of Q in G. For example, in Figure 1, there are three graph homomorphic embeddings of Q in  $G: M_G^1(Q) = \{(u_0, v_0), (u_1, v_2), (u_2, v_4)\}, M_G^2(Q) = \{(u_0, v_1), (u_1, v_3), (u_2, v_5)\}, \text{ and } M_G^3(Q) = \{(u_0, v_0), (u_1, v_1), (u_2, v_0)\}.$ 

Subgraph matching in property graphs is similar to that in the RDF graphs since a property graph can be represented as an RDF graph [11]. Hence, one can apply the techniques developed for RDF graphs to property graphs. However, query languages for property graph databases such as Cypher support more complex querying features [12] including regular path queries. It would be an interesting future work to estimate cardinalities of such complex queries. Handling the predicates on the properties is related to the cardinality estimation for the selection predicates, which is beyond the scope of this work. We focus on subgraph pattern matching, which is related to join queries in relational databases.

# 3 CARDINALITY ESTIMATION TECHNIQUES FOR GRAPH DATA

We select three state-of-the-art techniques for our study, namely, CHARACTERISTICSETS [30], IMPR [9], and SUMRDF [38]. We classify the cardinality estimation techniques into two categories: *sampling*-based techniques and *summary*-based



Figure 1: An example of a query and data graph.

techniques. IMPR is a sampling-based technique, while CHAR-ACTERISTICSETS and SUMRDF are summary-based techniques. Except for IMPR, all these techniques are designed to estimate the cardinality of graph queries over RDF data. Hence, by transforming each triple in RDF data into a directed labeled edge, we represent the data as a directed labeled graph in our study. Note that we do not consider early efforts such as [19, 31, 39] as they are outperformed by [9, 30, 38].

We begin by introducing a generic cardinality estimation framework for graph data called G-CARE to implement these techniques. We reimplemented all techniques in G-CARE using C++. Specifically, we made the best efforts to implement CHARACTERISTICSETS based on the reference paper. We refer to published codes of IMPR and SUMRDF implemented in C++ and Java, respectively.

## 3.1 The G-CARE Framework

Since we must consider both sampling-based techniques and summary-based techniques in a common framework, we introduce a new notion of *target substructure* as follows. If we use a sampling-based technique, a sampling unit with its probability is a target substructure. Otherwise, *i.e.*, if we use a summary-based technique, a matched substructure of a summary is a target substructure. For example, a random walk is a typical target substructure in sampling-based techniques. Suppose that *G* is summarized into a summary graph *S* [38]. Then, an embedding of *Q* in *S* can be a target substructure.

The G-CARE framework (Algorithm 1) returns an estimated cardinality of Q in G by taking as input a query graph Q, a data graph G, and a sampling ratio p for sampling-based techniques. Note that additional parameters may be required for a specific estimation strategy. G-CARE comprises two key steps, *preparation* for summary structures (Line 1) and *estimation* using target substructures (Line 2-11). In the case of sampling-based techniques, we do not construct summary structures. In estimation, we 1) decompose Q into  $(q_1, ..., q_m)$  (Line 2), 2) obtain a series of target substructures for  $q_j$  and estimate the cardinality of  $q_j$  for each target substructure using EstCARD, 3) store the estimated cardinality into a vector called *cardVec*, 4) estimate the cardinality of  $q_j$  by aggregating over *cardVec* using aggregation operators such as SUM and AVG (Line 10), and 5) compute the cardinality

of Q by multiplying the cardinalities of subqueries by the selectivity for subqueries. (Line 11). Note that p determines the number of iterations (the number of target substructures).

Algorithm 1: G-CARE framework **Input:** A query graph *Q*, a data graph *G*, a sampling ratio *p* **Output:** Cardinality estimate 1  $S \leftarrow \text{PrepareSummaryStructure}(G, ...);$ <sup>2</sup>  $(q_1, ..., q_m) \leftarrow \text{DecomposeQuery}(Q);$ 3 subqueryCard  $\leftarrow \emptyset$ ; 4 for  $j \leftarrow 1$  to m do  $i \leftarrow 0;$ 5 cardVec  $\leftarrow \emptyset$ ; 6 while  $(s_i \leftarrow GetSUBSTRUCTURE(G, S, q_i, p)) \neq \emptyset$  do 7  $cardVec[i] \leftarrow EstCARD(q_i, s_i);$ 8 9  $i \leftarrow i + 1;$  $subqueryCard[j] \leftarrow AggCArd(cardVec);$ 10 11 **return**  $\prod_{j=1}^{m}$  subqueryCard[j] · sel(q<sub>1</sub>, ..., q<sub>m</sub>);

We derive the G-CARE framework after exhaustively surveying the existing literature on cardinality estimation. We view the cardinality estimation for subgraph matching as query execution on target substructures instead of the entire data. The target substructures are obtained from sampling or summary structures. G-CARE supports a divide-and-conquer style query execution over the target structures and aggregation of their results, which is general enough to accommodate the existing cardinality estimation techniques. Although the goal of G-CARE is to compare existing techniques fairly in a common framework, users can also enjoy the reduced programming efforts for implementing various cardinality estimation techniques, storage structures, and a set of basic operations such as pattern matching and random walks.

# 3.2 Characteristic Sets (C-SET)

CHARACTERISTICSETS [30] is a summary-based technique using the summary structures called *characteristic sets*. Each characteristic set counts a specific type of *star-shaped structures* in a data graph. For cardinality estimation, CHARAC-TERISTICSETS decomposes a query into the star-shaped subqueries and estimates the cardinalities of the subqueries using characteristic sets. Finally, it estimates the cardinality of the whole query by an aggregation based on the independence assumption between subqueries.

<u>PREPARESUMMARYSTRUCTURE</u>: A characteristic set  $cs \in S$  is represented by a set of vertex labels VL and a (possibly empty) set of outgoing (or incoming) edge labels EL. Here, cs stores the number of occurrences of star-shaped structures in the data graph such that each structure has a center vertex with labels VL, and has at least one adjacent outgoing (or incoming) edge with label el for all  $el \in EL$ . For example, the

first column of the first table in Figure 2 shows that the *cs* represented by vertex label *A* and outgoing edge labels *a* and *c*, appears once (with a center vertex  $v_0$ ) in the data graph in Figure 1(b). The second and third columns represent the total numbers of occurrences of edges with labels *a* and *c* incident to center vertices, respectively. *cs.count* denotes the number in the first column, while *cs.freq(el)* denotes the number for edge labels *el*  $\in$  *EL* among the rest of the columns.

	А	a	с		Α	a	b	d		C	с	
	1	2	1		1	1	1	1		2	2	
/L:{A},EL:{a,c}				;}	$VL: \{A\}, EL: \{a, b, d\}$				VL: {C}, EL: {c}			

Figure 2: An example of characteristic sets for G.

DECOMPOSEQUERY: We decompose Q into subqueries  $(q_1, ..., \overline{q_m})$  where each subquery corresponds to a star-shaped structure (with a center vertex) or an edge between two unlabeled vertices. For the example query in Figure 1(a), we can decompose it into one star and two edges as in Figure 3. The left star is represented by  $VL = \{A\}$  and  $EL = \{a\}$  where the center vertex is  $u_0$ . The middle and the right ones are edge queries between unlabeled vertices.

