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Automated detection of coronary artery disease, myocardial infarction and congestive heart failure using GaborCNN model with ECG signals



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ABSTRACT

Cardiovascular diseases (CVDs) are main causes of death globally with coronary artery disease (CAD) being the most important. Timely diagnosis and treatment of CAD is crucial to reduce the incidence of CAD complications like myocardial infarction (MI) and ischemia-induced congestive heart failure (CHF). Electrocardiogram (ECG) signals are most commonly employed as the diagnostic screening tool to detect CAD. In this study, an automated system (AS) was developed for the automated categorization of electrocardiogram signals into normal, CAD, myocardial infarction (MI) and congestive heart failure (CHF) classes using convolutional neural network (CNN) and unique GaborCNN models. Weight balancing was used to balance the imbalanced dataset. High classification accuracies of more than 98.5% were obtained by the CNN and GaborCNN models respectively, for the 4-class classification of normal, coronary artery disease, myocardial infarction and congestive heart failure classes. GaborCNN is a more preferred model due to its good performance and reduced computational complexity as compared to the CNN model. To the best of our knowledge, this is the **first study** to propose GaborCNN model for automated categorizing of normal, coronary artery disease, myocardial infarction and congestive heart failure classes using ECG signals. Our proposed system is equipped to be validated with bigger database and has the potential to aid the clinicians to screen for CVDs using ECG signals.

1. Introduction

The heart pumps blood through the circulatory system [1], and any abnormality in the cardiovascular system can give rise to cardiovascular disease (CVD) [2]. Although death rates from CVDs are abating, CVDs continue to be the main cause of death in the United States. About 9.2 million or 44% of adults in the United States are projected to have at least one type of CVD by 2030. Globally, CVDs are the main causes of death, exacting an annual death toll of 17.9 million according to the World Health Organization [3].

occurs when at least one of the left anterior descending (LAD), left circumflex (LCX) and right coronary (RCA) arteries is stenotic. In CAD, extracellular matrix in the inner lining of the coronary arterial wall combine with lipoproteins, exposing them for more lipoprotein modification and inflammation, resulting in the formation of vulnerable atherosclerotic plaques [4]. As inflammation progresses, there is cell death and accumulation of extracellular lipid in the artery wall of the lesion as well as calcium deposition [5]. The atherosclerotic plaque thickens, causing stenosis of the coronary lumen [6], which results in restriction of blood flow and delivery of oxygenated blood to the heart muscles, causing ischemia.

1.1. Etiology of CAD

Coronary artery disease (CAD) is the most common type of CVD. CAD

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1.2. Etiology of MI

Atherosclerotic lesions with thick fibrous caps and calcification but with relatively smaller lipid cores can slowly induce ischemia due to progressive plaque volume increase that encroaches the coronary lumen diameter. In contrast, some atherosclerotic lesions with larger lipid cores and thinner fibrous caps are vulnerable to rupture, in which the contents are suddenly spilled into the coronary lumen, triggering the thrombus formation which can occlude the lumen and completely disrupt myocardial blood flow [5]. This leads to acute myocardial infarction (MI) [7,8] in which heart muscles die due to a lack of oxygen for an extended time duration.

1.3. Etiology of CHF

There are many causes for congestive heart failure (CHF), the most common being CAD-induced ischemia or MI. Heart muscle damage from chronic repeated episodes of ischemia or after MI can induce adverse remodelling of the heart chamber and impair contractility of the heart muscle. In addition, mechanical complications of MI such as mitral regurgitation from papillary muscle dysfunction or rupture and, ventricular septal rupture can aggravate cardiac embarrassment leading to heart failure [9]. Timely diagnosis of CAD and MI is important for the early treatment and to avert the possible development of CHF.

1.4. Electrocardiography for diagnosis

The current diagnostic methods of CVDs such as blood tests or cardiac catheterization are invasive. Additionally, other noninvasive cardiac testing methods have other disadvantages ranging from uncertainties on the suitable choice, order and frequency of cardiac imaging tests to perform in varying medical situations [10]. Furthermore, other tests such as cardiac magnetic resonance imaging (MRI) or echocardiography are expensive and require expert professionals to screen the ultrasound and MRI images [11]. Machine learning techniques have been employed more successfully for the classification of CVDs in recent years [12–16]. Hence in this study, the authors propose to develop a cost-effective, non-invasive and user-friendly tool for the automatic diagnosis of CVDs using electrocardiograms.

The ECG is the electrical activity of the heart which gets altered due to CAD, MI and CHF [17]. These diagnostic ECG alterations are often small amplitudes and for short durations. Hence visual interpretation by medical experts is subjective and prone to intra and/or inter-observer variabilities [18]. Automated systems incorporating machine learning algorithms can be used to improve the diagnostic sensitivities [19] and can be deployed to assist the clinicians in ECG screening to find CVDs in at-risk populations. In this study, an automated system based on a novel deep learning algorithm has been developed to classify ECG signals into normal (N), CAD, MI and CHF classes.

