

# Nonlinear Adaptive Neural Controller for Unstable Aircraft

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## Abstract

A model reference indirect adaptive neural control scheme which uses both off-line and on-line learning strategies is proposed for an unstable nonlinear aircraft controller design. The bounded input bounded output stability requirement for the controller design is circumvented using an off-line, finite interval of time training scheme. The aircraft model is first identified using a neural network with linear filter (also known as time delayed neural network) with the available input-output data for a finite time interval. The finite time interval is selected such that this time interval is less than the critical time interval for the aircraft from its stability point of view (similar to the time to double). A procedure to select this critical time interval is also presented in this paper. For a given reference model and the identified model, the controller neural network weights are adapted off-line for the same time interval. The off-line trained neural controller assures the stability and provides the necessary tracking performance for the unstable aircraft. If there is a change in the aircraft dynamics or characteristics, the trained neural identifier and controller are also adapted on-line. The theoretical results are validated using the simulation studies based on a locally nonlinear longitudinal high performance fighter aircraft similar to the F-16. The neural controller design proposed in this paper is also compared with the feedback error learning neural control strategy in terms of the tracking ability and control efforts for various level flight conditions and fault conditions such as modeling uncertainties and partial control surface loss. We also present the robustness of the aircraft under extreme wind and noise conditions.

## Introduction

Over the past three decades, adaptive control theory has evolved as a powerful methodology for designing nonlinear feedback controllers for systems with parameter uncertainties. The fundamental issues of adaptive control for linear systems like selection of controller architecture, assumption on *a priori* system knowledge, parameterization of adaptive systems, establishment of error models, adaptive law, and analysis of stability have been extensively addressed. These results have been reported in several text books dealing with the design and analysis of adaptive systems.<sup>1-3</sup> However, most practical systems are nonlinear in nature. Adaptive control of such nonlinear systems is therefore an intense area of research. Novel techniques in adaptive control of nonlinear systems are facilitated through advances in geometric nonlinear control theory and, in particular, feedback linearization methods<sup>2</sup> and backstepping methods.<sup>3</sup> A key assumption in these methods is that the system nonlinearities are known *a priori*.

During the last ten years, a large amount of research work has been carried out in neural control theory almost independently from adaptive nonlinear control research.<sup>4,5</sup> Neural networks possess an inherent structure suitable for mapping complex characteristics, learning and optimization. The feasibility of applying neural network architectures for identification and control of nonlinear systems was first demonstrated through numerical studies in Ref.<sup>6</sup> In these studies neural networks are mostly used as approximate models for unknown nonlinearities, thus removing the need for *a priori* knowledge of system nonlinearities. The nonlinear relationship between the input and output data is represented by the neural network parameters, also known as weights.

The role of neural network in adaptive neural flight techniques is to learn some underlying relationship between the given input-output data and approximate the control law. Different

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architectures and training schemes have been used for this purpose.<sup>6-13</sup> Due to their powerful ability of approximating non-linear functions and control laws, flight controllers incorporating neural network have been extensively studied. A detailed survey on the application of neural networks for non-linear flight control is presented in Ref.<sup>14</sup> The on-line learning ability of neural network is demonstrated by using modeling uncertainties and partial control surface loss in Refs.<sup>15-18</sup> A reconfigurable flight control algorithm that is trained to distribute control authority among remaining surfaces in a timely fashion without explicit knowledge of a given failure condition is reported in Ref.<sup>12,19,20</sup> A complete survey of the relevant literature is given in Ref<sup>21</sup>(pp. 43-77).

Among the various neural network based flight control schemes, the feedback error learning scheme is quite common. In Feedback Error learning Neural Control (FENC) scheme, the control architecture uses a conventional controller in the inner loop to stabilize the system dynamics, and the neural controller acts as an aid to the conventional controller for compensating the nonlinearity. Under severe modeling uncertainties, fault conditions and time varying nonlinear dynamics of the plant, the neural network is adapted on-line to ensure better tracking ability, provided the basic conventional controller satisfies BIBO stability requirement. Since the conventional controller is not designed for the new conditions, the control effort required by the FENC scheme is usually high when compared with the adaptive neural controllers. Recently in Ref.,<sup>22</sup> different conventional and neural controllers are designed for various fault and nominal conditions. A switching technique based on performance measure is used to select the appropriate controllers. But identifying the fault conditions and switching to appropriate controller is often difficult. To overcome these difficulties, a Model Reference Indirect Adaptive Neural Control (MRIANC) for unstable plants that incorporates a new scheme with both off-line and on-line learning is presented.

This paper presents a discrete time control design procedure using MRIANC that incorporates an off-line and on-line learning scheme for an 'unstable' aircraft. The controller design makes an assumption on the aircraft dynamics that the states and the response/outputs of

the aircraft do not escape to infinity in a finite interval of time, i.e., the response/outputs of the aircraft remain bounded up to a certain interval of time, referred to as critical time and afterwards it starts growing unbounded. Using this mild assumption on aircraft dynamics, an off-line learning procedure is presented to design neural controller. This off-line trained neural controller will stabilize the aircraft dynamics and thereby overcomes the requirement of a BIBO stable aircraft model for identification and control. The input-output recursive equations and a unique control law for the aircraft dynamics is established using the concept presented in Ref.<sup>23</sup> The recursive input-output model and a unique control law are approximated using the identifier network ( $N_I$ ) and controller networks ( $N_c$ ) respectively. First, the identifier network ( $N_I$ ) is trained off-line using the input/output data generated (between 0 sec to critical time) from the unstable aircraft model, for various initial conditions and bounded inputs. Next, the controller network ( $N_c$ ) is trained using the arbitrary bounded reference output generated (between 0 sec to critical time) from the reference model and the identifier neural network. The multilayer perceptron with a linear filter (also known as time delayed) network is used as basic building blocks for identifier and controller networks. The identifier and controller network are adjusted using static and dynamic backpropagation learning algorithms respectively.<sup>6</sup> Under fault conditions, the identifier and controller network will quickly learn (on-line) these changes and provide an appropriate control input to maintain a satisfactory tracking performance. The off-line trained neural network weights are used as starting point for on-line adaptation. The off-line learning scheme eliminates the BIBO stability requirement for neural controller design and the on-line adaptation process overcomes the excessive control effort problem. The advantage of the proposed neural control scheme is demonstrated using simulation studies.

The nonlinear perturbed equations for the longitudinal dynamics of a high performance aircraft is considered for simulation studies. The perturbed equations of the unstable aircraft model is used for designing a pitch rate command control. Though the aircraft is trimmed at various flight conditions, the input-output data generated from one particular flight condition

is used to train the neural networks off-line. The off-line trained neural network is tested at various level flight conditions, and is also adapted on-line for various fault conditions such as modeling uncertainty and partial control surface loss. The robustness of the neural controller is tested under severe wind conditions and noise in measurements.

The proposed neural controller performance is compared with FENC scheme.<sup>16</sup> In FENC scheme, the unstable aircraft is stabilized using state feedback control design.<sup>24,25</sup> The gains are selected such that the controller is able to stabilize the aircraft at different level flight conditions. The neural controller present in the outer loop is used to provide the necessary tracking performance. During fault conditions the neural controller weights are adjusted such that the aircraft follows the command accurately.