<u>GETSUBSTRUCTURE</u>: For each star-shaped subquery  $q_j$  (with vertex labels  $VL_j$  and edge labels  $EL_j$ ) from the decomposition, we find a set of characteristic sets  $\{(VL, EL)\}$  such that  $VL_j \subseteq VL$  and  $EL_j \subseteq EL$ . Each characteristic set found is returned as  $s_i$ . For example, when j = 1 (the left subquery in Figure 3),  $VL_j = \{A\}$ ,  $EL_j = \{a\}$ , and the first and second characteristic sets in Figure 2 are returned as  $s_1$  and  $s_2$ . If  $q_j$  is an edge query between two unlabeled vertices and has an edge label l, we return a summary (i.e., count) about edges with label l.

<u>ESTCARD</u>: If  $s_i$  is a characteristic set, we estimate its cardinality, i.e., how many star-shaped structures in the data graph correspond to  $s_i$ , as follows.  $cardVec[i] = s_i.count$ .  $\prod_{el \in EL_j} (s_i.freq(el)/s_i.count)$ . Otherwise if  $s_i$  is a count of edges with a specific label,  $cardVec[i] = s_i$ .

AGGCARD: AGGCARD uses SUM for aggregation.

We have *subqueryCard*[*j*] for each subquery  $q_j$  (*j*=1, ..., *m*). Finally,  $sel(q_1, ..., q_m)$  is approximated as the product of pairwise selectivities, i.e.,  $\prod_{x \neq y} sel(q_x, q_y)$ . Here, the selectivity between the two subqueries  $q_x$  and  $q_y$ ,  $sel(q_x, q_y)$ , is approximated as the product of pairwise selectivities of two incident edges  $e_x \in E_{q_x}$  and  $e_y \in E_{q_y}$ , i.e.,  $\prod_{e_x \in E_{q_x}, e_y \in E_{q_y}, e_x \cap e_y \neq \emptyset} sel(e_x, e_y)$ , where  $sel(e_x, e_y)$  is approximated using the basic join selectivity estimation [30].

## 3.3 SumRDF

SUMRDF [38] introduces the *typed* summary graph as a summary where the vertices with the same vertex labels and similar incident edge label distributions are grouped together.



Figure 3: The subqueries decomposed from Q.

Based on the *possible world* semantics, it estimates the cardinality as the expected cardinality over all possible data graphs summarized into the same summary graph.

PREPARESUMMARYSTRUCTURE: We define the summary graph S of G as follows. Let  $\mu$  be a many-to-one mapping from a data vertex v to a summary vertex b (called a bucket). Here, each data vertex v has a *type* that consists of the labels of vand the label distribution of its incident edges. If two data vertices have similar types (i.e., same vertex labels and similar edge label distributions), they are mapped to the same bucket. The similarity of the label distribution can be controlled by a user, and interested readers can refer to [38]. As the number of distinct vertex and edge labels increases, we observe that a summary graph can become very large, leading to significantly slow estimation time. Therefore, we extend the summarization algorithm, so that types with different vertex labels can be merged as well, when the size of the summary graph is larger than a user-defined threshold (i.e., 3% of the data graph size).

A summary vertex (or edge) maintains the weight as the number of data vertices (or edges) that are mapped to the same summary vertex (or edge). Formally,  $w(b) = |\{v \mid \mu(v) = b\}|$ , and  $w(b, b', l) = |\{(v, v') \mid \mu(v) = b, \mu(v') = b', l = L_G(b, b')\}|$ . Figure 4 shows a summary graph of the data graph in Figure 1(b).  $v_0$  and  $v_1$  are mapped to the same bucket  $b_0$ , and  $v_2$  and  $v_3$  are mapped to  $b_1$ . Thus,  $w(b_0) = 2$ ,  $w(b_1) = 2$ , and  $w(b_0, b_1, a) = 2$ ; two edges  $(v_0, v_2)$  and  $(v_1, v_3)$ with label *a* are grouped into  $(b_0, b_1)$ .



Figure 4: A summary graph in SUMRDF.

<u>GETSUBSTRUCTURE</u>: We return an embedding of Q in S (rather than G) as the target substructure  $s_i$  by using graph homomorphism. In Figure 4(a), we can find two embeddings of Q in Figure 1(a):  $s_0 = \{u_0 \rightarrow b_0, u_1 \rightarrow b_1, u_2 \rightarrow b_2\}$  and  $s_1 = \{u_0 \rightarrow b_0, u_1 \rightarrow b_0, u_2 \rightarrow b_0\}$ .

<u>ESTCARD</u>: We estimate the cardinality of Q (in G) using  $s_i$ . Since multiple data vertices can be mapped to the same bucket in  $s_i$ , we can expand  $s_i$  into multiple embeddings (from query vertices to data vertices). For example,  $s_0$  in our running example can be expanded into  $w(b_0) \cdot w(b_1) \cdot$  $w(b_2) = 8$  embeddings. Let m be any of these eight embeddings (e.g.,  $\{u_0 \rightarrow v_0, u_1 \rightarrow v_3, u_2 \rightarrow v_4\}$ ). Using the possible world semantics, we can calculate P(m), the probability that a graph contains the embedding m is selected among all graphs that are summarized into  $s_0$ . In our running example, there are  $\binom{w(b_0)w(b_1)}{w(b_0,b_1,a)} \cdot \binom{w(b_1)w(b_2)}{w(b_1,b_2,b)} \cdot \binom{w(b_2)w(b_0)}{w(b_2,b_0,c)} = \binom{4}{2}^3$ = 216 possible worlds. Among the 216 worlds,  $\binom{w(b_0)w(b_1)-1}{w(b_0,b_1,a)-1} \cdot \binom{w(b_1)w(b_2)-1}{w(b_2,b_0,c)-1} = \binom{3}{1}^3 = 27$  worlds contain m. Therefore, P(m) = 27/216. Considering that there are eight embeddings, the expected cardinality of Q is  $8 \cdot 27/216 = 1$ . This is returned as cardVec[0].

AGGCARD: AGGCARD uses SUM for aggregation.

#### **3.4 IMPR**

IMPR [9] is a sampling-based technique designed to estimate the cardinality of *k*-node graphlets for  $k \in \{3, 4, 5\}$ . We extend IMPR to count the number of embeddings instead of the number of subgraphs. For example, given a triangle query Q and a subgraph of the same shape  $\mathcal{G}$ , IMPR finds one subgraph in  $\mathcal{G}$ , but we modify it to find three embeddings of Qin  $\mathcal{G}$  based on the graph homomorphism.

IMPR performs random walks on a data graph and generates *visible* subgraphs (which we define in ESTCARD) from the walked vertices and their neighbors. It counts the number of embeddings in these subgraphs that match Q. The weighted sum of the counts is returned as an estimate.