2. Deep learning versus conventional machine learning

In machine learning, models are trained with subsets of data to solve specific tasks [20]. The models employ a range of statistical, probabilistic and optimization methods to learn from previous experience and identify useful patterns from big, unstructured and intricate datasets [21]. In supervised learning, the data is split into training, testing and validation. As the model is being trained for classification tasks, it uses patterns in the training data to represent features to the target such that it is able to forecast based on future data [22]. The training and validation data are used to update the model about the link between features and target, whereas the test dataset is used to gauge the performance of the model in making predictions on unseen data [20]. Conventional classifiers commonly used for disease classification include support vector machines, random forest, naïve Bayes, decision tree and k-nearest neighbor [23].

Advanced classifiers such as artificial neural networks (ANN) are built using synthetic neurons to emulate biological neurons [22]. An ANN typically comprises an input data layer and an output data layer, with some hidden data layers (0–3) in between, whereas in a deep neural network, the number of hidden layers are in the ranges of ten to hundreds [24]. As input data goes through each layer in sequence, they are successively modified at each layer such that at the last layer, they differ substantially from the original state. This transformation is triggered by rectified linear activation functions in deep models [24]. A single node in the last layer with sigmoidal activation relates to binary classification; and multiple nodes, to the predicted number of classes for multi-class classification [20]. Examples of deep models commonly used for disease classification include convolutional neural network (CNN) [25,26], long short-term memory network (LSTM) [27], recurrent neural network (RNN) [28] and autoencoders [29].

Deep learning models are generally preferred for disease classification due to several advantages over traditional machine learning methods. In the latter, feature extraction and selection are not automated and need to be handcrafted. In deep learning, these processes are fully automated [15]. Furthermore, deep models can be trained by very large data, unlike machine learning models which perform well with smaller datasets [30]. Recently, Shakib et al. [31] used Gabor filters with CNN model to train the model with lesser time complexity. They reported that Gabor filters were able to reduce a significant amount of time during the back-propagation training of the model, hence achieving a substantial reduction in training time of the model. Additionally, in another study, Alekseev et al. [32] reported that CNN models with Gabor layers showed improved performance on several datasets (6% improvement in accuracy), as compared to the conventional CNN model. Hence, from the two studies, it is clear that CNN model with Gabor filters performs well, yielding good accuracy and reduces computational complexity at the same time. Thus, the Gabor filter is used in this study to classify N, CAD, MI and CHF classes using ECG signals.

Table 1 and Table 2 summarise studies that employed machine learning for binary and multi-class classification into N/abnormal and N/CAD/MI/CHF classes, respectively.

From Table 1, it is observable that most authors developed deep CNN models [35,37,40,41,43,46,47,57,59,61] for the automated classification of MI/CAD/CHF and normal classes while few authors developed hybrid deep models using CNN [18,39,42,45,51,53]. Fewer authors employed other deep models such as the deep belief model [48], autoencoders [49], deep multilayer perceptron [52], deep ensemble models [56], deep neural network [60] and long-short term memory model (LSTM) [54] and conventional machine learning classification. High classification accuracies of about 95% were achieved when integral features were extracted using neural networks in Ref. [33] and from CNN models [35,47].

Higher classification accuracies (more than 95%) were obtained in the following studies; the bat algorithm was employed with neural network in Ref. [34], feature fusion technique was explored with neural network in Ref. [44], Hilbert transform technique was employed with deep belief network in Ref. [48], extraction of multiscale features from the CNN model in Ref. [40], extraction of features from hybrid CNN models in Refs. [42,45,51,53], extraction of features from CNN models in Refs. [35,40,46,47,57,59,61], and extraction of features from LSTM model in Refs. [54,62], and from deep ensemble model in Ref. [56]. Additionally, the highest accuracy of 100% was obtained in Ref. [58] wherein autoregressive burg features were extracted from the random forest classifier. In Table 2, the CNN-LSTM hybrid model obtained a relatively high classification accuracy of 98.5% for the categorization of CAD, MI, CHF and normal classes.

Table 1

a: Summary of studies that employed machine learning techniques for automated detection of normal and MI classes using ECG signals.