### **Problem Definition**

The aircraft model used in this study is similar to the high-performance fighter aircraft model as reported in Ref.<sup>26</sup> For all practical purposes the lateral and longitudinal modes are de-coupled and the aircraft is powered by an afterburning turbofan jet engine. The longitudinal dynamics of the aircraft is considered for this study. The local nonlinear perturbed equations of the aircraft in level flight condition ( $V_t = 150$  ft/sec,  $\alpha = 15^\circ$  degree and  $h = 2000$  ft) is described by the following equations,

$$\begin{aligned} \frac{dx}{dt} &= Ax + Bu + g(x) & x(0) &= x_0 \\ y_p &= Cx + Du \end{aligned} \tag{1}$$

where

$$\begin{aligned}
 A &= \begin{bmatrix} -0.0376 & -0.22 & -0.0246 & -9.81 & -0.1116 \\ -0.03 & -3.2797 & 0.9188 & 0 & 0.0129 \\ 1.219 & -0.5117 & -2.2150 & 0 & -3.2529 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -20 \end{bmatrix} \\
 B &= [0 \ 0 \ 0 \ 0 \ 20]^T \\
 C &= [0 \ 0 \ 1 \ 0 \ 0], \quad D = 0
 \end{aligned}$$

where  $x \in \mathfrak{R}^5$  are states of the system containing velocity ( $V_t$ ), angle of attack ( $\alpha$ ), pitch rate ( $q$ ), pitch attitude ( $\theta$ ) and actuator dynamics,  $u \in \mathfrak{R}$  is the elevator input ( $\delta_e$ ) and  $y_p \in \mathfrak{R}^1$  is the output  $q$ . The function  $g(\cdot)$  is a smooth and bounded nonlinear function.

$$g(x) = \begin{bmatrix} 0.009x_1x_2 + 0.00212x_2^2 - 0.009x_1x_3 - 0.12x_1x_2x_3 - 0.0012x_2^3 \\ -0.1914x_2^2 - 0.0271x_2x_3 + 0.00017x_3^2 \\ -0.2712x_3^2 - 0.0145x_3x_2 + 0.00071x_1x_2^2 - 0.00721x_2^3 \\ 0 \\ 0 \end{bmatrix}$$

The function  $g(\cdot, \cdot)$  contains the second and higher order terms of the state variables  $x$ .<sup>27</sup> The elevator input  $u$  belongs to a class of bounded signals  $U$ , where

$$u(t) = \{u : \|u(t)\| \leq \delta, \forall t \geq 0\}, \quad \delta \in \mathfrak{R}^+$$

It is assumed that the constant  $\delta \in \mathfrak{R}^+$  is known.

The continuous-time representation of the aircraft linear model is transformed into dis-

crete time model as

$$\begin{aligned} x(k+1) &= A_d x(k) + B_d u(k) + \bar{g}(x, k) \\ y_p(k) &= C_d x(k) + D_d u(k) \end{aligned} \quad (2)$$

where the system matrices  $A_d$ ,  $B_d$ ,  $C_d$  and  $D_d$  are obtained using zero order hold with a sampling time of 0.02 s and  $\bar{g}(x, k)$  is obtained using numerical discretization technique. The short period poles of the linear model given in equation (2) are  $-3.2683 \pm 0.4944i$  and phugoid poles are  $0.5022 \pm 1.8306i$ .

The aircraft is also trimmed at different flight conditions ( $V_t = 250$  ft/sec,  $V_t = 400$  ft/sec and  $V_T = 500$  ft/sec) and the local nonlinear perturbed equations are derived. Data for trimmed level flight at sea level, with the center of gravity shifted further away by 5% are given in Table 1. The center of gravity is shifted further such that the aircraft is unstable at all flight conditions considered in this paper. The neural flight control system is designed to stabilize the aircraft and also to follow the pilot pitch rate command signal at all flight conditions. For this purpose, model reference indirect adaptive neural control (MRIANC) scheme is employed.

### **Model Reference Indirect Adaptive Neural Control**

The objective of MRIANC scheme is defined quantitatively as: Given an aircraft model, a reference model ( $R_M$ ) and a reference pilot input ( $r$ ), the problem is to determine the elevator input to the aircraft ( $u^*$ ) (which will be the output of the neural network controller) so that the response of the aircraft ( $y_p$ ) follows the reference model. The architecture of the MRIANC is shown in the Figure 1. In MRIANC, two neural networks namely identifier network ( $N_I$ ) and controller network ( $N_c$ ) are used. The identifier neural network is used to approximate the input/output relationship of the aircraft dynamics and the controller network is used to approximate the unique control law that forces the aircraft output to follow the reference model output accurately.

The method of indirect adaptive control relies on the ability to derive the control law given the identifier model, for a class of systems. In Ref.,<sup>6</sup> a model reference indirect adaptive control scheme is used to provide a good tracking performance for an unknown nonlinear plant. But the unknown plant is assumed to be BIBO stable. Since the aircraft considered in this paper is unstable, the method presented in Ref.<sup>6</sup> will not stabilize the aircraft and provide the desired tracking performance. Hence, in this paper we present an off-line and on-line learning strategy to stabilize the unstable aircraft and also to provide a good tracking performance. The off-line training procedure will be used to overcome the BIBO stability requirement of the plant model. Now, the problem essentially has two parts, the first part of which is to derive the identifier model such that the neural model follows the aircraft dynamics accurately in some sense.

$$\|\hat{y}_p(t) - y_p(t)\| < \epsilon \quad \forall t \in [0, T]$$

where  $\hat{y}_p(k)$  be the neural model predicted output and  $\epsilon$  is a small positive integer.

The second part is to determine the controller network for a given identifier model, and to ensure that the aircraft output follows the reference model outputs accurately. The convergence of the controller neural network depends on the accurate modeling of the identifier network. The two different parts of model reference indirect adaptive control problem for unstable aircraft are discussed in detail in the following sections.

## Identification

In this section, we first present a strategy to choose an appropriate neural network model and then discuss the off-line learning scheme to identify the unstable aircraft dynamics.

### Formulation

One of the basic requirements in using the neural network architectures to identify the nonlinear dynamical systems is the capability of these architectures to accurately model the behavior of a large class of dynamical systems that are encountered in real world problem.

This leads to the question whether a given NN architecture is able to approximate the input-output response of an unstable aircraft in some appropriate sense. The input-output response of the aircraft is represented in terms of network architecture and its weights. Therefore the representation capabilities of the given network depend on whether there exist a set of weight matrix ( $w_f$ ) such that the neural network configuration approximates the behavior of a given system.

For an observable system of order  $n$ , it is well known<sup>23</sup> that the states  $x(k+1)$  can be represented as a function of  $y(k)$ ,  $y(k-1)$ ,  $\dots$ ,  $y(k-n+1)$ ,  $u(k)$ ,  $u(k-1)$ ,  $\dots$ ,  $u(k-n+1)$  so that the aircraft dynamics given in equation (2) in the neighborhood of the equilibrium point can also be represented as

$$y_p(k+d) = F[y_p(k), \dots, y_p(k-n+1), u(k), \dots, u(k-n+1)] \quad (3)$$

where  $d$  is the relative degree (or equivalent delay) of the system. It is assumed that the order of the system  $n$  and relative degree are specified while the nonlinear function  $F[.,.]$  is unknown.