GETSUBSTRUCTURE: The first vertex in the walk is chosen from the stationary probability  $d(v)/2|E_G|$  where d(v) denotes the degree of v [16]. After selecting the first vertex, our version of IMPR follows random walks considering edge labels in the query. The original algorithm does not consider query label information, where the transition probability from a vertex v to one of its neighbors v' is 1/d(v). Each random walk of k - 1 consecutive vertices is returned as  $s_i$ . ESTCARD: Let  $V_{s_i}$  and  $E_{s_i}$  be the set of vertices and edges that appear in  $s_i$ , respectively. ESTCARD generates a visible subgraph  $g_{s_i} = (V, E, L)$  of G where V consists of vertices in  $V_{s_i}$  and their neighbors  $N_{s_i}$ , and E consists of edges in  $E_{s_i}$  and edges between  $V_{s_i}$  and  $N_{s_i}$ . L is the same as  $L_G$ . Then, it computes  $f(s_i)$ , the number of embeddings that match Q where each embedding consists of all vertices in  $V_{s_i}$  and a vertex in  $N_{s_i}$ . Consider Figure 1. k = 3 since Q has three vertices. If  $s_i = \langle v_0, v_1 \rangle$ ,  $V = V_G \setminus \{v_7\}$  and  $E = E_G \setminus \{(v_2, v_4), (v_3, v_5), (v_3, v_7)\}$ . Therefore, we find one embedding  $\{(u_0, v_0), (u_1, v_1), (u_2, v_0)\}$  that matches Q. Hence,  $f(s_i) = 1.$ 

cardVec[i] is then computed as  $W(s_i)f(s_i)$ ;  $W(s_i)$  is chosen so that the estimation is unbiased. Specifically,  $W(s_i) =$ 

 $\frac{1}{\beta(Q)} \cdot \frac{|A(s_i)|}{\sum_{s \in A(s_i)} \pi(s)}$ , where  $A(s_i)$  is the set of possible random walks that can visit the same vertices as  $s_i$  (with different orders of vertices in  $s_i$ ), and  $\pi(s)$  is the stationary probability of performing a random walk s [16].  $\beta(Q)$  is a normalization factor, equal to the number of possible walks consisting of  $|V_Q| - 1$  vertices in Q.

AGGCARD: AGGCARD uses AVG for aggregation.

# 4 CARDINALITY ESTIMATION TECHNIQUES FOR RELATIONAL DATA

Since subgraph queries can be expressed as join queries in relational databases, the problem of estimating their cardinality can be addressed by estimating the cardinality of corresponding join queries in relational databases. Specifically, an edge in a data graph can be represented by a pair of a source vertex and destination vertex (or a vertex) which can be stored as a tuple in a table constructed for the corresponding edge label (or vertex label) [1]. Consequently, a subgraph query can be posed as a join query on the underlying database. For example, in Figure 1(b), a data graph *G* is stored in 8 relations:  $R_A(v)$ ,  $R_B(v)$ ,  $R_C(v)$  for vertex labels, and  $R_a(src, dst)$ ,  $R_b(src, dst)$ ,  $R_c(src, dst)$ ,  $R_d(src, dst)$ ,  $R_e(src, dst)$  for edge labels. In Figure 1(a), *Q* can be posed as a join query on the underlying database.

We select three state-of-the-art cardinality estimation techniques for relational queries and one online aggregation technique [8, 23, 41, 44]. We describe how these techniques are implemented in the G-CARE framework for evaluation. Note that we do not consider the relational-based estimation techniques [10, 29] in our study because their strategies cannot be applied to arbitrary join queries.

#### 4.1 Correlated Sampling (CS)

CORRELATEDSAMPLING [41] is a sampling-based technique. Different from the independent sampling (i.e., Bernoulli Sampling), CORRELATEDSAMPLING samples tuples considering the correlation between relations by hashing.

<u>GETSUBSTRUCTURE</u>: Suppose that Q involves n relations ( $R_1$ , ...,  $R_n$ ), and the sampling ratio is p. We create a sample  $s_0$  as a list of relations  $\langle S_1, ..., S_n \rangle$ , where  $S_i$  contains the sampled tuples from  $R_i$ .

For each join attribute *a* of *Q*, we define an independent, uniform hash function  $h_a$  that maps the values for *a* into the range [0, 1]. Let  $A_{R_i}$  denote the set of join attributes of the relation  $R_i$ . Then, we sample  $p|R_i|$  tuples from  $R_i$  as follows. For each tuple *t* in  $R_i$ , we sample *t* iff  $h_a(t[a]) < p^{1/|A_{R_i}|}, \forall a \in A_{R_i}$ . The probability of sampling *t* would be  $p^{1/|A_{R_i}|} \times ... \times p^{1/|A_{R_i}|} (|A_{R_i}| \text{ times}) = p$  since all hash functions used are independent.

ESTCARD: It calculates cardVec[0] as  $|S_1 \bowtie S_2 \bowtie ... \bowtie S_n|/P(s_0)$  where  $P(s_0)$  denotes the probability that a joined tuple t in  $R_1 \bowtie ... \bowtie R_n$  is in  $S_1 \bowtie ... \bowtie S_n$ . Let  $t_i$  denote



(a) A join query graph.

(b) A join data graph.

Figure 5: A join query graph Q' for Q and join data graph G' for the join order  $\langle R_A, R_a, R_b, R_c \rangle$ .

the tuple from  $R_i \in t$ .  $P(s_0)$  is then the probability of sampling  $t_i$  from  $R_i$  for all *i*. Using the join attributes  $\{a\}$ ,  $P(s_0)$  can be expressed as  $P(\bigwedge_a \bigwedge_{A_{R_i} \ni a} h_a(t_i[a]) < p^{1/|A_{R_i}|}) = P(\bigwedge_a h_a(t_i[a]) < \min_{A_{R_i} \ni a} p^{1/|A_{R_i}|}) = \prod_a \min_{A_{R_i} \ni a} p^{1/|A_{R_i}|}$  since all  $h_a$ s are independent.

#### 4.2 Wander Join (WJ)

WANDERJOIN [23] is a random walk-based approach originally designed for online aggregation. In online aggregation, the estimates for aggregation results are updated over time until a certain stop condition is met. We extend it for cardinality estimation by introducing a sampling ratio as the stop condition and using COUNT aggregation.

WANDERJOIN performs random walks such that the walks contributing to the cardinality are more likely to be sampled. Here, a sample is a list of tuples  $\langle t_1, ..., t_n \rangle$  and contributes to the cardinality iff  $t_1 \bowtie ... \bowtie t_n$  matches Q.

WANDERJOIN represents the query Q as a join query graph denoted by Q' where each relation is represented as a vertex, and each join condition between a pair of relations is represented as an edge (Figure 5(a)). WANDERJOIN performs random walks over a join data graph G' where each tuple is regarded as a vertex and each join condition is regarded as an edge. Thus, WANDERJOIN can be directly implemented by performing random walks over a data graph. Figure 5(b) shows an example join data graph G'.

<u>GETSUBSTRUCTURE</u>: It returns a sample  $s_i$  as a list of tuples and its probability  $P(s_i)$ . A walk order  $\langle R_1, ..., R_n \rangle$  is a join order defined over a spanning tree of Q', where only the edges in the spanning tree are walked. Here, the random walk consists of "walk" or "jump." For example, in Figure 5(a), while  $\langle R_A, R_a, R_b, R_c \rangle$  consists of only walks,  $\langle R_c, R_a, R_A, R_b \rangle$ includes a jump from  $R_A$  to  $R_b$ .