| | · · · · · · · · · · · · · · · · · · · | | |
|---------------------------|---|-------------------------|---|
| Year | Method | Participant information | Findings/Results (%) |
| [33] 2014 | Artificial neural network | MI: 200 | |
| [55], 2014 | Attiticial netital network Twowe and total integral features | NII. 290 | AC: 04 74 |
| | | patients | AC. 94.74 |
| [0.4] 001F | Classifiers Enhanced Bately of them | N. FO subjects | Det de statue de Terrente de Manager de Manager de |
| [34], 2015 | • Ennanced Bat algorithm | N: 52 subjects | Bat algorithm + Levenberg-Marquardt Neural Network: |
| | Classifiers | MI: 148 | AC: 98.90 |
| | Neural networks | patients | |
| [<mark>35</mark>], 2017 | 1D CNN model | N: 52 subjects | AC: 95.22 |
| | • K-fold (k = 10) validation | MI: 148 | |
| | | patients | |
| [<mark>36</mark>], 2017 | Classifier + Recursive Feature Eliminator + Artificial neural network | N: 52 subjects | AC: 80.60 |
| | • K-fold (k = 10) validation | MI: 148 | SN : 86.58 |
| | | patients | SP : 64.71 |
| [37], 2018 | CNN model | N: 52 subjects | AC: 84.54 |
| | Separability index | MI: 148 | SN : 85.33 |
| | 1 9 | patients | SP : 84.09 |
| [38], 2018 | Optimal biorthogonal filter bank | N: 52 subjects | KNN classifier: |
| 2 | Nonlinear features | MI: 148 | AC: 99 74% |
| | 10-fold validation | natients | |
| [30] 2018 | CNN-I STM model | PhysioNet. | SN · 02 4 |
| [39], 2010 | • CIVICED IN INDUCI • K fold $(k = 10)$ validation technique | MI, 140 | SD: 07.7 |
| | Comple shuffling | IVII. 140 | 3r. 9/./ |
| | • Sample shuming | patients | Ppv: 97.2 |
| | | N: 52 subjects | F1 score: 94.6 |
| | | Otners: 90 | |
| | | patients | |
| | | Noisy signals: | |
| | | 278 records | |
| [<mark>40</mark>], 2018 | Multi-lead CNN model | N + MI + | AC: 96.0 |
| | Multiscale features | other CVDs: | SN : 95.40 |
| | | 290 | SP : 97.37 |
| | | participants | |
| | | (549 records) | |
| [<mark>41</mark>], 2019 | CNN model | N: 52 subjects | SN : 93.0 |
| | • K-fold (k = 10) validation technique | MI: 127 | SP : 89.7 |
| | | patients | |
| [<mark>42</mark>], 2019 | • CNN + LSTM model | N: 52 subjects | AC: 95.54 |
| | Oversampling | MI: 148 | SN : 98.2 |
| | | patients | SP : 86.5 |
| | | • | F1 score: 96.8 |
| [43], 2019 | CNN model built from 12 leads ECG data | N: 52 subjects | AC: 99.78 |
| | | MI: 148 | |
| | | patients | |
| [44], 2019 | Neural network | N: 52 subjects | AC: 99.92 |
| | Feature fusion technique | MI: 112 | F1 score: 99.94 |
| | • K-fold $(k = 5)$ validation technique | patients | |
| [45], 2019 | • CNN + BLSTM hybrid model | N: 52 subjects | Class-based: |
| 2 | Class-based five-fold validation technique | MI: 148 | AC: 99 9 |
| | ······ ······························· | natients | |
| [46] 2010 | CNN model | Nº 125 652 | AC: 99 78 |
| [40], 2019 | End to and structure | N. 123 032 | AC. 55.76 |
| | • End-to-end structure | MI 495 752 | |
| | | WII. 465 752 | |
| | | beats (10 | |
| | | types of MI | |
| Table 11-0 | mmony of studios that any law demaking law in the hot and the | uala) | f normal and CAD alagoog using ECC signal- |
| Table 1D: Sui | minary or studies that employed machine learning techniques for auto | niated detection o | i normai and CAD classes using EUG signals. |
| rear | Methou | rarticipant | rmungs/ kesuns(%) |
| | ONDU | information | |
| [47], 2017 | Given model with 11 layers | N: 40 subjec | IS AC: 95.11 |
| | • K-fold ($K = 10$) validation | CAD: 7 patie | nts SN: 91.13 |
| | | | SP: 95.88 |
| [48], 2017 | Deep Belief model | N: 25 subjec | ts AC: 98.05 |
| | Hilbert transform | CAD: 60 | SN : 98.88 |
| | • K-fold (k = 10) validation | patients | SP : 96.02 |
| [49], 2017 | 2 deep autoencoder models and SoftMax classifier | CAD: 303 | Switzerland data: |
| | 4 varying datasets | patients | AC: 92.20 |
| | • K-fold (k = 10) validation | | |
| [<mark>50</mark>], 2017 | Higher order spectra features | N: 40 subjec | ts Decision tree classifier: |
| | Principal component analysis | CAD: 7 patie | AC: 98.99% |
| | Traditional classifiers | . 1 | |
| [51], 2018 | • LSTM + CNN model | N: 40 subject | ts AC: 99.85 |
| | Blindfold validation | CAD: 7 patie | ents |
| [52], 2018 | • Deep neural network (multilaver perceptron) | CAD: 303 | AC: 83.67 |
| 2, 2, 2000 | Accuracy of diagnosis computed | patients | SN : 93.51 |
| | ······································ | r | SP : 72.86 |
| | | | |

(continued on next page)

Table 1 (continued)