Based on the above equation, following the approach given in Ref.,<sup>6</sup> one can construct a neural network model as shown in the Figure 2. The inputs to the neural network are the present input and past  $n$  inputs and outputs/response of the aircraft. The interconnection of static multilayer perceptron and dynamic elements (past inputs and outputs) is proposed for modeling the input-output response of the system described by (3). Such networks are called neural network with linear filter (also known as time delayed neural networks). The input-output behavior ( $u \rightarrow \hat{y}_p$ ) of a two-layer sigmoidal neural network ( $N_I^{l, n_h, m}$ ) with  $l$  inputs,  $m$  outputs and  $n_h$  hidden neurons is given by

$$\begin{aligned} \hat{y}_p(k+d) &= N_I[y_p(k), \dots, y_p(k-n+1), u(k), \dots, u(k-n+1), w_f] \\ \hat{y}_p(k+d) &= N_I[V, w_f] \end{aligned} \quad (4)$$

where  $w_f$  is the weight matrix.

let  $V$  be the input to the neural network at any instant  $k$  and  $N_I[\cdot]$  be the neural network approximation for the function  $F[\cdot]$ . If we suppose that the system and the neural network model are initially at the same state (i.e.,  $\hat{x}_0 = x_0$ ), then we have to prove that there exists an optimal weight vector  $w_f^*$  such that the input-output behavior ( $u \rightarrow \hat{y}_p$ ) of the neural network model (Eq. 4) approximates, in some sense, the input-output behavior ( $u \rightarrow y_p$ ) of the aircraft dynamics (Eq. 2). The optimal weight vector  $w_f^*$  that approximates the system is given as

$$w_f^* := \arg \min_{w_f \in B(w)} \left\{ \sup_{V, y_p \in \aleph} \|\hat{y}_p(k+d) - y_p(k+d)\| \right\} \quad (5)$$

where  $\aleph$  is the set consisting of all network inputs  $V$  and target vector  $y_p$ .  $B(w)$  is a (large) compact set of weight vector,  $B(w) := \{w_f : \|w_f\| \leq \delta\}$  denotes a ball of radius  $\delta$ . In adaptive law, the estimated weight vector  $w_f$  is also restricted to  $B(w)$ . The neural network weights are adapted based on the identification error  $e_i(k)$  between the actual aircraft response and the neural network model.

$$e_i(k) = \hat{y}_p(k+1) - y_p(k+1) \quad (6)$$

Since, the inputs to the neural networks are independent of the present output of the aircraft, static backpropagation training algorithm is used to adapt the network weights.

If the given system to be identified is BIBO stable, then for any bounded input  $u(k)$  the output  $y_p(k)$  will also be bounded. Hence, the input  $V$  to the neural network is also bounded, i.e., the set containing the input and aircraft response  $\aleph$  belong to a compact set. By universal approximation property of neural networks, it is possible to approximate any function to desired accuracy if the inputs and outputs belong to compact sets. Hence, the neural network weight vector will converge to optimal value.<sup>28-30</sup> Since the aircraft considered in this paper is unstable, the response of the aircraft may grow unbounded for

bounded elevator input, i.e., input/output data set does not belong to compact set. Hence the neural identifier model may not converge to an optimal value.

For the purpose of identification of unstable aircraft dynamics, we make a mild assumption on the boundedness on aircraft state/output responses.

**Assumption 1.** Let us assume that for a given class of bounded input  $u$  and finite initial conditions  $x_0$ , the aircraft response does not escape to infinity in finite interval of time.

$$\sup_{t \in [0, T_c]} \|y_p(t)\| \leq \Delta \quad (7)$$

where  $\Delta$  is a known real positive number. The time  $T_c$  is referred to as the critical time.

The critical time  $T_c$  depends on the structure of the system and also on the class of bounded input signals. Using the above statement, we assume that the response of the aircraft is less than  $\Delta$  within the time interval  $[0, T_c]$ , for all bounded input  $u$ . Hence, the set containing all possible bounded input and aircraft response in the time interval  $[0, T_c]$  belongs to a compact set. Now, we can state the following definition.

**Definition:** A network is said to satisfy the universal approximation property, if on any compact subset  $C \subset \mathfrak{R}^n$  of the input space, it is always possible to find an appropriate number of hidden neurons and optimal weight vectors (not necessarily unique), such that any continuous functions can be approximated to any arbitrary level of accuracy.

Note that the above assumption and the definition proves the existence of the optimal network parameters. The details on convergence of the static backpropagation learning algorithm is given in Ref.<sup>31</sup> Thus the proposed off-line learning scheme will be able to identify the unstable aircraft dynamics within the time interval  $[0, T_c]$ . To illustrate the procedure for selecting the critical time  $T_c$ , a simple example is presented below.

### Selection of $T_c$

Let us consider an unstable discrete time system  $S(z)$  as defined below,

$$S(z) = \frac{z + 2}{z^2 + 1.5z - 1} \quad (8)$$

The above system is subject to step signals, with various initial conditions. The bounds on inputs and initial conditions are selected as  $\pm 0.3$  and  $\pm 0.2$  radians respectively. For various step inputs of 0.1, 0.2 and 0.3 radians and initial conditions, the response of the system described in equation (8) is shown in Figure 3. We can observe from the Figure that the system responses goes to infinity after some time  $T$  for a given input and initial conditions. Let  $\Delta$  be equal to 100, the selection of  $\Delta$  depending on the sensor capabilities. Now, the critical time ( $T_c$ ) is selected such that the response of the system is always less than  $\Delta$  for various inputs and initial conditions as defined above. From Figure. 3, we can observe that the response of the system is always less than 100 in  $[0, 8.88]$  time interval and so the critical time  $T_c$  can be selected as 9sec.

### Simulation Results

In this section, we present the simulation studies for identification of longitudinal dynamics of an unstable aircraft. The dynamics of the aircraft is defined in equation (2). For this study, the bound  $\Delta$ , critical time  $T_c$  and sampling time  $t_s$  are selected as 5 rad, 10 s and 0.02 s respectively. The value of bound  $\Delta$  and critical time ( $T_c$ ) are found using the concept explained in the previous section. The input to the elevator is generated using pseudo random signal for 0 to 10 second duration with the magnitude bounded between  $\pm 0.15$  radian. For various initial conditions, the input signal ( $\delta_e$ ) and the pitch rate response ( $q$ ) are measured. This data set is used as training set for identifier neural network ( $N_I$ ).

The order of the aircraft dynamics ( $n$ ) and its relative degree ( $d$ ) are assumed to be 4 and 1 respectively. Hence, along with the current input of the elevator, four past elevator inputs and pitch rate response of the aircraft model form the input vector to the neural network

( $N_I$ ). The network architecture used in the simulation study is given by  $N_f^{9,25,1}$  (9-input nodes, 25-hidden nodes and 1-output node). The optimal number of hidden nodes required to approximate the input-output representation is selected using the technique presented in Ref.<sup>32,33</sup> Bipolar sigmoidal function is used as activation function in the hidden and output layer. In order to accelerate the learning process, the input/output data are normalized between  $\pm 0.8$ .<sup>34</sup> The network parameters are adapted such that the error between the actual plant output and network predicted output is less than 0.002 (MSE). The trained network is tested for its generalization ability with pseudo random signal for 15 second duration. The network response and actual output of the neural network are computed. Figure 4(a) shows the input to the elevator and Figure 4(b) shows the pitch rate ( $q$ ) response of the neural network model and actual aircraft model. Since the neural network is trained between the time interval  $[0, 10]$ , the network response is not good after the critical time 10. This can be observed clearly in Figure 4:(b). The network predicted pitch rate ( $\hat{q}$ ) output follows the actual output ( $q$ ) of the aircraft closely up to  $T_c$  and after  $T_c$  network response does not follow the actual response accurately. From Figure 4(b), we can say that the identifier network ( $N_I$ ) is able to capture the nonlinear mapping ( $F[.]$ ) of unstable aircraft dynamics very well up to time  $T_c$ .

## Neural Controller Design

In this section we consider a strategy to choose an appropriate controller network to stabilize the unstable aircraft dynamics and also follow the arbitrary reference output signal generated from the reference model. We first formulate the control problem of unstable aircraft and later discuss the learning strategy.