Before we explain how to choose the walk order, we explain the sampling process given an order  $\langle R_1, ..., R_n \rangle$ . We sample a tuple  $t_i$  from  $R_i$ , where  $t_1$  is randomly sampled from  $R_1$ , and each subsequent  $t_i$  (i > 1) is randomly sampled from  $t_{p(i)} \bowtie R_i$ . Here,  $t_{p(i)}$  is the tuple sampled from  $R_{p(i)}$  where  $R_{p(i)}$  is the relation joinable with  $R_i$  and appears before  $R_i$  (i.e., p(i) < i). Since the order is defined over a spanning tree, there is only one such  $R_{p(i)}$ . After sampling  $t_i$  for every i = 1, ..., n, we check whether the join conditions of Q' are all

met by the sampled tuples, i.e., whether  $t_1 \bowtie \dots \bowtie t_n$  matches the join query Q'. If this holds, the sample  $\langle t_1, \dots, t_n \rangle$  is valid. If it does not hold or it becomes impossible to sample  $t_i$  for some  $i \le n$  (when  $t_{p(i)} \bowtie R_i = \emptyset$ ), the sample is *invalid*.

Now we explain how we choose the walk order. We first enumerate all possible walk orders and select each order in a round-robin fashion. Using each order, we sample s and check if s is valid. If valid, WANDERJOIN increases the counter of the current order. When the counter of an order reaches the threshold  $\tau$  (100 by default), we choose the walk order with the smallest variance (of the estimates from the samples) among the orders with the counter  $\geq \tau/2$ . WANDERJOIN expects that this heuristic can generate more valid samples and low-variance estimates than others. Finally, GETSUB-STRUCTURE returns a sample  $s_i$ , generated at the initial stage of choosing the walk order or after the walk order is chosen. ESTCARD: It estimates the cardinality of Q using Horvitz-Thompson estimator [18] which is an unbiased estimator with inverse probability weighting. If s<sub>i</sub> is valid, cardVec[i] becomes the inverse probability of sampling  $s_i$ , i.e., 1/P(s). Here,  $P(s) = P(\langle t_1, ..., t_n \rangle)$  is calculated as  $\frac{1}{|R_1|} \prod_{i=2}^n \frac{1}{|t_{p(i)} \triangleright \triangleleft R_i|}$ . If  $s_i$  is invalid, cardVec[i] = 0.

AGGCARD: AGGCARD uses AVG for aggregation.

# 4.3 Join Sampling with Upper Bounds (JSUB)

Zhao *et al.* [44] have proposed a framework for random sampling over joins which can be combined with join cardinality estimation techniques. Their objective is to obtain uniform independent samples efficiently for machine learning, which is not our target. However, similar to BOUNDS-KETCH [8], they internally use upper bounds for intermediate result sizes which can be adopted for cardinality estimation.

We exploit their framework and generate a samplingbased method for cardinality estimation over joins, namely JSUB. It estimates an upper bound of the cardinality of a join query Q. It first extracts an maximal acyclic subquery  $q_1$ from Q. Then, it estimates  $|q_1|$ , the cardinality of  $q_1$  using a sampling method similar to that of WANDERJOIN. Note that when Q is cyclic,  $|q_1|$  becomes larger than the cardinality of Q. Finally, JSUB multiplies the estimated  $|q_1|$  by  $M(q_1)$ to estimate an upper bound of the cardinality of the whole query Q. Here,  $M(q_1)$  is the maximum number of the join results between a tuple that matches  $q_1$  and the residual relations. We refer to the remaining relations of Q not in  $q_1$ as residuals, e.g.,  $R_c$  is a residual if  $o = \langle R_A, R_a, R_b \rangle$ . In our context, we trivially set  $M(q_1) = 1$ , as in [44].

DECOMPOSEQUERY: We choose  $q_1$  as follows. For each possible  $q_1$  and its join order o, we use WANDERJOIN to estimate  $|q_1|$ . Let  $e(q_1, o)$  denote the estimate. We choose  $q_1$  and o such that  $\arg \min_{q_1, o} e(q_1, o)$ . This is a slight modification over [44] which uses a predefined join order. If we fail to

obtain a valid sample after trying every possible  $q_1$  and o, we return 0 as the estimate.

<u>GETSUBSTRUCTURE</u>: Let  $o = \langle R_1, R_2, ..., R_n \rangle$  denote the join order. Then, JSUB samples a tuple *t* from the first relation  $R_1$ , and returns *t* and P(t) as  $s_i$ , where P(t) is the probability of sampling *t* from  $R_1$ .

ESTCARD: It returns W(t)/P(t), where W(t) is an upper bound of w(t), which is the cardinality of the join result of *t* with the rest of the relations (i.e.,  $w(t) = |t \bowtie R_2 \bowtie$  $\dots \bowtie R_n|$ ). Since we use W(t)/P(t) as an estimate of  $|q_1|$  and  $W(t) \ge w(t)$ , we can over-estimate  $|q_1|$  if W(t) > w(t), and only W(t) = w(t) gives an unbiased estimate of  $|q_1|$  [18]. Therefore, we use W(t) = w(t) in the same way as the sampling method, Exact Weight (EW), in [44]. To reduce the computation time, JSUB uses dynamic programming, as in [44], and computes W(t) only if *t* is sampled.

Since W(t) = w(t), AGGCARD returns an unbiased estimate of  $|q_1|$ . Thus, if Q is cyclic and  $Q \neq q_1$ , AGGCARD estimates an upper bound of the cardinality of Q. One might modify W(t) in ESTCARD to capture the exact intermediate result sizes considering the residual relations; however, it inevitably includes the overhead of subgraph matching which can be costly. Here, we choose a more efficient way by considering the trade-off of accuracy versus efficiency.

AGGCARD: AGGCARD uses AVG for aggregation.

## 4.4 Bound Sketch (BS)

BOUNDSKETCH [8] is a summary-based technique which estimates an upper bound . It utilizes the bounding formulas in [2], where each relation R in Q may appear in a bounding formula as a *count* term ( $c_R = |R|$ ) or a maximum *degree* term ( $d_R^a$  for some attribute a in R). To define  $d_R^a$ , we first define the *degree*  $d_R^a(v)$  as the frequency of a value v on an attribute a of a relation R, i.e.,  $d_R^a(v) = |\{t \in R \mid t[a] = v\}|$ . Then, the maximum degree  $d_R^a$  becomes  $\max_v d_R^a(v)$ .

The constraint for the bounding formulas is that every attribute of Q must be covered by an *appearing* relation. Here, if R appears as a count term  $c_R$ , all attributes of R are covered, and if R appears as a maximum degree term  $d_R^a$ , all attributes of R except a are covered, assuming that a is already covered by another relation. For our example query  $Q(u_0, u_1, u_2) =$  $R_A(u_0) \bowtie R_a(u_0, u_1) \bowtie R_b(u_1, u_2) \bowtie R_c(u_2, u_0)$  in Figure 1(a), a bounding formula can be  $c_{R_A} d_{R_a}^{u_0} d_{R_b}^{u_1}$ . Appearing relations are  $R_A, R_a$ , and  $R_b$ , and  $u_0$  is covered by  $R_A, u_1$  by  $R_a$ , and  $u_2$ by  $R_b. c_{R_A} c_{R_b}$  is another possible bounding formula, where  $u_0$  is covered by  $R_A$ , and  $u_1$  and  $u_2$  are covered by  $R_b$ .