| Interact information Transmittion [55], 2018 - CNN-LSTM model 47 subjects AC 98.10 [54], 2019 - LSTM with focal loss, LSTM model 93371 ECG SR: 97.50 [55], 2019 - Features from deep coding 100 022 signals AC: more than 99 [55], 2019 - Features from deep coding 100 022 signals AC: more than 99 [56], 2019 - Deep ensemble models 744 segments AC: 99.37 [56], 2019 - Deep ensemble models 744 segments AC: 99.37 [57], 2020 - CNN model PhysioNet: AC: 99.37 [57], 2020 - CNN model PhysioNet: AC: 99.37 [57], 2020 - CNN model PhysioNet: AC: 99.37 [57], 2020 - K-fold (k = 10) cross validation N, atrial SN: 98.33 Premature vertricular - contraction: 48 recordings Table 1:: Summary of studies that employed machine learning techniques for automated detection of normal and CHF classes using ECG signals. Year Method Information Finditional classifiers - Autoreprestive (AR) Burg fattures pataset B AC: 100 - Autoreprestive (AR) | Vear | Method | Participant Fin | dings/Results (%) |
|---|--------------------|---|-----------------|---|
| $ \begin{bmatrix} 53, 2018 \\ \bullet \ CNN-ISTM model \\ \bullet \ K-fold (k = 10) validation \\ (arrhythnia) \\ Str 95.70 \\ Str 9$ | rear | include | information | |
| [3.1], all is - CNN-131M model is the equation of the equat | [50] 0010 | 0.0.1.1.000.0.1.1 | 472 11 1 | 10 00 10 |
| | [53], 2018 | • CNN-LSTM model | 47 subjects | AC: 98.10 |
| SP 98.70[54], 201• LSTM with focal loss, LSTM model93371 ECGAC: 99.26beats(arthythia)(arthythia)[55], 201)• Features from deep coding100 022 signalsAC: nore than 99[56], 201)• Deep ensemble models744 segmentsAC: 99.37[56], 201)• Deep ensemble models744 segmentsAC: 99.37[57], 2020• Spectral power density(29 wijects)SN: 94.62• Fold (K = 10) validationPhysioNet:AC: 99.33• K-fold (K = 10) cross validationPhysioNet:AC: 99.33• K-fold (K = 10) cross validationPhysioNet:AC: 98.33• K-fold (K = 10) cross validationPremuture beat,SP: 99.66• K-fold (K = 10) cross validationPremuture beat,SP: 99.36• K-fold (K = 10) cross validationPremuture beat,SP: 99.37• K-fold (K = 10) cross validationPremuture beat,SP: 99.36• K-fold (K = 10) cross validationPremuture beat,SP: 99.36• K-fold (K = 10) cross validationPremuture beat,SP: 99.36• Catalization: 49recordingsItemation: 49• Catalization: 41Second ColstandsSP: 99.36• Catalization: 41SP: 99.36Colstands• Catalization: 42Premuture beat,SP: 99.36• Catalization: 43Itemation: 43SP: 99.36• Catalization: 43SP: 99.36Colstands• Catalization: 43SP: 99.36Colstands• Catalization: 43SP: 99.36Colstands | | • K-fold $(k = 10)$ validation | (arrhythmia) | SN: 97.50 |
| [54], 2019 ISIM with focal loss, ISIM model [55], 2019 Features from deep coding (arrhythmia) [56], 2019 Features from deep coding (Convolutional auto-encoder deep model (5 beat types) (Convolutional auto-encoder deep model (5 beat types) (S optimized auto-encoder deep model (G optimized auto-encoder deep model auto-encoder deep model auto-encoder deep model (G optimized auto-encoder deep model auto | | | | SP: 98.70 |
| lot of the section of | [54], 2019 | • LSTM with focal loss, LSTM model | 93371 ECG | AC: 99.26 |
| $ \begin{bmatrix} \operatorname{Carry turns} \\ Carry outsional auto-encoder deep nodel \\ $ | | | Deats | |
| [150], 2019 • Peatures from deep cooling convolutional auto-encoder deep model (100 U22 signals) AC: moder than 99 [56], 2019 • Deep ensemble models 744 segments AC: 90.37 [57], 2020 • CNN model PhysioNet: AC: 98.33 [57], 2020 • CNN model PhysioNet: AC: 98.33 • K-fold (k = 10) validation N, atrial SN: 98.33 premature ventricular contraction: 48 recordings Table 1c: Summary of studies that employed machine learning techniques for automated detection of normal and CHF classes using ECG signals. Year Method Participant Findings/Results(%) [58], 2016 • Traditional classifiers N: 13 subjects Random forest classifier: • Autoregressive (AR) Burg features Patients AC: 100 • Autoregressive (AR) Burg features Patients AC: 100 • K-fold (k = 10) validation technique signals CHF: 10 200 • K-fold (k = 10) validation technique signals CHF: 10 201 [60], 2019 • Deep neural network N: 19 836 Area under the receiver operating characteristic of DEHF: 0.843 • K-fold (k = 10) validation technique Signals C | [EE] 0010 | Protone from done of the | (arrnythmia) | AC mean them 00 |
| [64], 2019 Deep ensemble models 744 segments AC: 99.37 [56], 2019 Deep ensemble models 744 segments SN: 94.62 Stord (k = 10) validation PhysioNet: AC: 99.33 [57], 2020 - CNN model PhysioNet: AC: 98.33 premature beat, SN: 98.30 premature beat, SN: 98.33 premature beat, SN: 98.35 premature beat, SN: 98.35 premature beat, SN: 98.30 premature beat, SN: 98.35 premature beat, SN: 98.35 premature beat, SN: 98.35 Table 1:: Summary of studies that employed machine learning techniques for automated detection of normation information information: 48 recordings Table 1:: Summary of studies that employed machine learning techniques for automated detection of normation information information: 48 recordings [58], 2016 • Traditional classifiers N: 130 Subjects Random forest classifier: AC: 100 [59], 2019 • CNN model with 11 layers Deataset B AC: 100 AC: 100 [60], 2019 • Deep neural network CHF: 130 AC: 98.97 Area under the receiver operating characteristic of DEHF: 0.843 [61], 2019 | [55], 2019 | Features from deep coding Convolutional outparts another door model | 100 022 signals | AC: more than 99 |