### Controller Structure Formulation

The objective of the control problem is to find the control input  $u^{(k)}$  such that the aircraft output  $y_p(k)$  tracks any arbitrary output sequence  $y_p^*(k)$ . The reference model is selected based on the flying quality requirements for fighter aircraft.<sup>35,36</sup> The desired output is an

arbitrary sequence  $y_p^*(k)$  generated from the reference model  $R_m$  between the time interval  $[0, T_c]$ . Since the reference model is also a class of dynamical system, the output  $y_p^*(k+d)$  can be represented by its past inputs  $r(k)$  and outputs  $y_p^*(k)$ .

$$y_p^*(k+d) = \bar{F}_m(y_p^*(k), \dots, y_p^*(k-n+1), r(k), \dots, r(k-n+1)) \quad (9)$$

$\bar{F}_m(\cdot)$  is a smooth (continuous) function.

If the asymptotic stability of the zero dynamics together with a well defined relative degree assures the existence of a control input that can make the aircraft follow any arbitrary signal  $y_p^*$ ,<sup>37</sup> then the controller has the form

$$u(k) = G[y_p(k), \dots, y_p(k-n+1), u(k), \dots, u(k-n+1), y_p^*(k+d)] \quad (10)$$

where function map  $G[\cdot]$  exists and is unique. Hence, the aim of controller neural network is to approximate the function map  $G[\cdot]$ .

Substituting equation (9) in equation (10),

$$u(k) = \bar{G}[y_p(k), \dots, y_p(k-n+1), u(k), \dots, u(k-n+1), \bar{F}_m(y_p^*(k), \dots, y_p^*(k-n+1), r(k), \dots, r(k-n+1))] \quad (11)$$

The input ( $u$ ) depends on the past aircraft response and reference signals ( $y_p, y_p^*, r$ ) and if the aircraft is able to track any arbitrary sequence, then  $y_p(k+d) = y_p^*(k+d)$ . Hence, we can replace  $y_p^*$  by  $y_p$  in the above equation.

$$u(t) = K[y_p(k), \dots, y_p(k-n+1), r(k), \dots, r(k-n+1)] \quad (12)$$

where  $K[\cdot]$  is a smooth function.

The mapping  $K[\cdot]$  is not known. Hence, it is difficult to calculate the target  $u(k)$  (elevator

input  $\delta_e$ ) to train the controller network ( $N_c$ ). The neural network architecture shown in figure 2 is used to approximate the unknown function  $K[.,.]$ . The inputs to the network ( $N_c$ ) are the past reference inputs and response of the aircraft. The parameters of controller network are updated using a dynamic backpropagation algorithm.<sup>6</sup> The error ( $e_c$ ) between the reference output ( $y_p^*$ ) and aircraft response ( $y_p$ ) is back propagated through the identifier network ( $N_I$ ) to calculate the error at the output neurons of network  $N_c$ . Figure 2 shows explicitly the delayed inputs that are fed to the network ( $N_I$ ). Let us suppose that the aircraft response depends on  $u(k)$ ,  $u(k-1)$ ,  $u(k-2)$  and  $u(k-3)$ , and hence these are fed to the network  $N_I$  by delays from the single output ( $\delta_e$ ) of  $N_c$ . Initially we do not consider the other inputs such as past outputs of the aircraft to  $N_I$ , as they are not important to this discussion. For training  $N_c$ , we need to find the error at the output node of  $N_c$ , which is directly connected to the input node 1 of the network  $N_I$ . So, we have to backpropagate the error,  $e_c$ , through  $N_I$  to reach the node 1 in its input layer. Since the aircraft response depends on many previous inputs, the correct procedure to calculate the error at output node of  $N_c$  is the dynamic backpropagation algorithm,<sup>6</sup> i.e., propagate the error through delay line ( $z^{-1}$ ). In practice, this would mean that we have to backpropagate the error  $e_c$  up to all input nodes of  $N_I$ , and then add appropriately delayed versions of these errors to get the error at the output node of  $N_c$ .

The pseudo-random reference inputs are generated between the time interval  $[0, T_c]$  and the reference output  $y_p^*$  is computed. This data is used to train the network  $N_c$ . A performance index ( $J$ ) for training process is defined as

$$J(t) = \frac{1}{N} \sum_{k=1}^N (e_c)^2 \quad (13)$$

where  $e_c = y_p^*(k) - y_p(k)$ . The network weights are adjusted such that the performance index ( $J$ ) is minimized.<sup>6</sup>

The estimation of error at output node of  $N_c$  will be accurate only when the identifier ( $N_I$ )

network approximates the system response well. Since the identification model is valid up to time  $T_c$ , the calculation of error at network  $N_c$  will also be accurate in the time interval  $[0, T_c]$ . Hence, the controller network parameters are adapted between the time interval  $[0, T_c]$ . The trained neural network is used as a starting point for further adaptation, in case of parameter uncertainty.

For the simulation study, pitch rate command control is used as a reference model. The reference model (pitch rate command control) is defined based on the desired flying quality requirements.<sup>35,36</sup> In order to train the controller network ( $N_c$ ), the pseudo random pulse reference input  $r$  and the arbitrary output sequence for pitch rate ( $q$ ) are generated for 10 seconds duration. Similarly, 20 data set are generated for various random signal and initial conditions. These data sets are used to adapt the controller weight matrices. The past four input and output signals and present input signal are fed as inputs to the controller ( $N_c$ ). The output of the controller ( $N_c$ ) is the elevator deflection ( $\delta_e$ ) and is the input to the aircraft model. The difference between the aircraft and the reference model is back propagated through the network  $N_I$  to adapt the weights of network  $N_c$ . The controller network ( $N_c$ ) structure used in the simulation studies is  $N_c^{9,25,1}$ , i.e., 9-input nodes, 25-hidden nodes and 1-output node. The controller network architecture is also selected based on the procedure given in Ref.<sup>32,33</sup> The  $N_c$  weights are adapted until the performance index  $J$  is less than 0.002.

## Feedback Error Learning Neural Control Scheme

In this section, we present the feedback error learning neural control scheme (FENC) for an unstable aircraft. The block diagram of FENC scheme is shown in figure 5. In FENC scheme, the conventional state feedback controller in the inner loop is used to stabilize the aircraft and the neural controller in the outer loop approximates the unknown nonlinearity and provides the necessary tracking performance. The neural controller is trained to minimize the deviation between the reference signal ( $y^*$ ) and actual output of the aircraft. The control effort applied to the aircraft is the sum of the conventional and neural controller

signals,

$$u(k) = u_{nn}(k) - u_{con}(k) + r(k) \quad (14)$$

where  $u_{nn}$  is neural network output and  $u_{con}$  is the control input from the state feedback controller (SFC).

The conventional SFC is designed based on the linearized model at level flight condition ( $V_T = 150 ft/sec$ ). The controller gain matrix is

$$K = [0 \quad -0.08 \quad -0.28 \quad -0.49]$$

The SFC is able to stabilize the aircraft at various level flight conditions. In this study, radial basis function network is used to approximate the unknown nonlinearity. The stability and convergence of above the approach is discussed in Ref.<sup>16</sup>

## Simulation Results and Discussion

The performance capabilities of the SFC, FENC and MRIANC schemes are tested with reference pulse input of 0.05 radian at various level flight conditions. The differential equations describing the aircraft motion are solved using Runge-Kutta higher order method. The reference pitch rate response and aircraft response with different controllers for pulse input at level flight condition ( $V_T = 150 ft/sec$ ) are shown in Figure 6. The control effort required by the flight controllers is presented in Figure 6(a) (the elevator inputs are within the limit  $\delta_e \leq \pm 24^\circ$ ) and the pitch rate and attitude responses are shown in Figure 6(b-c). From this Figure, we can observe that the MRIANC scheme stabilizes the aircraft and also follows the pitch rate command accurately. The control effort required by the proposed MRIANC scheme is much less than the FENC and SFC schemes. The control effort is calculated using area under the elevator deflection curve. The qualitative performance measures like maximum error, root mean square error (RMS) and maximum control deflection and control

effort are calculated for the flight controllers at different level flight conditions and are given in Table 2. From Table 2, we can observe that the proposed MRIANC scheme performs better than the FENC and SFC schemes. For example, the proposed MRIANC scheme requires maximum of 0.0154 radians of elevator deflection at 150 ft/sec, whereas FENC scheme requires 0.0592 radians. The maximum control surface deflection in FENC scheme is approximately 3 – 4 times more than the proposed MRIANC scheme. This fact can be clearly observed from the control effort required. Similar performance can be observed for other flight conditions. The simulation study indicates that the proposed off-line learning strategy is able to stabilize the unstable aircraft and also provide good tracking performance. Now, we present the on-line learning ability of the neural network for various fault conditions.