<u>PREPARESUMMARYSTRUCTURE</u>: In a bounding formula, a count term  $c_R$  represents the Cartesian product over all tuples in R. A maximum degree term  $d_R^a$  represents the maximum number of tuples that can be joined in R using the join attribute a. Therefore, multiplying all these terms can lead to an extremely loose upper bound as the number of relations

increases. Instead, BOUNDSKETCH partitions each relation and uses counts and maximum degrees defined on the partitioned relations in order to get a tighter upper bound.

In order to build a summary *S*, we hash each attribute value in *Q* to the range [1...M] using a hash function *H*. Let  $A_Q$  and  $A_R$  be the set of attributes of *Q* and a relation *R*, respectively. We use  $m \in [1...M]^{|A_Q|}$  as an index for partitions. That is,  $R^{(m)}$  represents a partition of *R* that contains tuples whose hash values are *m*. Formally,  $R^{(m)} = \{t \in R \mid H(t[a]) = m[a], \forall a \in A_Q \cap A_R\}$ . For each partition  $R^{(m)}$ , we calculate the count and maximum degree as we did for the whole relation *R*. Therefore, for each relation *R*, we obtain  $M^{|A_Q|}$  counts and  $M^{|A_Q|} \times |A_Q|$  maximum degrees. This takes a single pass over *R*, and the summary *S* consists of the counts and maximum degrees over all relations.

BOUNDSKETCH chooses M based on a *budget*; larger budget increases M and thus tightens the upper bound with a tradeoff of summarization time. In our evaluation, we use 4096 for the default number of budget.

Although sketches can be built on-demand during cardinality estimation, we observe that their build time is significantly larger than the query processing time. Therefore, we populate the sketches of all relations before the query processing and use them at run-time for experiments. Regarding queries with selection predicates, we do not build sketches on-demand and use the pre-built ones without the selection predicates, since the on-demand build time takes up to 79.6 times longer than the query processing time of RDF-3X for the LUBM benchmark in our experiments.

<u>GETSUBSTRUCTURE</u>: It returns a bounding formula  $bf_i$  and all counts and maximum degrees that are related to  $bf_i$ . For example, if  $bf_i = c_{R_A} d_{R_a}^{u_0} d_{R_b}^{u_1}$ , related counts are  $c_{R_A^m}$ , and related maximum degrees are  $d_{R_a^m}^{u_0}$  and  $d_{R_b^m}^{u_1}$  for  $m \in [1...M]^{|A_Q|}$ . <u>ESTCARD</u>: It returns the summation of  $bf_i$  instantiated with counts and maximum degrees of partitions in  $s_i$ . For example, if  $bf_i = c_{R_A} d_{R_b}^{u_0} d_{R_b}^{u_1}$ ,  $cardVec[i] = \sum_{m \in [1...M]^{|A_Q|}} c_{R_A^m} d_{R_a^m}^{u_m} d_{R_a^m}^{u_1}$ . Each term inside the summation represents an upper bound of the cardinality of  $Q^{(m)}$ , the join result of Q over the partitions  $R^{(m)}$ . Since  $R^{(m)}$ s are disjoint,  $Q^{(m)}$  is a partition of the join result of Q which is also disjoint. Thus, the summation of the upper bounds of the cardinality of  $Q^{(m)}$  becomes an upper bound of the cardinality of Q.

<u>AGGCARD</u>: AGGCARD uses MIN for aggregation, selecting the smallest upper bound among the possible  $bf_i$ .

#### 5 EXPERIMENTAL SETUP

We describe the setup for evaluating the cardinality estimation techniques for subgraph matching queries. In order to select the relevant features for evaluation, we have reviewed 54 papers on cardinality estimation. All papers consider a couple of features from the following four: dataset, query topology, query size, and query result size (or query selectivity). We conduct experiments varying all of the features. Table 1 gives an overview. For experiments, we use a machine with 2.10GHz Intel Xeon E7-8870 v4 processors and 1.5TB memory.

Our G-CARE framework is publicly available as an opensource test suite with codes, data, and queries and is easily extensible for new cardinality estimation techniques for subgraph matching queries<sup>\*</sup>.

Table 1. I af affecters used fif the experiments						
Dataset	RDF: LUBM, YAGO, DBpedia					
	Non-RDF: AIDS, Human					
Query Topology	Chain, Star, Tree, Cycle,					
	Clique, Petal, Flower, Graph					
Query Result Size	$(0, 10], (10, 10^2], (10^2, 10^3],$					
	$(10^3, 10^4], (10^4, 10^5], (10^5, 10^6]$					
Query Size	3, 6, 9, 12					
Sampling Ratio	3, 1, 0.3, 0.1, 0.03, 0.01 [%]					

Table 1: Parameters used in the experiments.

#### 5.1 Evaluation Measure

We measure the accuracy and efficiency of the cardinality estimation techniques. For accuracy, we use *q*-error [28] which is widely used to measure accuracy of cardinality estimation techniques. The *q*-error quantifies the ratio between the estimated cardinality,  $\hat{c}$ , and the true cardinality, *c*, and computed as *q*-error =  $max(max(1, c)/max(1, \hat{c}), max(1, \hat{c})/max(1, c))$ .

We run each query 30 times. We report the average and standard deviation for LUBM and the 5%, 25%, 50%, 75%, and 95% percentiles of the q-errors for other datasets. Note that since the *q*-error alone does not differentiate the under/overestimation, we represent it explicitly on the y-axis of the result figures. For efficiency, we report the elapsed times of the off-line preprocessing for building summary structures and the on-line per-query processing for estimation.

#### 5.2 Datasets

We use five synthetic and real-world datasets for evaluation: LUBM [15], YAGO [40], DBpedia [6], AIDS (used in [37]), and Human (used in [43]). Note that these datasets are used by several existing cardinality estimation techniques for subgraph matching queries. Specifically, LUBM is a standard RDF benchmark which can be used to generate synthetic RDF data. We populate the LUBM graph data with a scaling factor of 80. YAGO and DBpedia are real-world RDF datasets. The AIDS dataset consists of 10,000 small- or medium-sized real graphs. Since it contains multiple data graphs, we aggregate the number of embeddings from all graphs as estimates. Lastly, the Human dataset contains a graph representing the protein-protein interaction network of humans. Table 2 shows the summary of the statistical information of these datasets.

\*https://github.com/yspark-dblab/gcare

	LUBM	YAGO	DBpedia	AIDS	Human
# of graphs	1	1	1	10K	1
# of vertices	2.6M	12.8M	66.9M	254K	4.7K
# of edges	12.3M	15.8M	225M	548K	86K
Avg. degree	9.35	2.47	6.75	4.31	36.92
Max. degree	0.9M	0.25M	7.3M	22	771
# of distinct v. labels	35	188K	244	50	89
# of distinct e. labels	35	91	39.6K	4	0
Max triples per pred.	2.3M	8.3K	98.7M	270K	-
Min triples per pred.	1	2	1	2.6K	-

Table 2: Statistics of datasets.