| 1001 • Deep ensemble models 74 segments AC 99.37 5 Spectral power density (29 subjects) SN: 94.62 K-fold (k = 10) validation SP: 90.66 [57], 2020 • K-fold (k = 10) cross validation N, atrial SN: 98.33 premature SP: 90.85 SP: 90.85 recordings recordings contraction: 48 Table 1c: Summary of studies that employed machine learning techniques for automated detection of normal and CHP classes using ECG signals. Year Method Participant Findings/Results(%) [59], 2016 • Traditional classifiers N: 13 subjects AC: 100 * Actingeressive (AR) Burg features patients AC: 100 signals [59], 2019 • CNN model (k = 10) validation technique signals Ac: 100 signals [60], 2019 • Deep neural network N: 10 00 signals Ac: 100 signals [61], 2019 • Deep neural network N: 10 836 Area under the receiver operating characteristic of DEHF: 0.843 [61], 2019 • Deep neural network N: 19 836 Ac: 84 If Fr: 15 80 HFmEF subjects GHF: 10 801 < | [E(] 0010 | Convolutional auto-encoder deep model | (5 Deat types) | A C: 00.07 |
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| Set of VariationSet System[57], 2020CNN modelPhysioNet:AC: 98, 33K-fold (k = 10) cross validationN, atrialSN: 98, 33premature beat,SP: 98, 35premature beat,SP: 98, 35(59), 2016• Traditional classifiersN: 13 subjects(61), 2019• Deep neural networkSP: 90, 36(61], 2019• Deep neural networkCHF: 139(61], 2019• Deep neural networkSP: 90, 36(61], 2019• Deep neural networkCHF: 138(61], 2019• Deep neural networkSP: 90, 36(61], 2019• CNN modelCHF: 138(6 | | • Spectral power density | (29 subjects) | SIN: 94.62 |
| [37], 2020 • C.NN model Privatore: AC: 98.33 • K-fold (k = 10) cross validation premature beat, premature beat, premature beat, or recordings SP: 98.33 Table 1c: Summary of studies that employed machine learning techniques for automated detection of normal and CHF classes using ECG signals. Tecordings Table 1c: Summary of studies that employed machine learning techniques for automated detection of normal and CHF classes using ECG signals. Tecordings Table 1c: Summary of studies that employed machine learning techniques for automated detection of normal and CHF classes using ECG signals. Tecordings Table 1c: Summary of studies that employed machine learning techniques for automated detection of normal and CHF classes using ECG signals. Tecordings [58], 2016 • Traditional classifiers N: 13 subjects Random forest classifier: • Autoregressive (AR) Burg features patients AC: 100 [59], 2019 • CNN model with 11 layers Dataset B AC: 98.97 • 4 datasets N: 110 000 signals Area under the receiver operating characteristic of DEHF: 0.843 [60], 2019 • Deep neural network N: 19 836 Area under the receiver operating characteristic of DEHF: 0.843 [61], 2019 • CNN model CHF: 1: 3901 FHF: 1: 180 • Traditional classif | [[]] | K-fold ($K = 10$) validation | Discolation | SP: 99.66 |
| K-Bold (k = 10) cross validation N, atrial SN: 98.35 premature beat, SP: 98.35 premature vertricular vertri vertricular vertri vertricular vertricular vertricular vertri | [57], 2020 | • CNN model | Physionet: | AC: 98.33 |
| [61], 2019 • CNN model • CHF: 1538 [61], 2019 • CNN model • CNN model • CHF: 10301 • SY: 98.35 [61], 2019 • CNN model • CNN model • CNN • SY: 98.35 [61], 2019 • CNN model • CNN model • CNN • SY: 98.35 [61], 2019 • CNN model • CNN • SY: 98.35 • CNN [61], 2019 • CNN model • CNN • SY: 98.35 • CNN [61], 2019 • CNN model • CNN • SY: 98.35 • CNN [61], 2019 • CNN model • CNN • SY: 98.35 • SY: 98.35 [61], 2019 • CNN model • CNN • SY: 98.35 • SY: 98.35 [61], 2019 • CNN model • CNN • SY: 98.35 • CHF: 10 SY [61], 2019 • CNN model • CHF: 10 SY • CHF: 10 SY • CHF: 10 SY [61], 2019 • CNN model • CHF: 10 SY • CHF: 10 SY • CHF: 10 SY [61], 2019 • CNN model • CHF: 10 SY • CHF: 10 SY • CHF: 10 SY | | • K-IOIU ($K = 10$) cross validation | IN, atriai | SIN: 98.33 |
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| Table 1c: Surfaction: 48 contraction: 48 Year Method Pricipant Findings/Results(%) [58], 2016 - Traditional classifiers N: 13 subjects Random forest classifier: - Artificial neural network CHF: 15 Ac: 100 - Autoregressive (AR) Burg features patients Ac: 98.97 - Statest s signals Ac: 98.97 - K fold (k = 10) validation technique signals CHF: 30 000 - Statest s signals Artence previous classifiers - Traditional classifiers N: 19 836 Area under the receiver operating characteristic of DEHF: 0.843 - Traditional classifiers Subjects Area under the receiver operating characteristic of DEHF: 0.843 - Traditional classifiers Subjects | | | premature | |
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| | | 1 0 0 0 | CHF: | SP : 99.85 |