### **Response Under Fault Conditions**

In order to study the robustness of the flight controllers, modeling uncertainties and partial control surface loss conditions are considered. The neural network in MRIANC and FENC schemes are adapted on-line for fault conditions such that the aircraft follows the reference pitch rate command accurately. The controller neural network  $N_c$  is updated for a window of time (approximately 30 sec) with learning rate of 0.3. During this time interval, pseudo random pulse input signal is injected into reference input channel. The reference inputs and pitch rate command signals are used to adapt the control weight matrices. The on-line adaptation of controller weight matrices is stopped, if the performance of the controller is within the specified limit.

#### *System Matrix Fault*

Let us first consider the 70% modeling uncertainty problem, i.e., the elements of the system matrix  $A$  given in equation 1 is modified as  $A = 0.3A$ . The controller weight matrix is adapted on-line. The response of the flight controllers after on-line adaptation at  $V_T = 150$  ft/sec is shown in Figure 7. The control effort required is shown in Figure 7(a) and the pitch rate response is shown in Figure 7(b). From Figure 7(a), we can observe that the control

effort required to follow the pitch rate command is within the maximum limit. From this Figure, we can also see that the proposed MRIANC scheme has better tracking performance and lesser control effort than the FENC and SFC schemes. The above result demonstrates the on-line training ability of neural controller to adapt to any damage level. The performance of the flight controller at various level flight conditions under modeling uncertainty is given in Table 2. From the Table 2, we can say that the MRIANC scheme has better tracking performance and requires less control effort.

To study the robustness of the proposed control scheme, the system matrix ( $A$ ) is also multiplied with factors 1.5 and 1.75. The response of the flight controller at  $V_T = 150$  ft/sec with multiplicative uncertainty of 175% ( $A = 1.75A$ ) is shown in Figure 8. The pitch rate and attitude response and control surface deflection of SFC, MRIANC and FENC are shown in Figure 8(a-c). From this figure, we can say that the proposed MRIANC scheme stabilizes the aircraft model and also provides necessary tracking performance. The same controller is tested at different flight conditions and the performance of the controller are tabulated in Table 2. The Table 2 also presents the performance of flight controller with factor of 1.5. The simulation results clearly demonstrate the ability of the proposed MRIANC scheme under various fault conditions.

### *Control Surface Loss*

Similarly, the performance of the closed loop systems is studied for partial control surface loss. The results for 70% ( $B = 0.3B$ ) control surface loss at  $V_T = 400$  ft/sec is presented. The response of neural controller after on-line adaptation is shown in Figure 9. The elevator input under fault conditions is shown in Figure 9(a) and the aircraft response is shown in 9(b). From Figure 9(a), we can observe that the input to the elevator is within the maximum limit. From Figure 9(b), we can observe that the SFC is not able to stabilize the aircraft alone under 70% control surface loss condition at  $V_T = 400$  ft/sec, whereas the same SFC is able to stabilize the aircraft at 150 and 250 ft/sec level flight conditions. Hence, the feedback gains  $K$  are modified such that the plant is stable under control surface fault. The controller

neural network in FENC scheme is adapted on-line with new gain values for SFC. This condition clearly indicates the requirement of an on-line adaptive controller. Using the on-line learning scheme, FENC and MRIANC are able to stabilize the aircraft, but the control effort required by FENC scheme is more than by the proposed scheme. The quantitative results for 70% control surface loss at various level flight conditions are given in Table 2, from which we can clearly observe that the controller parameters can be reconfigured and the proposed neural controller can accurately track the reference output under parameter uncertainties and partial control surface loss conditions.

### **Response under Gust disturbance and Noise rejection:**

The aircrafts are highly susceptible to atmospheric turbulence that commonly occurs during flight. In order to determine the gust rejection specifications of the closed loop system, the vertical wind gust noise is taken to have a spectral density given in Dryden form as:<sup>35,36</sup>

$$\phi_w(\omega) = \frac{2L_w\sigma^2}{\phi U} \frac{1 + 12\frac{L_w^2}{U^2}\omega^2}{(1 + 4\frac{L_w^2}{U^2})^2} \quad (15)$$

where  $\omega$  is the frequency in rad/sec,  $\sigma$  is the turbulence standard deviation,  $L_w$  is the turbulence scale length and  $U$  is the flight velocity. The turbulence scale length at 4000ft altitude is  $L_w \approx 883\text{ft}$ . The turbulence standard deviations are defined in statistical terms and classified as light, severe and moderate, with  $\sigma = 5.1\text{ft/sec}$  for light wind,  $\sigma = 10\text{ft/sec}$  for moderate wind and  $\sigma = 20\text{ft/sec}$  for severe wind conditions. The aircraft is flown in severe wind conditions and therefore a standard deviation of  $18\text{ft/sec}$  is chosen. The frequency range of concentration of gust disturbance is found to increase with speed. This represents the worst-case scenario when disturbances may excite both the phugoid and short period modes. Taking this as the benchmark, the gust rejection specification is to reject all disturbances below 13 rad/sec.

In general the sensor used for measuring pitch rate will have an in built 50 Hz low pass

filter, but the sensor outputs are noisy and biased with noise concentration in the region above 30 rad/sec. These noises coupled with the mechanical vibrations of the airframe could lead to erroneous measurements making the control of aircraft difficult. Thus high frequency specification is to reject all noise above 30 rad/s.

Sensor noise is added by passing white noise through a high pass shaping filter  $\frac{0.02(s+30)}{s+100}$  which corresponds to high frequency gain of 0.02. The atmospheric gust disturbance and sensor noise added to the system are shown in Figure 10. The simulation studies are carried out at 150 ft/sec flight condition with the vertical gust and noise in the measurement. The response of the MRIANC is shown in Figure 11. The control surface deflection under this condition is shown in Figure 12. From this figure, we can observe that the neural controller rejects the noise and gust very well and also that the control surface deflection is within the limit.

## Conclusion

A discrete time model reference indirect adaptive neural control scheme that incorporates off-line and on-line learning strategy is presented for unstable nonlinear aircraft model. Using a mild assumption on the aircraft model, an off-line training scheme is developed. The bounded input bounded output stability requirement for neural controller design is circumvented using the off-line training scheme. The off-line trained neural identifier and controller are adapted on-line for model uncertainties and control surface loss. The proposed control scheme is compared with well known feedback error learning neural control scheme. The on-line training/reconfiguration shows that the proposed control scheme requires less control effort to provide better tracking performances. The robustness of the proposed neural flight control is tested under severe wind and noise conditions and the simulation results indicate that the proposed control scheme rejects the disturbance very well. The simulation studies based on an F-16 aircraft model demonstrates the benefits of using the proposed control scheme.