## 5.3 Generation of Test Queries

To the best of our knowledge, there is no publicly-available benchmark queryset for the cardinality estimation problem for graph data. For LUBM, among the 14 queries, we use 6 queries (Q2, Q4, Q7, Q8, Q9, and Q12). We exclude the simple queries with at most two triple patterns in their SPARQL statements due to space constraints.

For the remaining datasets, we generate test queries by varying query topology, query size, and the result size. Based on the frequently used query topology in real-world graph queries [7], we generate 8 classes of queries: chain, star, tree, cycle, clique, petal, flower, and graph. A chain is a sequence of vertices  $\langle u_0, u_1, \ldots, u_n \rangle$  such that  $u_{i-1}$  and  $u_i$  are connected for  $i \in [1, n]$ . A *star* is a sequence of vertices  $\langle u_0, u_1, \ldots, u_n \rangle$ such that  $u_i$  is connected to a source vertex  $u_0$  for  $i \in [1, n]$ . Tree queries are arbitrary queries not containing any cycle. Cycle, clique, flower, petal, and graphs are cyclic queries. A *cycle* is a sequence of vertices  $\langle u_0, u_1, \ldots, u_n \rangle$  where  $u_{i-1}$  and  $u_i$  are connected for  $i \in [1, n]$  and  $u_n$  is connected to  $u_0$ . A clique is a complete graph. A petal consists of a source vertex, a destination vertex and a set of at least two vertex-disjoint paths between them. A *flower* consists of a source vertex with three types of attachments: chains, trees, and petals. A graph query includes arbitrary cyclic and acyclic queries.

Table 1 shows the parameters used in the experiments. Given a query topology, query size, and result size, we generate queries by traversing the schema graph randomly for each data graph matching a target topology. For YAGO, AIDS, and Human datasets, we generate 1366, 780, and 49 queries, respectively. For each dataset, we report the results by grouping them by the query result size, query size, and query topology, respectively. For sampling-based techniques, we use the sample ratio of 3% as the default. We also conduct experiments varying the sample ratio as in [41]: {0.01, 0.03, 0.1, 0.3, 1, 3%}. The timeout is set to 5 minutes.

#### 6 PERFORMANCE COMPARISON

#### 6.1 Accuracy on RDF Graphs

6.1.1 Evaluation Using the LUBM Benchmark. Figure 6(a) shows the accuracy test results with LUBM. Surprisingly,

WANDERJOIN outperforms all other techniques with its *q*error values close to 1 although it is designed for online aggregation for the relational data.

We compare WANDERJOIN against the sampling-based techniques. CORRELATEDSAMPLING suffers from the underestimation problem for Q2. This is because it fails to sample the tuples which actually contribute to the join results. IMPR underestimates the cardinalities for Q7, Q8, and Q12. Its sampling method fails to find the random walks satisfying the join conditions. For example, it finds no single random walk satisfying the join conditions in O7, O8, and O12. IMPR cannot process Q4 due to its restriction on the query topology. JSUB tends to overestimate the cardinalities for Q2, Q8, and Q9. This is expected because it estimates an upper bound of the cardinality. However, for Q4, Q7, and Q12, it shows significant underestimation due to the sampling failure at DECOMPOSEQUERY. WANDERJOIN avoids the underestimation problem by sampling tuples which are more likely to satisfy the join conditions. It does not suffer from the overestimation problem because it does not use the upper bounds.

We compare WANDERJOIN against the summary-based techniques. Among the summary-based techniques, SUM-RDF shows the highest accuracy. However, compared to WANDERJOIN, it underestimates the cardinality for Q9. The main cause is the uniformity assumption used for computing the expected number of embeddings. For Q9, we observe that the number of possible worlds,  $|\{q_S\}|$ , is orders of magnitude larger than that for the other queries. CHARACTERISTICSETS shows a more severe underestimation problem than SUM-RDF except for Q7. We observe large errors in its estimation of the query selectivity due to the independence assumption between the decomposed queries, which does not hold. BOUNDSKETCH consistently overestimates because it computes the upper bounds of cardinality using the bounding formulas with the sketches. For the summary-based techniques. we observe that the loss of information due to summarization is unavoidable, and the assumptions made to estimate the cardinality lead to large errors. WANDERJOIN does not create a summary structure in advance but computes the probabilistic statistics via sampled tuples satisfying the join conditions in a query.

6.1.2 **Varying Query Result Size**. We test using YAGO. Figure 6(b) shows the accuracy test results. Surprisingly, WANDERJOIN outperforms all other tested techniques. It provides accurate estimation results for both the small and large number of query results. As the query result size increases, most of the tested techniques yield larger *q*-errors showing the underestimation problem. In many of such cases, the estimated cardinality values are close to 0, which leads to larger *q*-error values for queries with larger result sizes. CHARACTERISTICSETS suffers from the underestimation problem due to the independence assumption as we observed in



Figure 8: Varying query topology with Non-RDF datasets.

Section 6.1.1. While CHARACTERISTICSETS was evaluated using only star-shaped queries in the original work, we use queries of various shapes. IMPR and CORRELATEDSAMPLING underestimate the cardinality because of the sampling failure, as we already observed. Overall, JSUB outputs accurate estimation results for the 50% percentile. However, it shows a high variance on the q-errors and underestimation problem due to the sampling failure. BOUNDSKETCH does not show clear correlations on its accuracy with the result size. 6.1.3 **Varying Query Topology**. Note that the minimum query size is six for clique, petal, and flower. IMPR cannot process such queries because it can process 3,4,5-node queries only. Figure 6(c) reports the accuracy test results. Overall, WANDERJOIN outperforms all other tested techniques. It provides accurate estimation results on various query topologies. However, it exhibits large errors especially on chain, tree, petal, and flower due to the sampling failure. We measure the sampling failure rates for queries of sizes larger than 10.



Figure 9: Varying query size with AIDS.

While the successful sampling rates for star and cycle queries are 27.7% and 16.8%, respectively, those for chain, tree, and petal queries are only 0.16%, 8.6%, and 0.04%, respectively. Despite that, WANDERJOIN outperforms all techniques.

6.1.4 **Varying Query Size**. Figure 6(d) shows the accuracy results. WANDERJOIN outperforms all other tested techniques. It provides much more accurate estimation results on both small and large query sizes than the other techniques. CHARACTERISTICSETS, IMPR, and CORRELATEDSAMPLING suffer from the underestimation problem due to the reasons previously explained in Section 6.1.1. BOUNDSKETCH shows clear trends of increasing errors for larger queries. This is because the upper bound computation involves the product of counts or degrees of relations in a query. There are more summation terms in computing *cardVec* for larger queries.

#### 6.2 Accuracy on Non-RDF Graphs

We test using non-RDF graphs such as AIDS and Human datasets. We use 780 and 49 generated queries for AIDS and Human, respectively. Note that the schema graphs of AIDS and Human are much smaller compared to that of YAGO. As a result, we are only able to generate a restricted set of queries. We highlight the key observations compared to the results with RDF graphs. An important difference between RDF and non-RDF graphs is distributions of labels. For example, YAGO has 91 distinct edge labels, while Human and AIDS have 0 and 4 distinct edge labels, respectively.