Abbreviations used: AC-Accuracy, SN-Sensitivity, SP-Specificity, Ppv-Positive Predicitive Value.

3. Method

3.1. Information on data

In this work, we have acquired Lead II ECG signals from 92 healthy controls, 7 CAD, 148 MI and 15 CHF patients. The details of four databases used to develop the CNN and GaborCNN models are given in Table 1. Signals obtained from Fantasia and St. Petersburg databases were upsampled to measure up to the sampling frequency (1000 Hz) of all signals and the segmentation of each signal resulted in a window length of 2 s (2000 samples). In all, 150,268 segments were used in the study. The number of segments belonging to each class is shown in Table 3. Fig. 1 shows the sample ECG signal belonging to N, CAD, MI and CHF class (extracted signals may not show the typical patterns).

3.2. GaborCNN architecture

3.2.1. CNN model

In typical CNN models, filters undergo training to extract distinct features from input data and represent their position on the feature map. Deep CNN models then use the feature map as input to the subsequent layers, which use new filters to create another new feature map [64]. This process continues in the successive layers where the extracted features become more complex and competent for making predictions. The output feature map then classifies the signals based on the extracted features [24,64]. The CNN model is trained using backpropagation algorithm [65] where the gradient values for the weight coefficients on various layers are collected repeatedly. Different variants of stochastic gradient descend techniques are then used to update the weights [32]. Fig. 2a depicts the typical architecture CNN model used in this work.

Table 2

Summary of studies that employed machine learning techniques for automated *V. Jahmunah et al.*

| $\alpha \alpha $ | etection o | of N.CAD.M | I. CHF classe | s using ECG | signal |
|---|------------|------------|---------------|-------------|--------|
|---|------------|------------|---------------|-------------|--------|

| Authors | Method | Participant data | Findings/ Results (%) |
|---------------|--|---|---|
| [18], 2020 | CNN-LSTM model K-fold (k = 10) validation | MI: 148 patients CAD: 7 patients N: 92 subjects CHF: 15 patients | AC: 98.5 SN: 99.30 SP: 97.89 Ppv: 97.33 |
| [63], 2017 | Continuous wavelet transform Contourlet and Shearlet transforms Entropies and statistical features Binary Particle Swarm Optimization | MI: 148 patients CAD: 7 patients N: 92 subjects CHF: 15 patients | <u>Contourlet</u> <u>transform:</u> AC: 99.55% |
| study | CNN K-fold (k = 10) validation | ECG + Fantasia Databases, ECG + Fantasia Databases, St. Petersburg Institute of Cardiological Technics 12- lead Arrhythmia Database, PTB Diagnostic ECG Database, BIDMC Congestive Heart Failure Databa GaborCNN model: MI: 148 patients CAD: 7 patients N: 92 subjects | AC: 99.55 SN: 99.27 SP: 99.67 Ppv: 98.69 GaborCNN model: AC: 98.74 SN: 98.74 SN: 98.74 SP: 99.46 Ppv: 97.50 |
| | | CHF: 15 patients <u>CNN model:</u> MI: 148 patients CAD: 7 patients N: 92 subjects CHF: 15 patients | |

Abbreviations used: AC-Accuracy, SN-Sensitivity, SP-Specificity, Ppv-Positive Predicitve Value.

Table 3

Number of segments in each class.

| Type of signal | Segment information | | |
|--------------------------|------------------------------|--|--|
| Healthy | 4703(PTB) & 80 000(Fantasia) | | |
| Myocardial infarction | 20 265 | | |
| Coronary artery disease | 15 300 | | |
| Congestive heart failure | 30 000 | | |

3.2.2. Gabor filters

Gabor filters [66] are defined by a sinusoidal plane wave with specific frequencies and various orientations are used to extract spatial frequency structures from images [67]. 1-dimensional (D) Gabor function is ruled by the following equation [68],

G
$$\sigma$$
, $u(r) = g \sigma(r)$. exp [j2 πur], $r = 0, I, 2, W/2$ (1)
where,

 $G \sigma (r) = \frac{1}{\sqrt{2\pi}} \cdot \exp \left[-\frac{1}{2} \left(\frac{r}{\sigma} \right)^2 \right].$

The expression $g \sigma(r)$ denotes the 1D Gaussian function with scale parameter σ . The intricate exp comprises a spatial frequency u. Hence, 1D Gabor filter parameters are specified by the frequency u and scale σ [68]. These filters are commonly used in computer vision, texture representation and face detection domains [32,69]. Gabor filters can be used to generate Gabor features which can be fed to the CNN model [70]. The first or subsequent layers can be set as a stable Gabor filter bank to reduce the trainable parameters in the network [71]. Also, convolutional layers can be fine-tuned with learnable parameters by non-learnable convolutional Gabor filter bank [72]. Finally, the Gabor layer can be integrated into a CNN model by using it to substitute a convolutional layer in the deep model [32].