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**Table 1: Trim data for the aircraft model**

Speed ( $V_T$ )	150	250	400	500	ft/sec
AOA ( $\alpha$ )	15	13	3.45	2.12	degrees
Elevator ( $\delta_e$ )	-13	-3.8759	-2.2732	-2.067	degrees
Throttle	0.6884	0.1971	0.13	0.1552	per unit
Altitude ( $h$ )	2000	2000	3000	5000	ft

**Table 2: Quantitative results for controllers at different flight conditions**

Trim	Test	MRIANC				FENC			
		$q$ error		Max $\ \delta_e\ $ rad	Cont. effort	$q$ error		Max $\ \delta_e\ $ rad	Cont. effort
		Max. rad/sec	RMS rad/sec			Max rad/sec	RMS rad/sec		
$V_T$ ft/sec	cases								
150	Nominal	0.0051	0.0014	0.0151	0.0154	0.0101	0.0095	0.0592	0.0632
250		0.0118	0.0087	0.0196	0.0261	0.0181	0.0115	0.0671	0.0734
400		0.0185	0.0154	0.0205	0.0274	0.0251	0.0261	0.0782	0.0819
500		0.0081	0.0112	0.0235	0.0309	0.0201	0.0097	0.0813	0.0859
150	$A = 0.3A$	0.0111	0.0054	0.0251	0.0069	0.0313	0.0189	0.0752	0.0364
250		0.0202	0.0107	0.0366	0.0124	0.0478	0.0275	0.0891	0.0412
400		0.0339	0.0199	0.0465	0.0164	0.0592	0.0361	0.0910	0.0495
500		0.0174	0.0082	0.0495	0.0212	0.0375	0.0136	0.0976	0.0521
150	$B = 0.3B$	0.0106	0.0033	0.0285	0.0319	0.0321	0.0125	0.0992	0.1757
250		0.0154	0.0108	0.0491	0.0425	0.0481	0.0248	0.1071	0.2123
400		0.0320	0.0148	0.0601	0.0612	0.0595	0.0465	0.1482	0.2941
500		0.0289	0.0208	0.0673	0.0734	0.0595	0.0465	0.1482	0.3427
150	$A = 1.5A$	0.0118	0.0076	0.0125	0.0117	0.0138	0.0096	0.0582	0.1221
250		0.0151	0.0110	0.0172	0.0174	0.0191	0.0099	0.0637	0.1514
400		0.0171	0.0171	0.0199	0.0251	0.0214	0.0212	0.0521	0.1721
500		0.0212	0.0076	0.0210	0.0297	0.0252	0.0146	0.0712	0.1914
150	$A = 1.75A$	0.0091	0.0056	0.0113	0.0099	0.0151	0.0109	0.0551	0.1197
250		0.0142	0.0141	0.0165	0.0167	0.0121	0.0056	0.0612	0.1487
400		0.0154	0.0121	0.0187	0.0247	0.0234	0.0197	0.0489	0.1697
500		0.0197	0.0101	0.0203	0.0281	0.0272	0.0212	0.0701	0.2021

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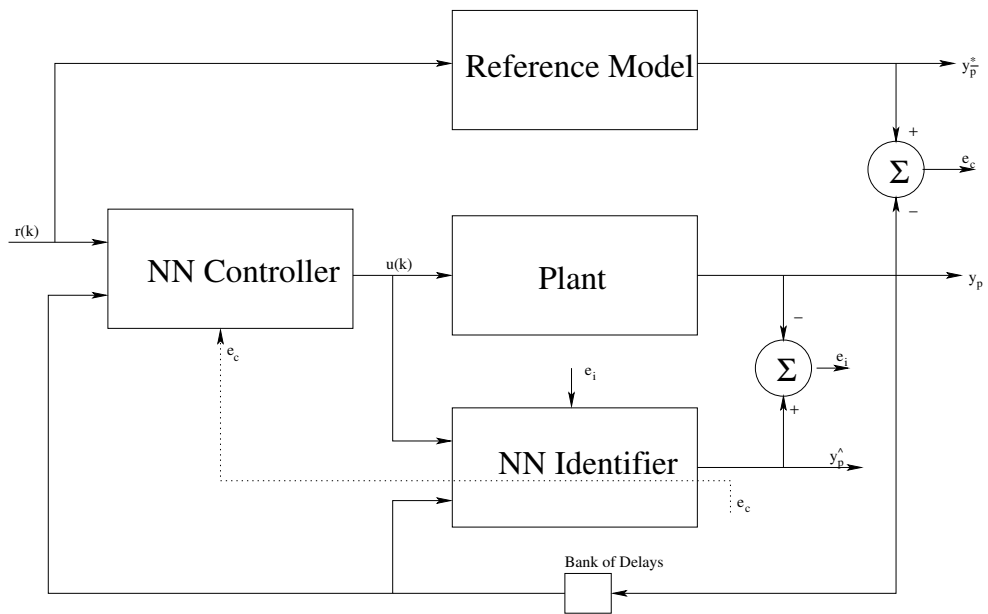


Figure 1: Model Reference Indirect Adaptive Control

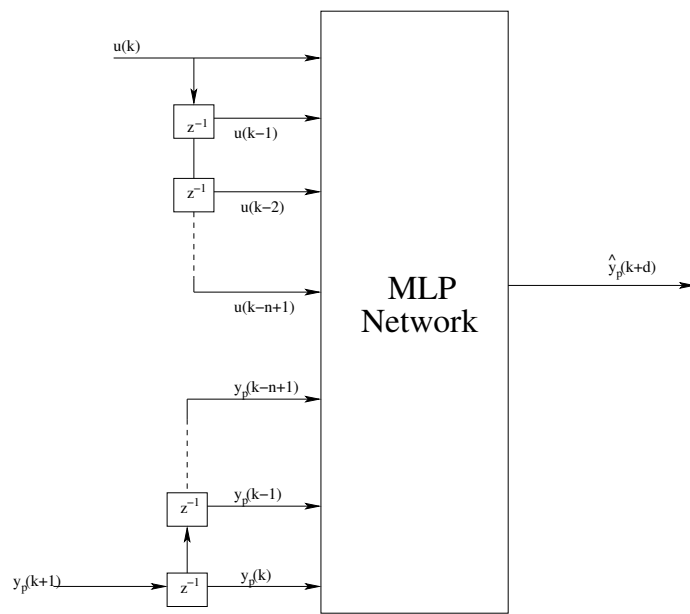
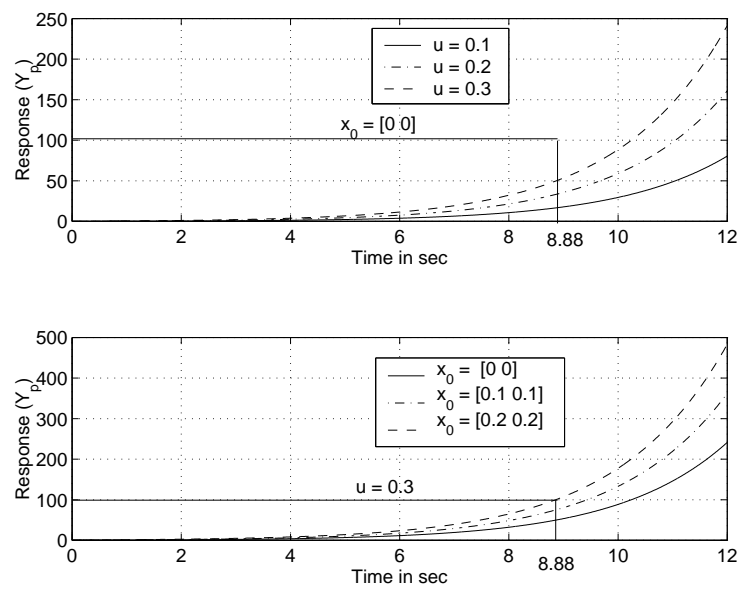
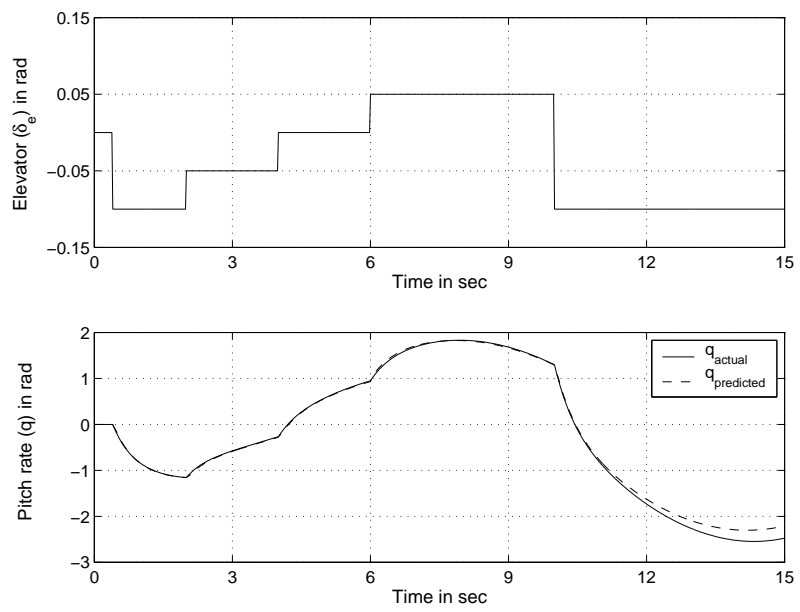