6.2.1 **Varying Query Result Size**. Figures 7(a) and (b) show the accuracy test results for AIDS and Human, respectively. WANDERJOIN outperforms all other tested techniques on non-RDF graphs as well. The *q*-error values of 95%, 75%, 50%, and 25% percentiles are close to 1. CHARACTERISTIC-SETS tends to underestimate as the result size increases due to the independence assumption. SUMRDF overestimates for Human compared to AIDS. This is because the number of distinct edge labels of Human is 0, therefore, when merging two summary vertices, the edge weights between them are all aggregated leading to the overestimation.

6.2.2 **Varying Query Topology**. Figures 8(a) and (b) show the accuracy test results for AIDS and Human, respectively. WANDERJOIN outperforms all other tested techniques. IMPR provides more accurate estimation at AIDS and Human than

at YAGO. This is because AIDS and Human have fewer labels; random walks of IMPR can generate more visible graphs that contain embeddings of a query, resulting less sampling failure. JSUB overestimates for the cycle, petal, and flower queries, since an acyclic query  $q_1$  is extracted from each such query, and the upper bound of the cardinality of the query is estimated as  $|q_1|$ . No cyclic query is generated for Human. 6.2.3 **Varying Query Size**. Figure 9 shows the accuracies for AIDS. Note that, in Human, we cannot generate a sufficient number of queries with the different number of embeddings for sizes 6, 9, and 12 due to its restriction of labels. Hence, we omit its results in our study.

Again, WANDERJOIN is the best performing one among all. IMPR cannot process queries whose sizes are greater than five. SUMRDF fails to process queries with 12 edges due to the timeout. CHARACTERISTICSETS and CORRELATEDSAMPLING underestimate for larger queries due to the independence assumption and sampling failure, respectively. BOUNDSKETCH shows the clear trends of increasing errors for larger queries.

#### 6.3 Varying Sampling Ratio

The performance of the sampling-based techniques is affected by the sampling ratio. We conduct a sensitivity analysis by varying the sampling ratio in  $\{0.01, 0.03, 0.1, 0.3, 1, 3\}\%$  for the sampling-based techniques with YAGO and AIDS. We omit the result figures due to space constraints.

Overall, WANDERJOIN is the best performing technique. It shows its robust performance even with very small sampling ratios such as 0.01% compared to others. For all tested sampling ratios, CORRELATEDSAMPLING and IMPR consistently underestimate the cardinalities, and JSUB shows significantly large variance on its *q*-errors.

#### 6.4 Efficiency

We evaluate the efficiency with LUBM and AIDS using a small capacity server machine (Intel Xeon E5-2450 CPU and 64 GB RAM) which is sufficient for loading the entire graph and summary structure in memory. We report both the elapsed times for the off-line preprocessing and on-line per-query processing.

For the off-line preprocessing, we measure the elapsed time for constructing the summary structure in memory once the input graph data is loaded. For LUBM, CHARACTER-ISTICSETS, SUMRDF, and BOUNDSKETCH spend 0.96, 12.26, and 160.8 seconds, respectively. For AIDS, CHARACTERISTIC-SETS, SUMRDF, and BOUNDSKETCH spend 0.07, 0.64, and 3.93 seconds, respectively.

Figure 10 shows the efficiency test results for the on-line per-query processing. We highlight the key observations. Among the summary-based techniques, SUMRDF is the slowest one. This is because SUMRDF spends most of the time on GETSUBSTRUCTURE and ESTCARD procedures. It searches for embeddings in a summary graph *S* by checking whether



Figure 10: The efficiency tests for LUBM and AIDS datasets.

each edge in Q can be matched with edges in S, which takes  $O(|E_S|^{|E_Q|})$  time. Its processing time increases drastically for larger result sizes and larger queries as shown in Figure 10(c) and (d). Among the sampling-based techniques, CORRELATEDSAMPLING is the overall slowest one because it has to process the join query Q over the samples. Therefore, its cost increases as the result size increases as shown in Figure 10(c). The other sampling techniques are based on the random-walks which consume mostly linear time with regard to the number of edges in Q as shown in Figure 10(d).

#### 6.5 Impact on Plan Quality

An interesting research question is "Given the same set of queries and various estimators, do the different estimates impact the query plans significantly?" There is an excellent paper [22] about this issue in the context of relational query optimization. The following experiments confirm the same conclusions as in [22]: 1) different cardinalities could impact query plans significantly; 2) a plan based on the true cardinality could be marginally worse than a plan based on a bad estimate due to inaccuracy of the cost model; 3) accurate cardinality estimation should be the first priority to research.

We chose RDF-3X, a popular open-source RDF database management system, which uses merge join as much as possible. First, we made the following changes in the original code. 1) G-CARE feeds cardinality estimates to RDF-3X so that it generates plans based on those cardinalities. 2) The cost model of RDF-3X has coefficient numbers to accommodate CPU and disk costs in a single model. We perform calibration experiments to gather accurate coefficient numbers [14], 3) In RDF-3X, when both inputs are sorted, it always uses merge join. Otherwise, it always uses hash join. This often leads to suboptimal plans, especially when one input is sorted, but the other input is unsorted. In this case, if the unsorted input is small, adding a sort operator on top of the unsorted input can lead to merge join instead of hash join. This is a standard plan generation strategy in commercial relational DBMSs. We implemented this strategy in RDF-3X.

Note that using the *q*-error is important since it is a *theo*retical upper bound for the plan quality [22, 28]. In practice, in order to understand the relationship between cardinality estimates and plan quality, we use the concept of validity range [27]. Consider a join plan P having two child nodes. The validity range for a child node is a range on the number of rows flowing through, such that if the range is not violated at runtime, we can guarantee that *P* is optimal with respect to the cost model. Thus, suppose that we have a plan P with true cardinalities. Depending on the query graph and data graph, P has different validity ranges for its intermediate results. If a validity range is wide, a significantly inaccurate estimate could be within this range so we can guarantee that this bad estimate still generates the same plan as P. If a validity range is narrow, a slightly inaccurate estimate can lead to a different suboptimal plan. Therefore, if we have accurate estimates, the optimizer is likely to generate the best plan with true cardinality. A query optimizer requires estimates for the subqueries of an input query. In order to analyze the relationship with *q*-error and plan quality, we need to analyze the *q*-errors for all subqueries of *Q*. However, since the q-error for the query itself typically contains propagated errors from the subqueries of Q, we can analyze plan quality using the *q*-error for *Q*.



Figure 11: Query processing time in RDF-3X. TC represents the elapsed times of plans with true cardinality.

We executed two sets of queries: LUBM queries and DBpedia queries. Here, the DBpedia queries are real ones extracted from query logs of various endpoints [35]. We present six representative queries due to space limitations, since the other extracted queries have similar performance trends.