3.2.3. Gabor CNN deep model

A CNN model was developed, for the automated categorization of N, CAD, MI and CHF classes (Fig. 2a). Inspired by Alekseev et al. [31], we used a Gabor filter with learnable parameters to substitute the first convolutional layer of the developed CNN model. First, an 8-layered (excluding the first layer) CNN model was developed using the following hyper-parameters: batch size 50, 60 epochs, learning rate 0.001 and Adam optimization parameters (betas 0.9, 0.999) [73] (Fig. 2b). The weight map [74] from weighted loss function was used to counter the imbalanced dataset. Weight balancing helps to balance the data by changing the weight of training data, as the loss is computed. Hence weight balancing ensures that all the classes used in this study, contribute equally to the loss. Using weighted loss function is also less computationally intensive and hence used to tackle the imbalance in the dataset. Hence in this study, the weight of each class was computed using the equation n_classes * np.bincount(y) for optimal weights. The acquired signals were used to train the CNN model where the most discriminatory features were extracted and classified. K-fold cross validation (k = 10) [75] was used to estimate the model's performance wherein 80% of the data was used for training, while 20% was used for validation. Using the same specifications, a GaborCNN model was constructed (Fig. 2b). The only difference was that eight Gabor filters were used to replace the convolutional layer in the CNN model. The signals were fed to GaborCNN model and classified thereafter, similar to the CNN model. Tables 4 and 5 present the parameter details of each layer used to develop the CNN and GaborCNN models, respectively. Fig. 3 shows the Gabor filter that was used for the learning of data in each class. This filter was applied to the input signals of each class. Fig. 4a-d illustrate the output from each class using 8 filters, respectively.

4. Results

Tables 5a and b show the results of the developed CNN and GaborCNN models, respectively. High accuracy, specificity and sensitivity values of 99.55%, 99.67% and 99.27% were achieved respectively, with the CNN model, for the categorization of normal, CAD, MI and CHF classes. The GaborCNN model attained good performance as well, with high accuracy, specificity and sensitivity values of 98.74%, 99.46% and 98.74% respectively, for the same classification type.

5. Discussion

It can be noted from Table 1 that, CNN models [35,37,40,41,43,46, 47,57,59,61] and CNN hybrid models [18,39,42,45,51,53], have been explored for the detection of CAD/MI/CHF classes using ECG signals. In Ref. [58], conventional classifiers and ANN were used for the classification, and random forest classifier achieved an accuracy of 100% using a small dataset. The studies in Refs. [38,43–46,51,58,62] had achieved higher classification results than our study. However, these studies reported on two- class (binary) classification problems, different from our study. Baloglu et al. [46] studied ECG signals from normal subjects and 10 different types of MI. Their CNN-LSTM model obtained the highest accuracy of 99.78%. However, this study is different from ours as the authors did not perform a 4-class classification.



Fig. 1. Typical ECG signals of N, MI, CAD and MI classes.



Gabor + Convolutional Neural Network



Fig. 2. Proposed model:(a) CNN and (b) GaborCNN.

Table 4

Parameter details in each layer of the develop CNN architecture.

1

| Layers | Layer type | Number of neurons (output layer) | Number of parameters |
|--------|--------------------|----------------------------------|----------------------|
| 1 | 1d- convolution | 1991 × 8 | 88 |
| 2 | max pooling | 995 × 8 | 0 |
| 3 | 1d- convolution | 986 × 16 | 1296 |
| 4 | max pooling | 693×16 | 0 |
| 5 | 1d- convolution | 484 × 16 | 2576 |
| 6 | max pooling | 242×16 | 0 |
| 7 | linear | 32 | 123 936 |
| 8 | dropout | 32 | 0 |
| 9 | linear | 16 | 528 |
| 10 | linear | 4 | 68 |

Acharya et al. [63] had performed a similar 4-class classification and obtained the same accuracy of 99.55% as our study. However, the authors had employed conventional machine learning methods which require features to be extracted and selected manually. This is more time-consuming as compared to features being extracted automatically from the deep models, in our study. Similar to us, Lui et al. [39] and Lih et al. [18] (Table 2) developed hybrid CNN-LSTM models for the detection of normal, MI and other CVDs and for the detection of normal, CAD, MI and CHF classes, respectively. Lui et al. [39] employed the sample shuffling technique but did not report the classification accuracy while Lih et al. [18] obtained an accuracy of 98.5%, which is less than our study. In fact, both our developed CNN and GaborCNN models obtained higher classification. While both models are competent, comparing Table 4 and 5, it is evident that lesser parameters were used for the first



Fig. 3. Learned Gabor filters.

Normal



Fig. 4a. Gabor transformed normal signals (output).

Coronary Artery Disease



Fig. 4b. Gabor transformed CAD signals (output).





Fig. 4d. Gabor transformed CHF signals (output).