Figure 2: Architecture of NARMA model



**Figure 3:** Selection of  $T_c$ : Response of the system (8) under various initial conditions, and inputs



**Figure 4:** Simulation result: Identification of longitudinal dynamics of unstable aircraft

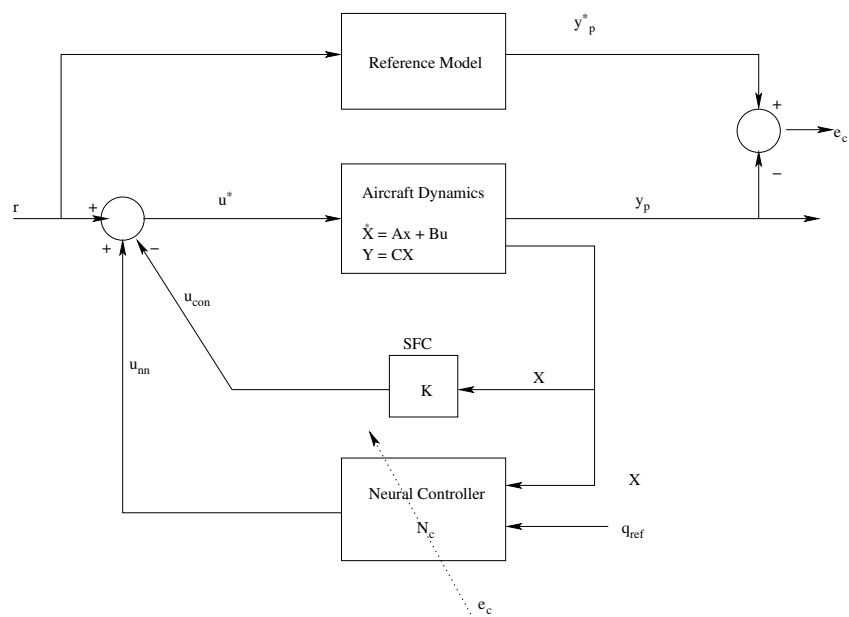
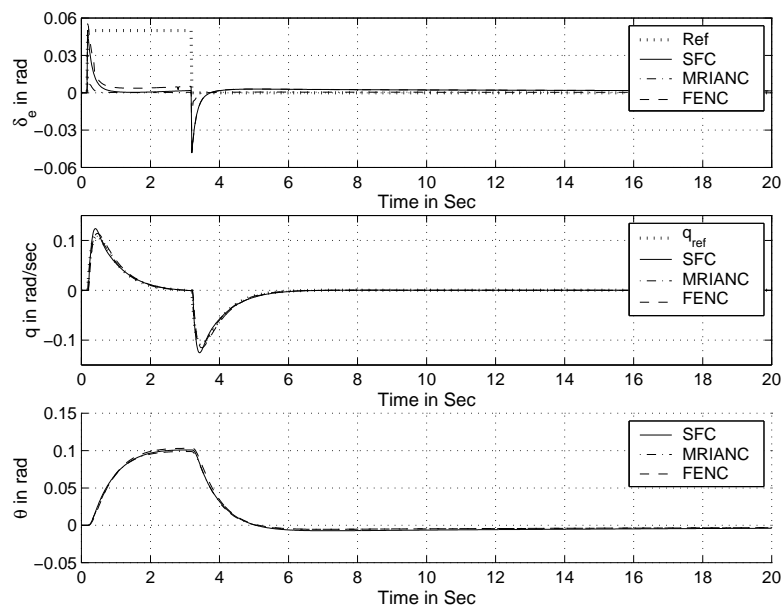
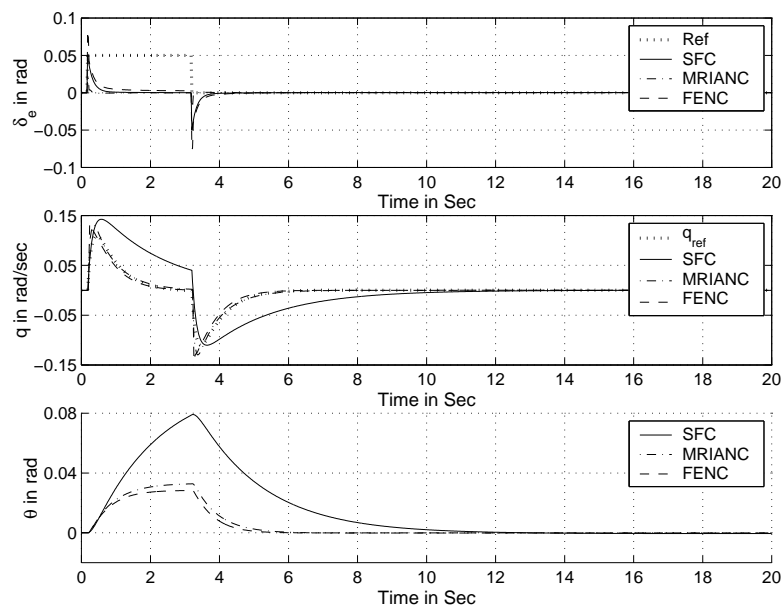


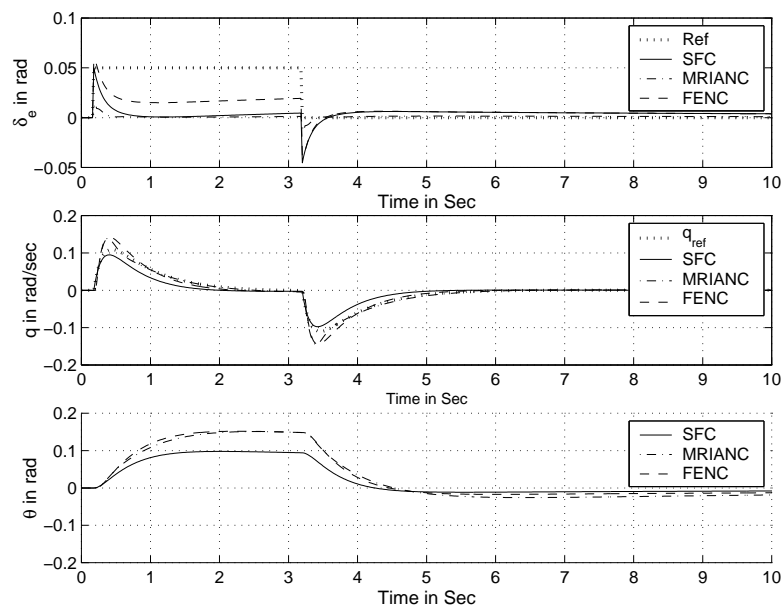
Figure 5: Block diagram of feedback error learning neural control (FENC) scheme