Figure 11(a) shows the elapsed times for the LUBM queries. For Q2, underestimates/overestimates lead to performance degradation. One exception is BOUNDSKETCH. BOUNDSKETCH shows accurate estimates of subgraphs of size two, although it shows overestimation for Q2 itself. CHARACTERISTICSETS, IMPR, CORRELATEDSAMPLING, and JSUB show significantly inaccurate estimates for the subgraphs of size two or three, and thus, their execution times are slow. O4 is a star-shaped query and thus, the optimizer in RDF-3X generates a robust query plan. That is, the validity ranges for the optimal plan for Q4 are wide. For Q7 and Q8, underestimates/overestimates lead to performance degradation. For O7, overestimation by BoundSketch makes RDF-3X choose hash join over sort-merge join which requires sorting. However, the actual cardinality of intermediate results to sort is very small and, thus, the generated plan with hash join is slower than the plan with sort-merge join. For Q8, although CHARACTER-ISTICSETS shows underestimates, the optimizer generates a good plan using merge join with a sort operator at the root of the plan. In terms of cost, this plan is worse than the optimal plan. However, the merge join does not scan all inputs if one input is completely consumed. Thus, the execution time of CHARACTERISTICSETS is similar to that of the optimal plan. For Q9, CHARACTERISTICSETS shows the worst query performance since the *q*-errors for the subgraphs of Q9 are very large. For Q12, although plans generated by all estimators are different, their query processing times are all small. Overall, WANDERJOIN and SUMRDF perform the best in terms of execution time.

Figure 11(b) shows the elapsed times for the DBpedia queries. *P*1 and *P*2 are star-shaped queries. Thus, RDF-3X generates a robust query plan regardless of cardinalities. We already observed the same phenomenon for *Q*4 in LUBM. If we consider more diverse plans, such as nested loop join, bad

estimates can easily lead to suboptimal plans. P3 and P4 are graph-shaped. In this case, WANDERJOIN outperforms JSUB by 31% in terms of query execution time. P5 is tree-shaped. IMPR performs worse than the other estimators except for CORRELATEDSAMPLING since it consistently generates large *q*-errors for the subqueries. In CORRELATEDSAMPLING, the *q*-errors for the subqueries fluctuate around one. This significant variance generates the worst plan. For P6, in terms of qerror, WANDERJOIN, IMPR, JSUB, and CORRELATEDSAMPLING generate the optimal plan. Note that the other estimators including SUMRDF are about 105 times slower. BOUNDSKETCH overestimates and generates a worse plan, which we have already observed for Q7 in LUBM. Although CHARACTER-ISTICSETS achieves good accuracy in terms of *q*-error, the validity ranges for the optimal plan are very narrow. CHAR-ACTERISTICSETS generates a significantly worse plan. This indicates that accurate cardinality estimation should play a pivotal role in subgraph query optimization.

## 6.6 Summary

We make the following observations. First, in the original paper, the high accuracy of CHARACTERISTICSETS was demonstrated using only star-shaped queries. However, our analysis revealed that it suffers from significant underestimation due to the independence assumption. Second, IMPR is originally designed for unlabeled graphs. Hence, it cannot be generalized to process directed, labeled graphs (such as RDF graphs) due to the underestimation problem. Third, the original paper of SUMRDF shows high accuracy on LUBM, which is consistent with our study. However, it shows inaccurate estimation results for other datasets. Furthermore, it fails to handle large queries due to prohibitive computation cost.

Our experimental study is the first work which evaluates and analyzes the state-of-the-art cardinality estimation techniques for graph and relational data. Our investigation reveals unexpected results. We observe that for both RDF and non-RDF datasets, WANDERJOIN, which is designed for online aggregation, consistently outperforms state-of-the-art cardinality estimation techniques for subgraph matching queries in terms of accuracy across a variety of parameters.

			Key Results							
Tachniqua		LUBM	Query Features of Test Queries							
lecini	Ique	Quervset	# of En	nbeddings	Size		Topology			
			$\sim 10^{3}$	$10^{3} \sim$	3 ~ 6	9 ~ 12	Tree	Graph		
Graph-	CSet	8	8	8	•••	•	•	8		
based	Impr	•••	•••	8	•••	8	•	8		
based	SUMRDF	<b>O</b>	•••	8	•••	8	•	8		
	CS	8	8	8	8	8	8	8		
Relational-	WJ	<b>O</b>	<b>O</b>	<b>O</b>	<b>O</b>	<b>O</b>	<b>O</b>	<b>O</b>		
based	JSUB	8	8	8	8	8	•••	8		
	BS	8	8	8	8	8	•••	8		

Table 3: A summarized comparison of graph- and relational-based techniques (💙: accurate, 🕸: inaccurate).

Why does WANDERJOIN perform well? The key task for estimating cardinality for subgraph matching is to obtain high quality of samples. WANDERJOIN selects the best join order and samples tuples which satisfy the join conditions. By randomly selecting the start vertex for random walks for every sampling, it obtains  $s_i$  from the entire data graph. Different from the summary-based techniques, WANDERJOIN does not rely on the summary which inherently has some loss of information. Instead, it obtains the necessary probabilistic statistics based on sampling after the query is given.

It is worth noting that not all cardinality estimation techniques for relational data perform well for graph cardinality estimation. CORRELATEDSAMPLING has difficulty in finding embeddings as the number of joins increases. This is due to the low quality of samples, which does not consider correlations between sets of attributes to be joined. JSUB does not perform well as far as accuracy is concerned. It shows high variance on the *q*-errors and the underestimation problem.

In terms of efficiency, WANDERJOIN and CHARACTERISTIC-SETS are much faster than the other methods. We believe there is still room to improve efficiency, which is an interesting future work. We also confirm that different estimates could impact plan quality significantly. However, depending on the validity ranges, bad estimates could generate good plans. Nevertheless, accurate cardinality estimation should play a pivotal role in subgraph query optimization.

#### 7 CONCLUSIONS

We present G-CARE to facilitate an in-depth and systematic experimental evaluation of state-of-the-art cardinality estimation techniques for graph data. We conducted exhaustive experiments on three graph-based, three relational-based, and one online aggregation techniques with a variety of features of queries on both RDF and non-RDF graphs.

Table 3 summarizes the observed performance in our experiments. In contrast to the results reported in the original papers, we conclude that none of the three cardinality estimation techniques for subgraph matching queries is clearly the best for all datasets and all scenarios. In contrast to the graph-based techniques, the relationalbased techniques are able to process almost all test queries successfully across datasets. However, CORRELATEDSAMPLING is inaccurate for all scenarios except on LUBM dataset. JSUB is inaccurate in almost all cases. Surprisingly, WANDERJOIN, which is designed for the online aggregation problem, shows superior performance consistently in terms of accuracy and outperforms graph-based techniques. Given that all existing efforts on cardinality estimation for graph data do not compare the approaches with cardinality estimation techniques for relational data, we believe that the results of our study are insightful to the graph data management community.

The superiority of WANDERJOIN w.r.t graph-based techniques opens up the issue of whether RDBMS techniques can be leveraged to address the cardinality estimation problem for graph data. Note that a recent survey [34] revealed the prevalence of relational-based databases among practitioners for managing and processing graph data. However, recent studies have shown that native graph systems are superior to relational-based systems for graph traversal and querying [25], which leads us to two interesting avenues of research: (a) Is it possible to design cardinality estimation techniques for subgraph matching queries which integrate the benefits of WANDERJOIN with native graph-based techniques? (b) Is a hybrid system which leverages native graph stores for query processing but utilizes a relational framework for cardinality estimation a viable strategy? Our G-CARE framework can be utilized to benchmark performances of the future cardinality estimation techniques for subgraph matching queries.

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