Table 5b

layer in the GaborCNN model as compared to the CNN model, hence the GaborCNN model is less computationally intensive than the CNN model. Thus, compared with the aforementioned, it is apparent that both our models exhibit good performance and our GaborCNN is a preferred model for the 4-class classification due to its reduced computational complexity. Additionally, to the best of our knowledge this is the **first**

| Table 5a | | | | | | |
|-----------|------------|------------|---------|-------------|----------|---------------|
| Parameter | details in | each layer | used of | the develop | GaborCNN | architecture. |

| Layers | Layer type | Number of neurons (output layer) | Number of parameters |
|--------|--------------------------|----------------------------------|----------------------|
| 1 | Gabor 1d- convolution | 1991 × 8 | 24 |
| 2 | max pooling | 995 × 8 | 0 |
| 3 | 1d-convolution | 986×16 | 1296 |
| 4 | max pooling | 493×16 | 0 |
| 5 | 1d-convolution | 484×16 | 2576 |
| 6 | max pooling | 242 	imes 16 | 0 |
| 7 | linear | 32 | 123 936 |
| 8 | dropout | 32 | 0 |
| 9 | linear | 16 | 528 |
| 10 | linear | 4 | 68 |

study to use GaborCNN model for the classification of normal, CAD, MI and CHF classes using ECG signals.

Figs. 5 and 6 depict the confusion matrices obtained for CNN and GaborCNN models, respectively. Confusion matrices are used to describe the performance of the model wherein the average number of correct and incorrect predictions of a model are provided for each class. It can be seen that the CNN model has obtained high accuracy due to smaller

| Classification results of model: (a) CNN and (b)GaborCNN. | | | | | | | |
|---|-------------------|-------------------|--------------------|-------------------|--------------------------------|--|--|
| Classes | Average SN (%) | Average SP (%) | Average PPV (%) | Average AC (%) | Average success rate (%) | | |
| (a) | | | | | | | |
| Ν | 98.85 | 99.49 | 99.60 | 99.13 | 99.55 | | |
| MI | 99.95 | 99.95 | 99.58 | 99.95 | | | |
| CAD | 98.67 | 99.35 | 95.96 | 99.26 | | | |
| CHF | 99.64 | 99.90 | 99.62 | 99.85 | | | |
| (b) | | | | | | | |
| Ν | 97.95 | 99.39 | 99.52 | 98.58 | 98.74 | | |
| MI | 99.13 | 99.75 | 97.82 | 99.68 | | | |
| CAD | 98.56 | 98.92 | 93.47 | 98.87 | | | |
| CHF | 99.30 | 99.79 | 99.19 | 99.69 | | | |



Fig. 5. Confusion matrix of CNN model.



Fig. 6. Confusion matrix of GaborCNN model.

misclassification values of 0.01%, 0%, 0.01% and 0% for normal, CAD, MI and CHF groups, respectively. Similarly, smaller misclassification values of 0.02%, 0.01%, 0.01% and 0.01%, are obtained for normal, CAD, MI and CHF groups, respectively contributing to the high

classification accuracy using Gabor CNN model. Figs. 7 and 8 show the plots of accuracy versus number of epochs obtained for CNN and GaborCNN models, respectively. Both models learned the data well over the epochs during training and validation, attesting the robustness of both models. However, the GaborCNN model diverges less (less gap between training and validation accuracy curves) compared to the CNN model, implying less overfitting and better performance. Additionally, the GaborCNN model used lesser training weights and is computationally less intensive compared to the CNN model. This indicates that our proposed GaborCNN model is fast and accurate for the classification of ECG classes.

Advantages and limitations of this study are listed below:

5.1. Advantages

- 1. This is the **first study** to have integrated Gabor filter in the CNN model to automatically classify normal, CAD, MI and CHF classes using ECG signals.
- 2. Obtained high classification accuracies of 99.55% and 98.74% by CNN and GaborCNN models respectively for the detection of normal, CAD, MI and CHF classes.
- 3. Employed ten-fold validation and the model is robust.
- 4. Generated GaborCNN model used less weights and hence can be trained faster.
- 5. GaborCNN model has the potential to classify other ECG classes with highest classification performance.

5.2. Limitations

- 1. Used few subjects for CAD and CHF groups in our proposed study.
- 2. Larger dataset is necessary to train and test the GaborCNN model.

In our future work, we hope to gather more data to train the GaborCNN model and improve the classification accuracy of CAD ECG signals, so that the onset of CAD could be detected early to prevent it from progressing to MI or CHF.

6. Conclusion

CVDs are the primary cause of death globally, costing about 17.9 million lives yearly. Thus, early diagnosis of CAD is crucial to provide timely treatment and avert the progression of CAD to MI or CHF. This study aims to compare the performance of two deep models for the automated categorization of normal, CAD, MI and CHF classes using ECG signals. The ECG data used in this work data used were imbalanced. Hence, weight balancing was used to balance the dataset. Both the CNN and GaborCNN models yielded high classification accuracies of more than 98.5%, for the 4-class classification of normal, coronary artery disease, myocardial infarction and congestive heart failure classes. This is the first study to use Gabor filter in the CNN model to develop a GaborCNN model for the detection of normal, CAD, MI and CHF classes. Furthermore, our proposed GaborCNN model is more effective than the CNN model for the diagnosis of four classes, as it can be trained faster with lesser weights and achieving high accuracy performance. Hence, the developed model is preferred for the classification and can be potentially used as an assistive tool for clinical experts to confirm their diagnostic decisions quickly.





Fig. 8. Accuracy plot of GaborCNN model.

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