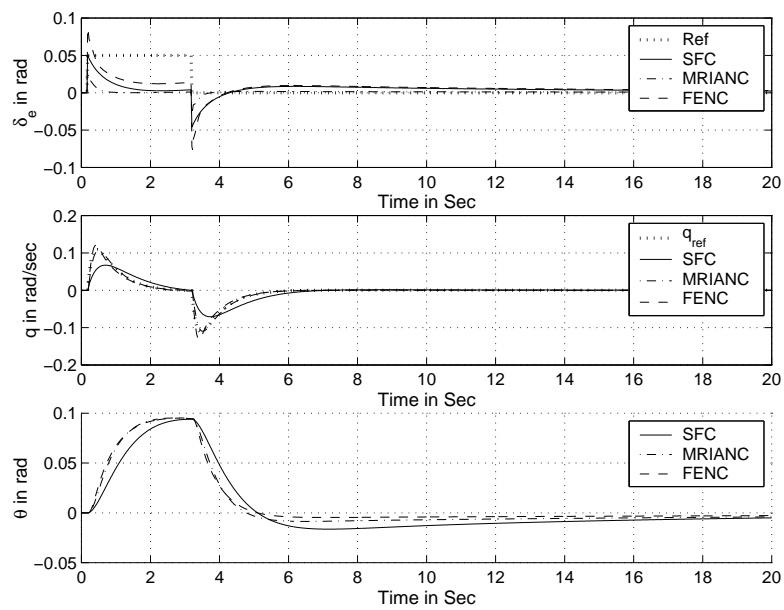
**Figure 6:** The controller responses for pulse reference input at nominal flight condition ( $V_T = 150ft/sec$ )



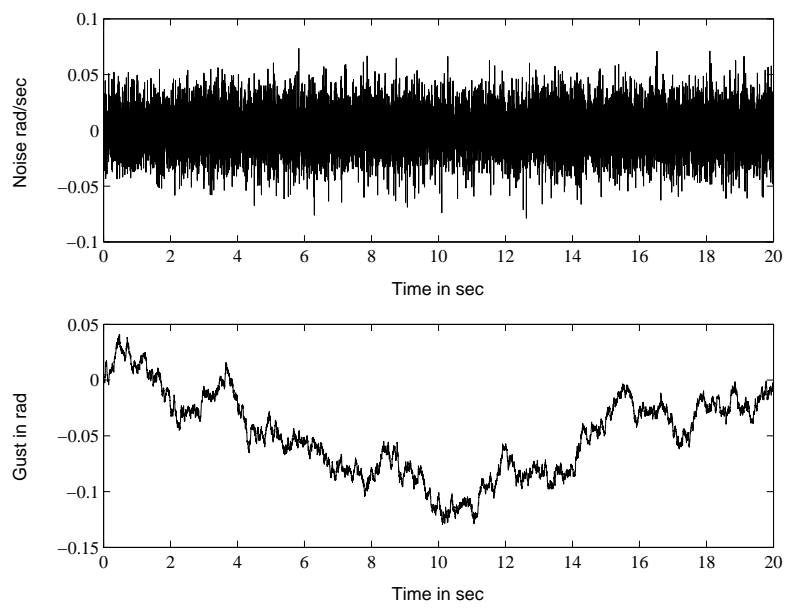
**Figure 7:** The response of the controllers for 70% modeling uncertainty ( $A = 0.3A$ ) at  $V_T = 150$  ft/sec



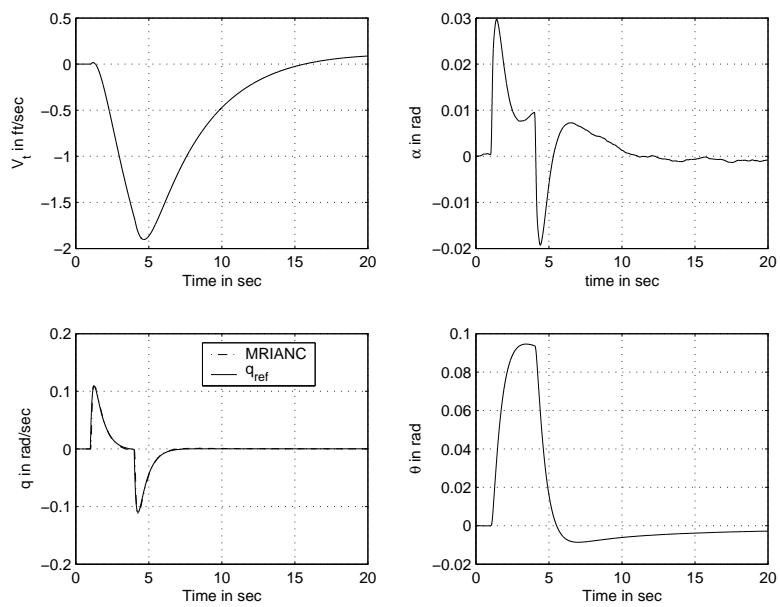
**Figure 8:** The response of the controllers for multiplicative uncertainty ( $A = 1.75A$ ) at  $V_T = 150$  ft/sec



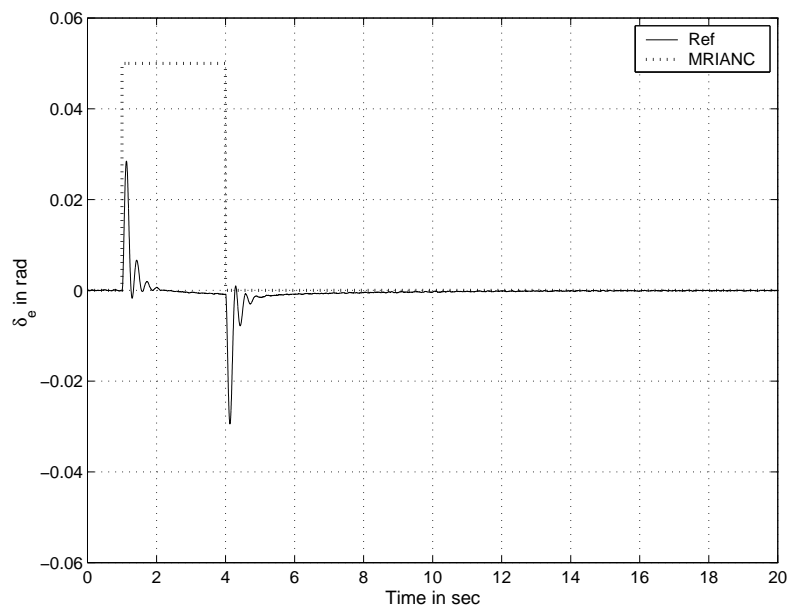
**Figure 9:** The response of the controllers for partial control surface loss ( $B = 0.3B$ ) at  $V_T = 400$  ft/sec



**Figure 10:** Sensor noise and gust disturbance



**Figure 11:** The response of the MRIANC for severe gust and noise condition at  $V_T = 150$  ft/sec



**Figure 12:** The control surface deflection under severe gust and noise condition at  $V_T = 150$  ft/sec